

# Previous lectures: Inference in FOL

► Universal instantiation

$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

► Existential instantiation

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

► Reduction to propositional logic

► Unification

... it is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Nono ... has some missiles, i.e.,  $\exists x \text{Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$ :

$$\text{Owns}(\text{Nono}, M_1) \text{ and } \text{Missile}(M_1)$$

... all of its missiles were sold to it by Colonel West

$$\forall x \text{Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$$

Missiles are weapons:

$$\text{Missile}(x) \Rightarrow \text{Weapon}(x)$$

An enemy of America counts as "hostile":

$$\text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$$

West, who is American ...

$$\text{American}(\text{West})$$

The country Nono, an enemy of America ...

$$\text{Enemy}(\text{Nono}, \text{America})$$

# Quiz

Write down logical representations for the following sentences in First-Order Logic:

- a. Everyone who loves all animals is loved by someone.
- b. Anyone who kills an animal is loved by no one.
- c. Jack loves all animals.
- d. Either Jack or Curiosity killed the cat, who is named Tuna.
- e. Cat is an animal.
- f. Curiosity killed the cat.

a. Everyone who loves all animals is loved by someone.

▶ If all animals are loved by somebody

then that somebody will be loved by someone

▶ If there is somebody who loves all **y** and **all y are animals**

then that somebody will be loved by someone

b. Anyone who kills an animal is loved by no one.

▶ **X everyone who kills all animals is loved by no one.**

▶

# Unification

## Definition

The process of finding a substitution that makes two literals complementary is called **unification**.

Two literals for which a unifying substitution exists are called **unifiable**.

## Importance of Unification

- It is the basis for FOL resolution.
- It is the main way rule-based systems determine which rules apply in a situation.
- It is the way variables are treated in logic.

# Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that  $King(x)$  and  $Greedy(x)$  match  $King(John)$  and  $Greedy(y)$

$\theta = \{x/John, y/John\}$  works

$UNIFY(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$

$p$	$q$	$\theta$
$Knows(John, x)$	$Knows(John, Jane)$	
$Knows(John, x)$	$Knows(y, OJ)$	
$Knows(John, x)$	$Knows(y, Mother(y))$	
$Knows(John, x)$	$Knows(x, OJ)$	

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$Knows(John, x)$	$Knows(John, Jane)$	$\{x/Jane\}$
$Knows(John, x)$	$Knows(y, OJ)$	
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$Knows(John, x)$	$Knows(John, Jane)$	$\{x/Jane\}$
$Knows(John, x)$	$Knows(y, OJ)$	$\{x/OJ, y/John\}$
$Knows(John, x)$	$Knows(y, Mother(y))$	
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$Knows(John, x)$	$Knows(y, OJ)$	$\{x/OJ, y/John\}$
$Knows(John, x)$	$Knows(y, Mother(y))$	$\{y/John, x/Mother(John)\}$
$Knows(John, x)$	$Knows(x, OJ)$	



# Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that  $King(x)$  and  $Greedy(x)$  match  $King(John)$  and  $Greedy(y)$

$\theta = \{x/John, y/John\}$  works

$UNIFY(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$

$p$	$q$	$\theta$
$Knows(John, x)$	$Knows(John, Jane)$	$\{x/Jane\}$
$Knows(John, x)$	$Knows(y, OJ)$	$\{x/OJ, y/John\}$
$Knows(John, x)$	$Knows(y, Mother(y))$	$\{y/John, x/Mother(John)\}$
$Knows(John, x)$	$Knows(x, OJ)$	$fail$

Standardizing apart eliminates overlap of variables, e.g.,  $Knows(z_{17}, OJ)$

## Generalized Modus Ponens (GMP)

$$\frac{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{q\theta}$$

where  $p_i'\theta = p_i\theta$  for all  $i$

$p_1'$  is *King(John)*       $p_1$  is *King(x)*  
 $p_2'$  is *Greedy(y)*       $p_2$  is *Greedy(x)*  
 $\theta$  is  $\{x/\text{John}, y/\text{John}\}$        $q$  is *Evil(x)*  
 $q\theta$  is *Evil(John)*

GMP used with KB of definite clauses (**exactly** one positive literal)

All variables assumed universally quantified

# Forward chaining algorithm

```
function FOL-FC-ASK( $KB, \alpha$ ) returns a substitution or false
  repeat until new is empty
     $new \leftarrow \{ \}$ 
    for each sentence  $r$  in  $KB$  do
       $(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)$ 
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p'_1 \wedge \dots \wedge p'_n)\theta$ 
        for some  $p'_1, \dots, p'_n$  in  $KB$ 
           $q' \leftarrow \text{SUBST}(\theta, q)$ 
          if  $q'$  is not a renaming of a sentence already in  $KB$  or new then do
            add  $q'$  to new
             $\phi \leftarrow \text{UNIFY}(q', \alpha)$ 
            if  $\phi$  is not fail then return  $\phi$ 
    add new to  $KB$ 
  return false
```

**function** FOL-FC-ASK( $KB, \alpha$ ) **returns** a substitution or *false*  
**inputs:**  $KB$ , the knowledge base, a set of first-order definite clauses  
 $\alpha$ , the query, an atomic sentence  
**local variables:**  $new$ , the new sentences inferred on each iteration

**repeat until**  $new$  is empty

$new \leftarrow \{ \}$

**for each**  $rule$  **in**  $KB$  **do**

$(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-VARIABLES}(rule)$

**for each**  $\theta$  **such that**  $\text{SUBST}(\theta, p_1 \wedge \dots \wedge p_n) = \text{SUBST}(\theta, p'_1 \wedge \dots \wedge p'_n)$

for some  $p'_1, \dots, p'_n$  **in**  $KB$

$q' \leftarrow \text{SUBST}(\theta, q)$

**if**  $q'$  **does not unify with some sentence already in**  $KB$  **or**  $new$  **then**

add  $q'$  to  $new$

$\phi \leftarrow \text{UNIFY}(q', \alpha)$

**if**  $\phi$  **is not** *fail* **then return**  $\phi$

add  $new$  to  $KB$

**return** *false*

... it is a crime for an American to sell weapons to hostile nations:

$American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$

Nono ... has some missiles, i.e.,  $\exists x Owns(Nono, x) \wedge Missile(x)$ :

$Owns(Nono, M_1)$  and  $Missile(M_1)$

... all of its missiles were sold to it by Colonel West

$\forall x Missile(x) \wedge Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$

Missiles are weapons:

$Missile(x) \Rightarrow Weapon(x)$

An enemy of America counts as "hostile":

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West, who is American ...

$American(West)$

The country Nono, an enemy of America ...

$Enemy(Nono, America)$

# Forward chaining proof

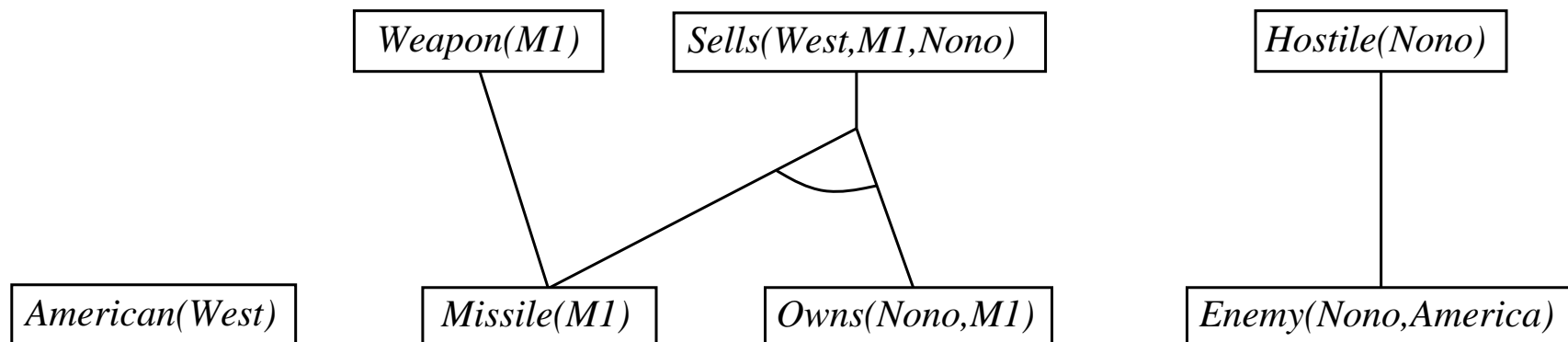
*American(West)*

*Missile(M1)*

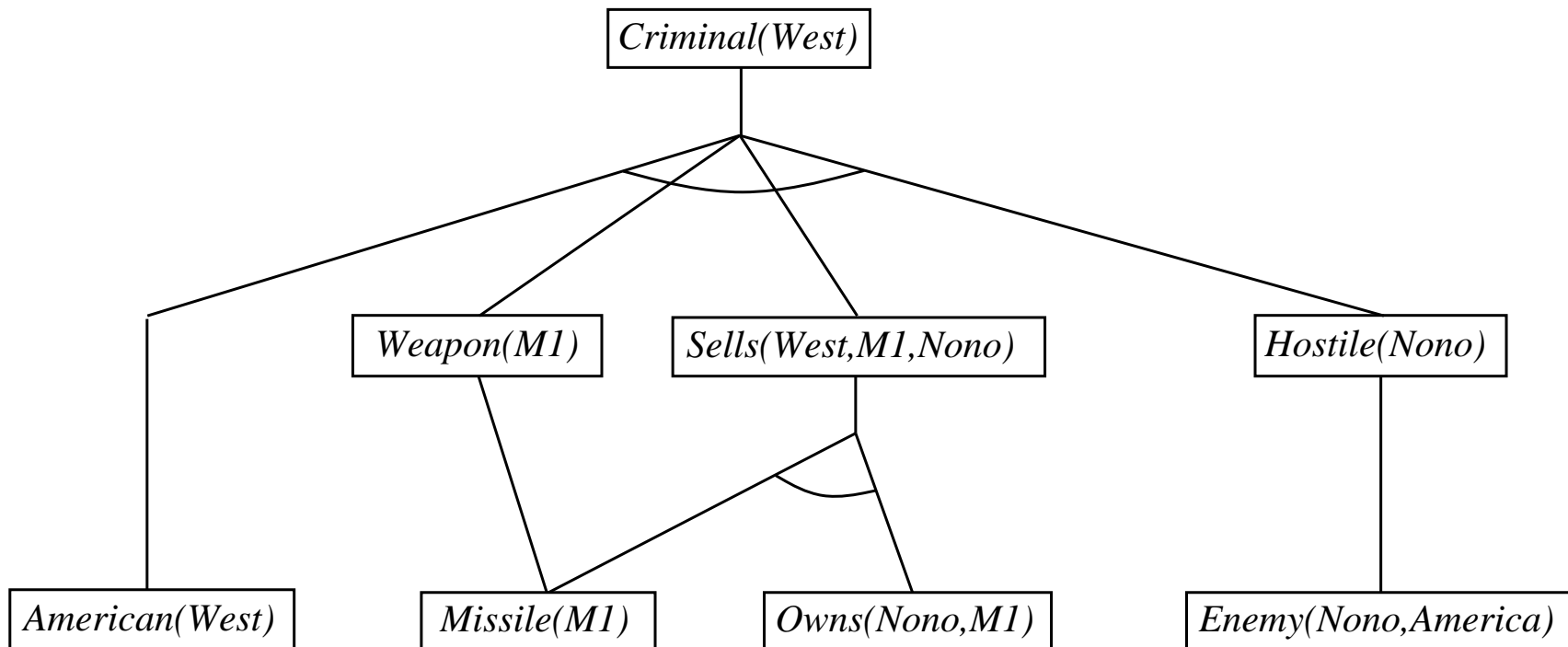
*Owns(Nono,M1)*

*Enemy(Nono,America)*

# Forward chaining proof



# Forward chaining proof



## Backward chaining algorithm

```
function FOL-BC-ASK(KB, goals,  $\theta$ ) returns a set of substitutions
inputs: KB, a knowledge base
           goals, a list of conjuncts forming a query ( $\theta$  already applied)
            $\theta$ , the current substitution, initially the empty substitution { }
local variables: answers, a set of substitutions, initially empty

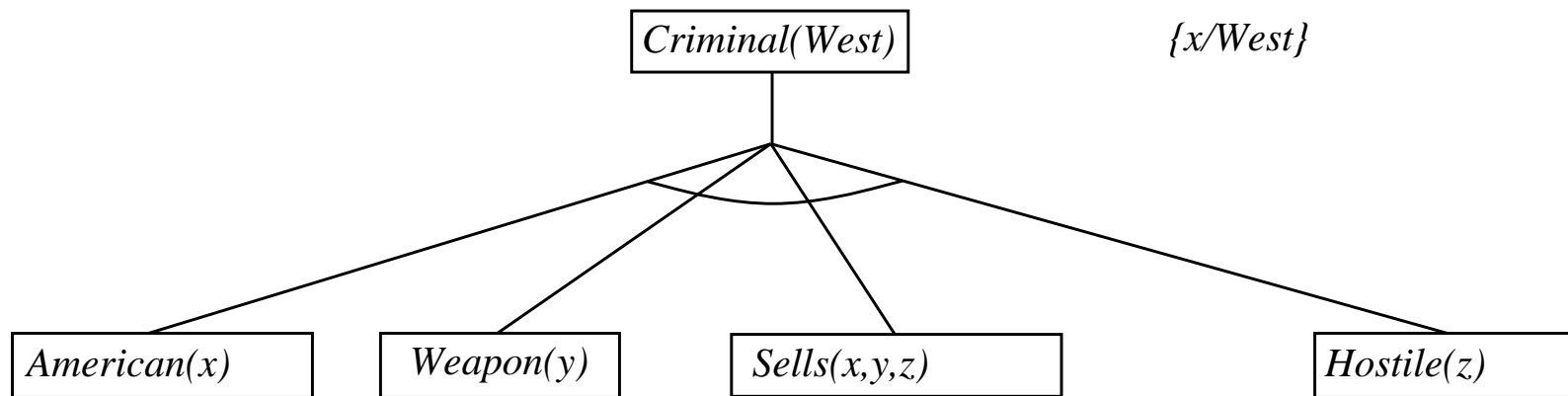
if goals is empty then return { $\theta$ }
 $q' \leftarrow$  SUBST( $\theta$ , FIRST(goals))
for each sentence r in KB
    where STANDARDIZE-APART(r) = ( $p_1 \wedge \dots \wedge p_n \Rightarrow q$ )
    and  $\theta' \leftarrow$  UNIFY( $q$ ,  $q'$ ) succeeds
    new_goals  $\leftarrow$  [ $p_1, \dots, p_n$  | REST(goals)]
    answers  $\leftarrow$  FOL-BC-ASK(KB, new_goals, COMPOSE( $\theta'$ ,  $\theta$ ))  $\cup$  answers
return answers
```



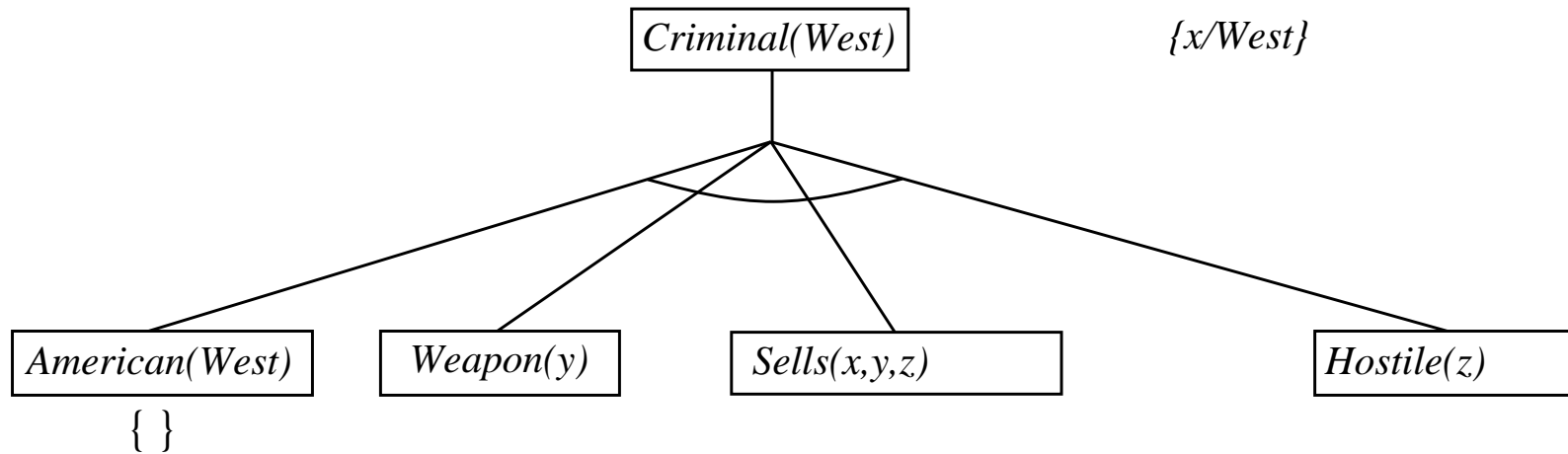
# Backward chaining example

*Criminal(West)*

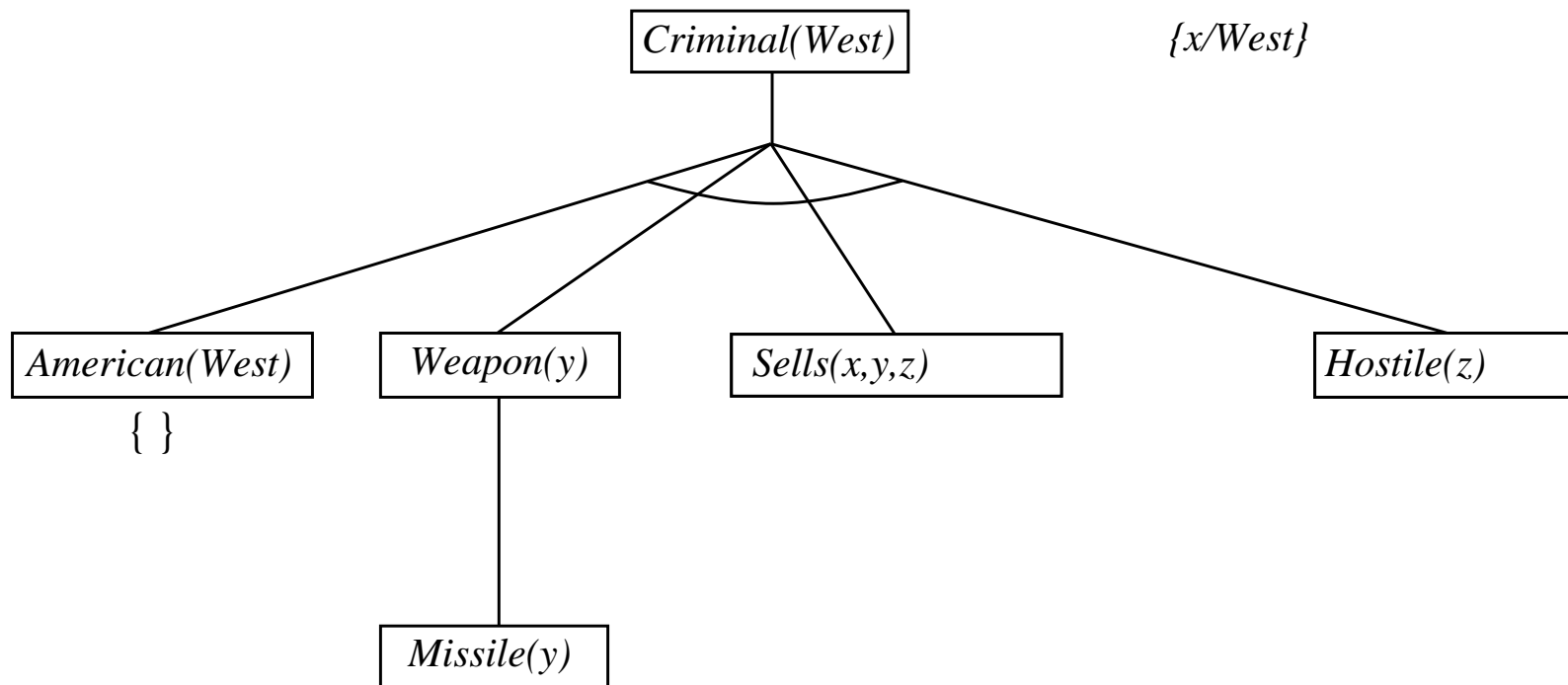
# Backward chaining example



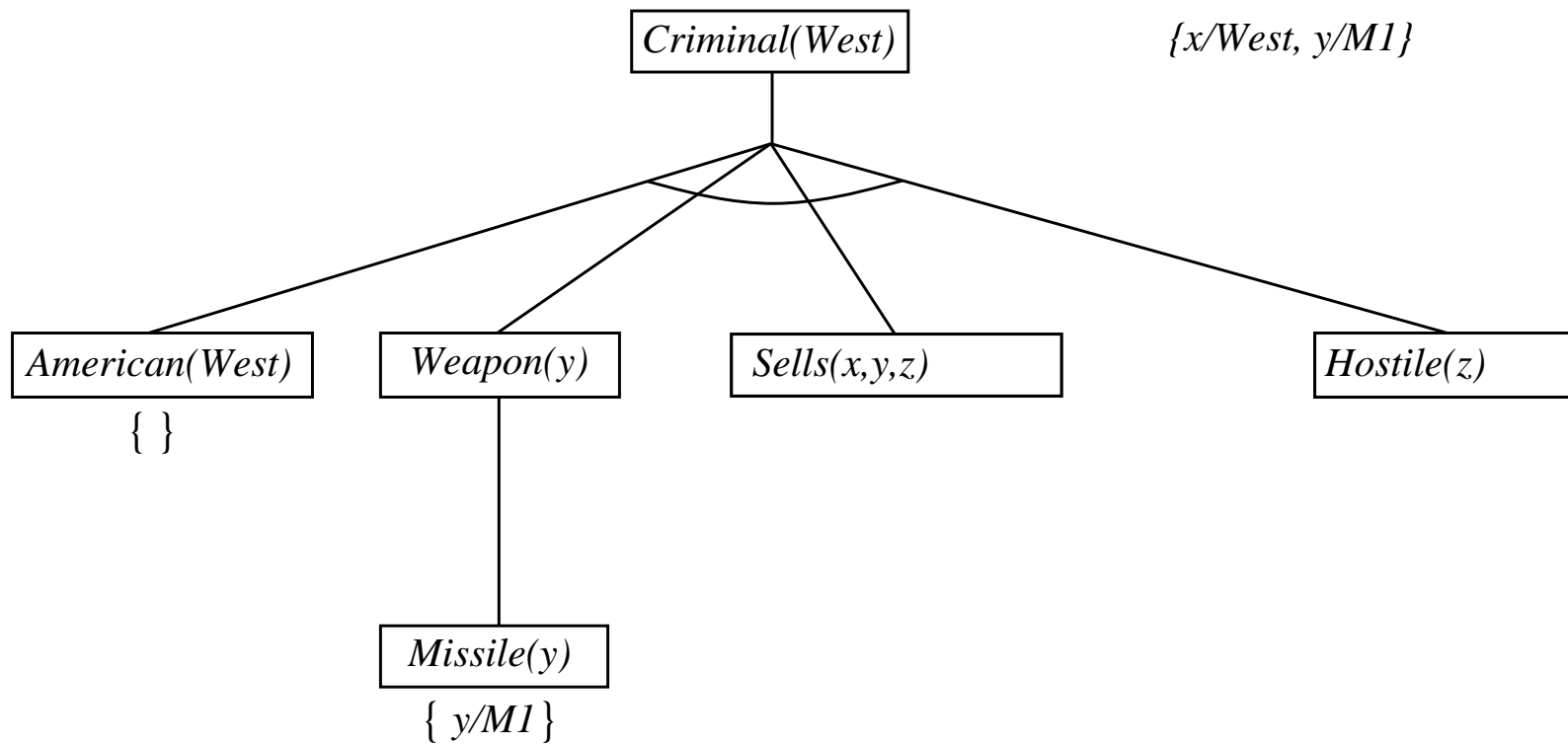
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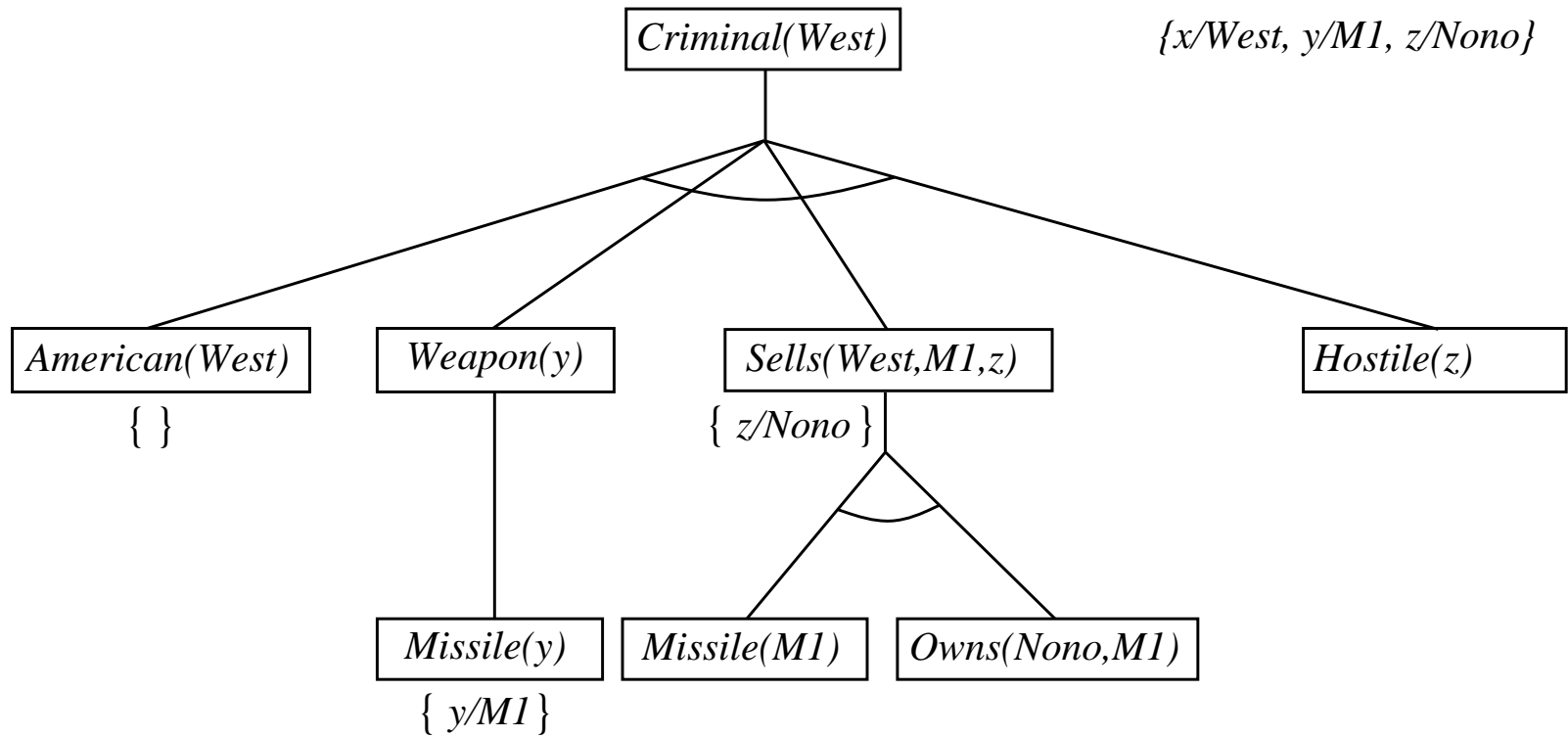
# Backward chaining example



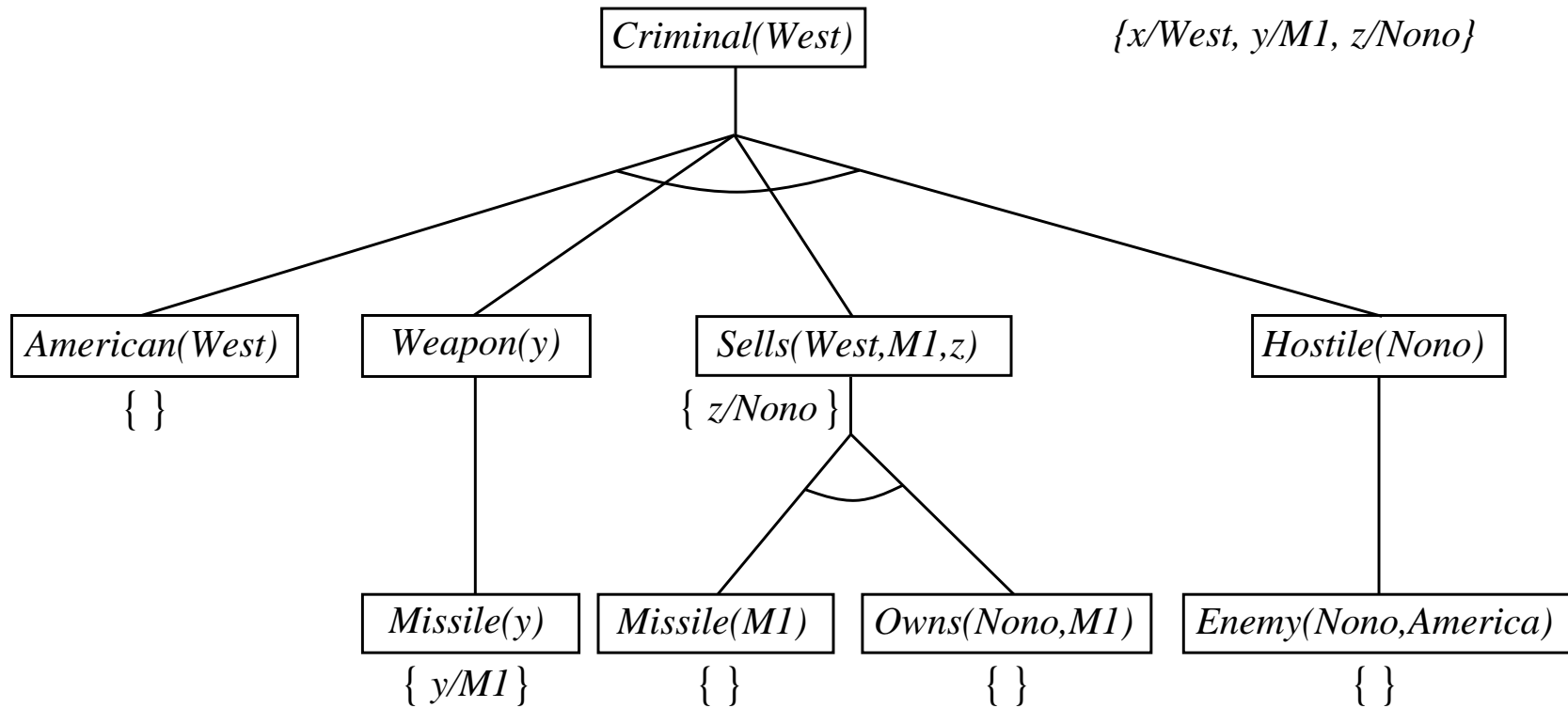
# Backward chaining example



# Backward chaining example



# Backward chaining example



## Properties of backward chaining

Depth-first recursive proof search: space is linear in size of proof

Incomplete due to infinite loops

⇒ fix by checking current goal against every goal on stack

Inefficient due to repeated subgoals (both success and failure)

⇒ fix using caching of previous results (extra space!)

Widely used (without improvements!) for [logic programming](#)



# Previous lecture: Resolution algorithm

► Proof by contradiction.

To prove  $(KB \models \alpha)$ , show  $(KB \wedge \neg\alpha)$  is unsatisfiable.

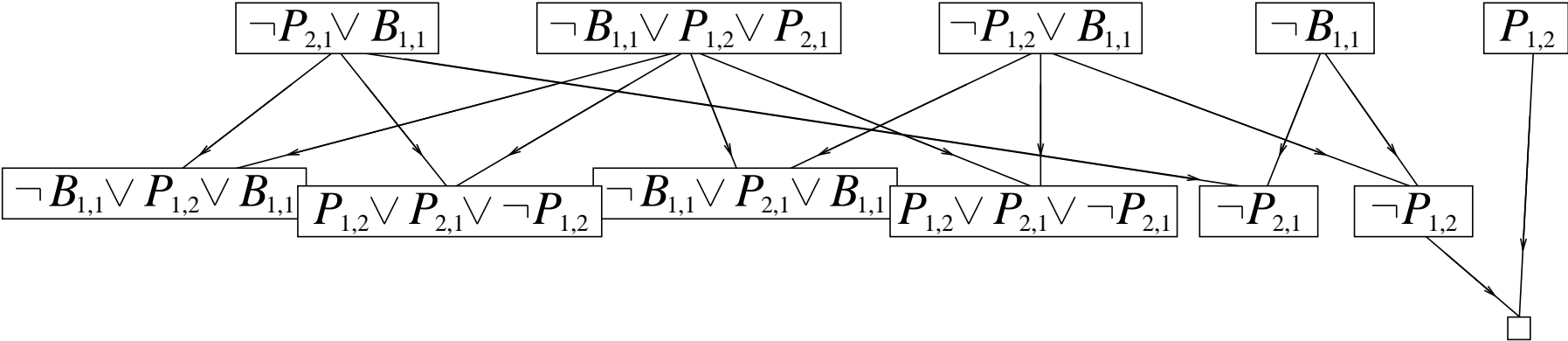
# Resolution algorithm

Proof by contradiction, i.e., show  $KB \wedge \neg\alpha$  unsatisfiable

```
function PL-RESOLUTION( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
            $\alpha$ , the query, a sentence in propositional logic
   $clauses \leftarrow$  the set of clauses in the CNF representation of  $KB \wedge \neg\alpha$ 
   $new \leftarrow \{ \}$ 
  loop do
    for each  $C_i, C_j$  in  $clauses$  do
       $resolvents \leftarrow$  PL-RESOLVE( $C_i, C_j$ )
      if  $resolvents$  contains the empty clause then return true
       $new \leftarrow new \cup resolvents$ 
  if  $new \subseteq clauses$  then return false
   $clauses \leftarrow clauses \cup new$ 
```

# Resolution example

$KB = (B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})) \wedge \neg B_{1,1} \quad \alpha = \neg P_{1,2}$



## Resolution: brief summary

Full first-order version:

$$\frac{l_1 \vee \dots \vee l_k, \quad m_1 \vee \dots \vee m_n}{(l_1 \vee \dots \vee l_{i-1} \vee l_{i+1} \vee \dots \vee l_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n)\theta}$$

where  $\text{UNIFY}(l_i, \neg m_j) = \theta$ .

$[Animal(F(x)) \vee Loves(G(x), x)]$  and  $[\neg Loves(u, v) \vee \neg Kills(u, v)]$  |

For example,

unifier?  
resolvent clause?

$$\frac{\neg Rich(x) \vee Unhappy(x) \quad Rich(Ken)}{Unhappy(Ken)}$$

with  $\theta = \{x/Ken\}$

Apply resolution steps to  $CNF(KB \wedge \neg\alpha)$ ; complete for FOL

## Conversion to CNF

Everyone who loves all animals is loved by someone:

$$\forall x [\forall y \text{ Animal}(y) \Rightarrow \text{Loves}(x, y)] \Rightarrow [\exists y \text{ Loves}(y, x)]$$

1. Eliminate biconditionals and implications

2. Move  $\neg$  inwards:  $\neg\forall x, p \equiv \exists x \neg p$ ,  $\neg\exists x, p \equiv \forall x \neg p$ :

## Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one
4. Skolemize: a more general form of existential instantiation.  
Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:
5. Drop universal quantifiers:
6. Distribute  $\wedge$  over  $\vee$ :

## Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one

$$\forall x [\exists y \textit{Animal}(y) \wedge \neg \textit{Loves}(x, y)] \vee [\exists z \textit{Loves}(z, x)]$$

4. Skolemize: a more general form of existential instantiation.  
Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:

$$\forall x [\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

5. Drop universal quantifiers:

$$[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

6. Distribute  $\wedge$  over  $\vee$ :

$$[\textit{Animal}(F(x)) \vee \textit{Loves}(G(x), x)] \wedge [\neg \textit{Loves}(x, F(x)) \vee \textit{Loves}(G(x), x)]$$

## Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one

$$\forall x [\exists y \textit{Animal}(y) \wedge \neg \textit{Loves}(x, y)] \vee [\exists z \textit{Loves}(z, x)]$$

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Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:

$$\forall x [\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

5. Drop universal quantifiers:

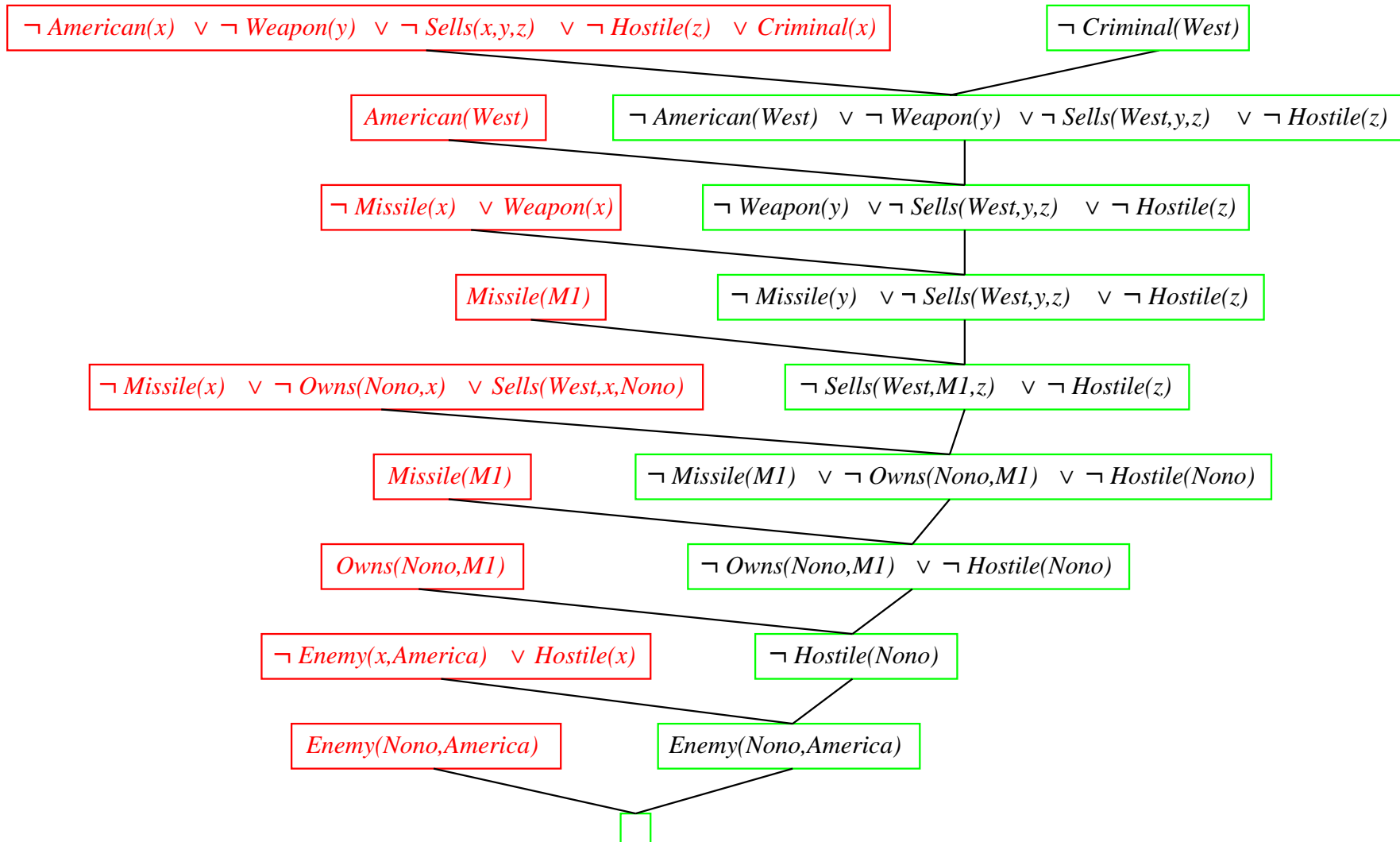
$$[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

6. Distribute  $\wedge$  over  $\vee$ :

$$[\textit{Animal}(F(x)) \vee \textit{Loves}(G(x), x)] \wedge [\neg \textit{Loves}(x, F(x)) \vee \textit{Loves}(G(x), x)]$$



# Resolution proof: definite clauses



# Resolution: Another example

Write down logical representations for the following sentences in First-Order Logic:

- a. Everyone who loves all animals is loved by someone.
- b. Anyone who kills an animal is loved by no one.
- c. Jack loves all animals.
- d. Either Jack or Curiosity killed the cat, who is named Tuna.
- e. Cat is an animal.
- f. Did curiosity kill the cat?

# Convert to FOL

$\forall x [\forall y \text{ Animal}(y) \Rightarrow \text{Loves}(x,y)] \Rightarrow [\exists y \text{ Loves}(y,x)]$

$\forall x [\exists y \text{ Animal}(y) \wedge \text{Kills}(x,y)] \Rightarrow [\forall z \neg \text{Loves}(z,x)]$

$\forall x \text{ Animal}(x) \Rightarrow \text{Loves}(\text{Jack},x)$

$\text{Kills}(\text{Jack},\text{Tuna}) \vee \text{Kills}(\text{Curiosity},\text{Tuna})$

$\text{Cat}(\text{Tuna})$

$\forall x \text{ Cat}(x) \Rightarrow \text{Animal}(x)$

$\neg \text{Kills}(\text{Curiosity},\text{Tuna})$

# FOL to CNF

$\text{Animal}(F(x)) \vee \text{Loves}(G(x),x)$

$\neg \text{Loves}(x,F(x)) \vee \text{Loves}(G(x),x)$

$\neg \text{Animal}(y) \vee \neg \text{Kills}(x,y) \vee \neg \text{Loves}(z,x)$

$\neg \text{Animal}(x) \vee \text{Loves}(\text{Jack},x)$

$\text{Kills}(\text{Jack},\text{Tuna}) \vee \text{Kills}(\text{Curiosity},\text{Tuna})$

$\text{Cat}(\text{Tuna})$

$\neg \text{Cat}(x) \vee \text{Animal}(x)$

$\neg \text{Kills}(\text{Curiosity},\text{Tuna})$

# Resolution by Refutation

- ▶ Exercise

# Resolution by Refutation

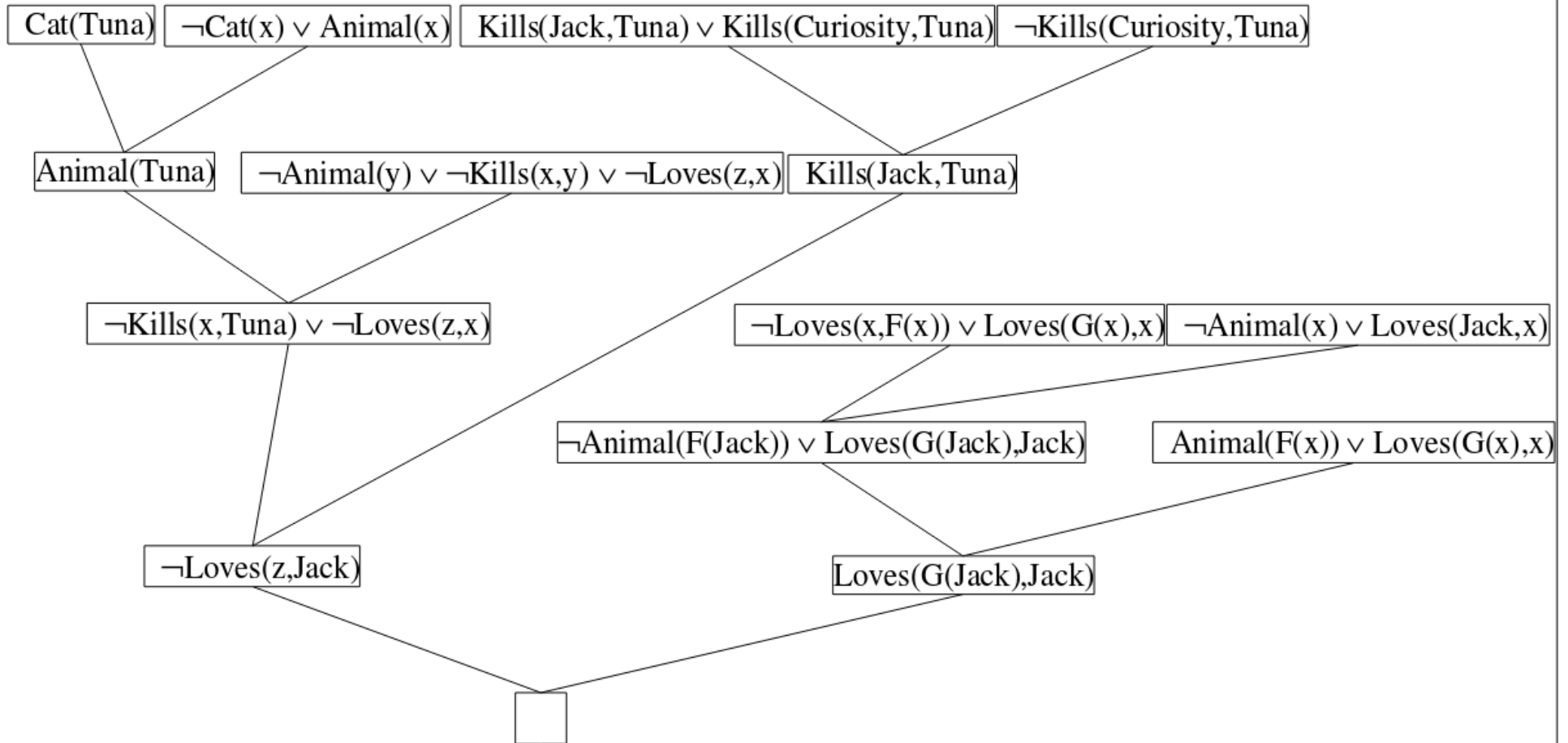
$\text{Cat}(\text{Tuna})$   $\neg\text{Cat}(x) \vee \text{Animal}(x)$   $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$   $\neg\text{Kills}(\text{Curiosity}, \text{Tuna})$

$\neg\text{Animal}(y) \vee \neg\text{Kills}(x, y) \vee \neg\text{Loves}(z, x)$

$\neg\text{Loves}(x, \text{F}(x)) \vee \text{Loves}(\text{G}(x), x)$   $\neg\text{Animal}(x) \vee \text{Loves}(\text{Jack}, x)$

$\text{Animal}(\text{F}(x)) \vee \text{Loves}(\text{G}(x), x)$

# Resolution by Refutation



## Properties of backward chaining

Depth-first recursive proof search: space is linear in size of proof

Incomplete due to infinite loops

⇒ fix by checking current goal against every goal on stack

Inefficient due to repeated subgoals (both success and failure)

⇒ fix using caching of previous results (extra space!)

Widely used (without improvements!) for [logic programming](#)



# Logic programming

Sound bite: computation as inference on logical KBs

## Logic programming

1. Identify problem
2. Assemble information
3. Tea break
4. Encode information in KB
5. Encode problem instance as facts
6. Ask queries
7. Find false facts

## Ordinary programming

- Identify problem
- Assemble information
- Figure out solution
- Program solution
- Encode problem instance as data
- Apply program to data
- Debug procedural errors

Should be easier to debug *Capital(NewYork, US)* than  $x := x + 2$  !

# Prolog systems

Basis: backward chaining with Horn clauses + bells & whistles

Widely used in Europe, Japan (basis of 5th Generation project)

Compilation techniques  $\Rightarrow$  approaching a billion LIPS

Program = set of clauses = head :- literal<sub>1</sub>, ... literal<sub>n</sub>.

```
criminal(X) :- american(X), weapon(Y), sells(X,Y,Z), hostile(Z).
```

Efficient unification by [open coding](#)

Efficient retrieval of matching clauses by direct linking

Depth-first, left-to-right backward chaining

Built-in predicates for arithmetic etc., e.g., X is Y\*Z+3

Closed-world assumption (“negation as failure”)

e.g., given `alive(X) :- not dead(X).`

`alive(joe)` succeeds if `dead(joe)` fails

## Prolog examples

Depth-first search from a start state X:

```
dfs(X) :- goal(X).  
dfs(X) :- successor(X,S),dfs(S).
```

No need to loop over S: successor succeeds for each

Appending two lists to produce a third:

```
append([],Y,Y).  
append([X|L],Y,[X|Z]) :- append(L,Y,Z).
```

query: append(A,B,[1,2]) ?

answers: A=[] B=[1,2]

A=[1] B=[2]

A=[1,2] B=[]

# Planning

- ▶ Problem solving agents
- ▶ Logical Agents
- ▶ Planners

# PDDL

- ▶ a **factored representation**: a state of the world is represented by a collection of variables
- ▶ **state**: a conjunction of fluents that are ground, functionless atoms.
- ▶ the closed-world assumption
- ▶ Not used:
  - ▶  $At(x, y)$
  - ▶  $\neg Poor$ ,
  - ▶  $At(Father(Fred), Sydney)$
- ▶ Deals with frame problem: only mentions  $\Delta$ 
  - ▶ everything that stays the same is left unmentioned

*Action*(*Fly*(*p*, *from*, *to*),  
PRECOND:  $At(p, from) \wedge Plane(p) \wedge Airport(from) \wedge Airport(to)$   
EFFECT:  $\neg At(p, from) \wedge At(p, to)$ )

# PDDL

*Action*(*Fly*(*p*, *from*, *to*),  
PRECOND:  $At(p, from) \wedge Plane(p) \wedge Airport(from) \wedge Airport(to)$   
EFFECT:  $\neg At(p, from) \wedge At(p, to)$ )

$$\forall p, from, to (Fly(p, from, to) \in ACTIONS(s) \Leftrightarrow s \models (At(p, from) \wedge Plane(p) \wedge Airport(from) \wedge Airport(to)))$$

We say that action  $a$  is **applicable** in state  $s$  if the preconditions are satisfied by  $s$ .

Propositionalize a PDDL problem

replace each action schema with a set of ground actions

then use a propositional solver such as SATPLAN to find a solution.

This is impractical when  $v$  and  $k$  are large.

$$RESULT(s, a) = (s - DEL(a)) \cup ADD(a) .$$

$$F^{t+1} \Leftrightarrow ActionCausesF^t \vee (F^t \wedge \neg ActionCausesNotF^t) .$$

In PDDL the times and states are implicit in the action schemas: the precondition always refers to time  $t$  and the effect to time  $t + 1$ .

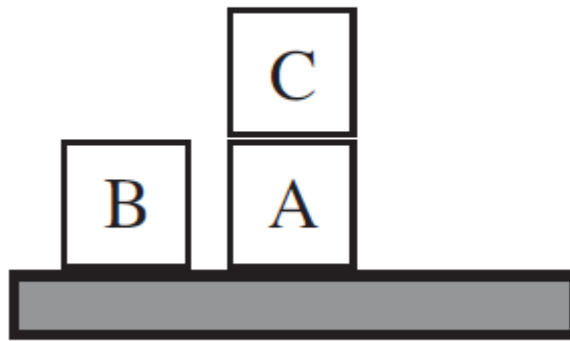
# PDDL

- ▶ Definition of a planning **domain**: A set of action schemas
- ▶ A specific **problem**: within the domain is defined with the addition of an initial state and a goal.

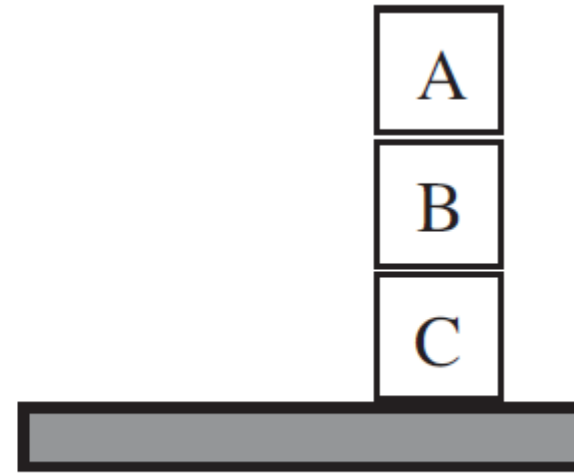
```
Init(At(C1, SFO) ∧ At(C2, JFK) ∧ At(P1, SFO) ∧ At(P2, JFK)  
  ∧ Cargo(C1) ∧ Cargo(C2) ∧ Plane(P1) ∧ Plane(P2)  
  ∧ Airport(JFK) ∧ Airport(SFO))  
Goal(At(C1, JFK) ∧ At(C2, SFO))  
Action(Load(c, p, a),  
  PRECOND: At(c, a) ∧ At(p, a) ∧ Cargo(c) ∧ Plane(p) ∧ Airport(a)  
  EFFECT: ¬ At(c, a) ∧ In(c, p))  
Action(Unload(c, p, a),  
  PRECOND: In(c, p) ∧ At(p, a) ∧ Cargo(c) ∧ Plane(p) ∧ Airport(a)  
  EFFECT: At(c, a) ∧ ¬ In(c, p))  
Action(Fly(p, from, to),  
  PRECOND: At(p, from) ∧ Plane(p) ∧ Airport(from) ∧ Airport(to)  
  EFFECT: ¬ At(p, from) ∧ At(p, to))
```

**Figure 10.1** A PDDL description of an air cargo transportation planning problem.

# PDDL example



Start State



Goal State

Black(x)

On(x,y)

Clear(x)

Action: Move (b, x, y)...

Action: MoveToTable (b,x)



# PDDL example



*Init*( $On(A, Table) \wedge On(B, Table) \wedge On(C, A)$   
 $\wedge Block(A) \wedge Block(B) \wedge Block(C) \wedge Clear(B) \wedge Clear(C)$ )  
*Goal*( $On(A, B) \wedge On(B, C)$ )  
*Action*(*Move*( $b, x, y$ ),  
PRECOND:  $On(b, x) \wedge Clear(b) \wedge Clear(y) \wedge Block(b) \wedge Block(y) \wedge$   
 $(b \neq x) \wedge (b \neq y) \wedge (x \neq y)$ ,  
EFFECT:  $On(b, y) \wedge Clear(x) \wedge \neg On(b, x) \wedge \neg Clear(y)$ )  
*Action*(*MoveToTable*( $b, x$ ),  
PRECOND:  $On(b, x) \wedge Clear(b) \wedge Block(b) \wedge (b \neq x)$ ,  
EFFECT:  $On(b, Table) \wedge Clear(x) \wedge \neg On(b, x)$ )

# Automated Planning

Planning research has been central to AI from the beginning, partly because of practical interest but also because of the “intelligence” features of human planners.

- ◇ Large logistics problems, operational planning, robotics, scheduling etc.
- ◇ A number of international Conferences on Planning
- ◇ Bi-annual Planning competition

# Automated Planning

The setting: a single agent in a fully observable, deterministic and static environment.

Propositional logic can express small domain planning problems, but becomes impractical if there are many actions and states (combinatorial explosion).

Example: In the wumpus world the action of a forward-step has to be written for all four directions, for all  $n^2$  locations, and for each time step  $T$ .

The Planning Domain Definition Language (PDDL) is a subset of FOL and more expressive than propositional logic. It allows for factored representation.

# Planning Domain Definition Language (PDDL)

PDDL is derived from the STRIPS planning language.

- Initial and goal states.
- A set of  $ACTIONS(s)$  in terms of preconditions and effects.
- Closed world assumption: Unmentioned state variables are assumed false.

Example:

ACTION: Fly(*from*, *to*)

PRECONDITION: At(*p*, *from*), Plane(*p*), Airport(*from*), Airport(*to*)

EFFECT:  $\neg$ At(*p*, *from*), At(*p*, *to*)

# PDDL/STRIPS operators

Tidily arranged actions descriptions, restricted language

ACTION: Buy( $x$ )

PRECONDITION: At( $p$ ), Sells( $p, x$ )

EFFECT: Have( $x$ )

[Note: this abstracts away many important details of buying!]

*At(p) Sells(p,x)*

**Buy(x)**

*Have(x)*

Restricted language  $\Rightarrow$  efficient algorithm

Precondition: conjunction of positive literals

Effect: conjunction of literals

A complete set of STRIPS operators can be translated into a set of successor-state axioms

## Example: Air cargo transport

A classical transportation problem: Loading and unloading cargo and flying between different airports.

Actions: Load(cargo, plane, airport), Unload(cargo, plane, airport),  
Fly(plane, airport, airport)

Predicates: In(cargo, plane), At(cargo  $\vee$  plane, airport)

Example solution:

Load(C1, P1, SFO), Fly(P1, SFO, JFK), Unload(C1, P1, JFK),  
Load(C2, P2, JFK), Fly(P2, JFK, SFO), Unload(C2, P2, SFO).

## Example: The blocks world

Cube-shape blocks sitting on a table or stacked on top of each other.

Actions: PutOn(block, block), PutOnTable(block)

Predicates: On(block, block $\vee$ table), Clear(block $\vee$ table)

## How difficult is planning?

Does there exist a plan that achieves the goal? **PlanSat**

Does there exist a solution of length at most  $k$ ? **Bounded PlanSat**

PlanSat and Bounded PlanSat are PSPACE-complete.

– i.e., difficult!

PlanSat without negative preconditions and without negative effects is in P.

– i.e., solveable!



# State-space search

- ◇ **Forward (progression):**  
state-space search considers actions that are **applicable**
- ◇ **Backward (regression):**  
state-space search considers actions that are **relevant**

Neither of them is efficient without good heuristics!

# Heuristics for forward state-space search

For forward state-space search there are a number of domain-independent heuristics:

- ◇ Relaxing actions:
  - Ignore-preconditions heuristic
  - Ignore-delete-lists heuristic
- ◇ State abstractions:
  - Reduce the state space

Programs that has won the bi-annual Planning competition has often used

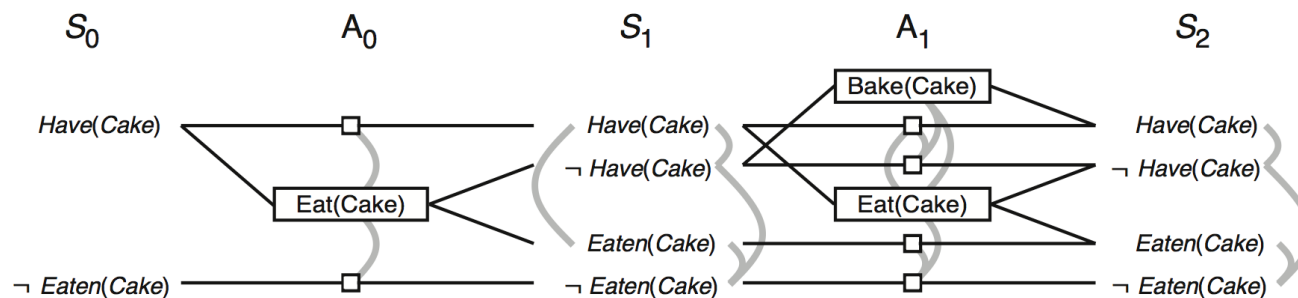
- FF (fast forward) search with heuristics, or
- planning graphs, or
- SAT.

# Planning graphs

The main disadvantage of state-space search is the size of the search tree (exponential). Also, the heuristics are not admissible in general.

The planning graph is a polynomial size approximation of the complete tree. Search on this graph is an admissible heuristic.

The planning graph is organized in alternating levels of possible states  $S_i$  and applicable actions  $A_i$ . Links between levels represent preconditions and effects whereas links within the levels express conflicts (mutex-links).



# Planning graphs

A planning problem with  $l$  literals and  $a$  actions has a polynomial size planning graph:

- Levels  $S_i$  contain at most  $l$  nodes and  $l^2$  mutex links
- Levels  $A_i$  contain at most  $a + l$  nodes and  $(a + l)^2$  mutex links
- At most  $2(al + l)$  links between levels for preconditions and effects
- Therefore, a graph with  $n$  levels has size  $O(n(a + l)^2)$

## The GraphPlan algorithm

The GraphPlan algorithm expands the graph with new levels  $S_i$  and  $A_i$  until there are no mutex links between the goals. To extract the actual plan, the algorithm searches backwards in the graph.

The plan extraction is the difficult part and is usually done with greedy-like heuristics.

## SatPlan and CSP

Translate the PDDL description into a SAT problem or a CSP (constraint satisfaction problem).

The goal state as well as all actions have to be propositionalized. Action schemas have to be replaced by a set of ground actions, variables have to be replaced by constants, fluents need to be introduced for each time step, etc.

⇒ combinatorial explosion

In other words, we remove a part of the benefits of the expressiveness of PDDL to gain access to efficient solution methods for SAT and CSP solvers.

## Historical remark: Linear planning

Planners in the early 1970s considered totally ordered action sequences

- problems were decomposed in subgoals
- the resulting subplans were stringed together in some order
- this is called **linear planning**

But, linear planning is **incomplete!**

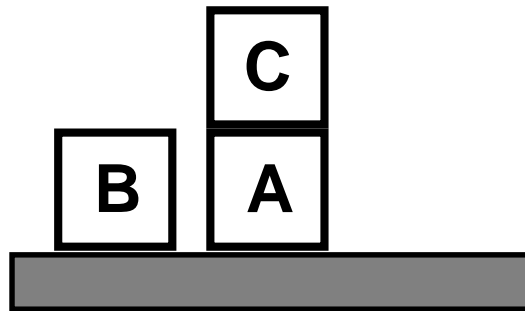
- there are some very simple problems it cannot handle
- e.g., the **Sussman anomaly**
- a complete planner must be able to interleave subplans

Enter partial-order planning, state-of-the-art during the 1980s and 90s

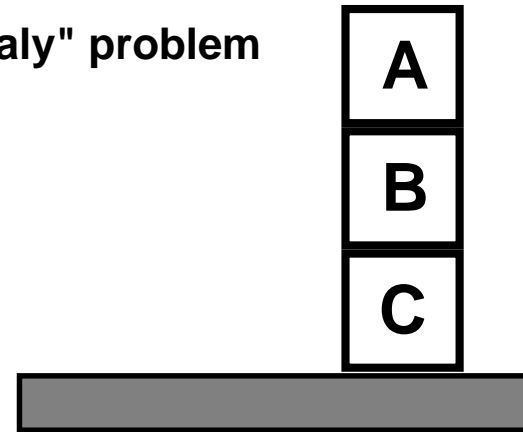
- today mostly used for specific tasks, such as operations scheduling
- also used when it is important for humans to understand the plans
- e.g., operational plans for spacecraft and Mars rovers are checked by human operators before uploaded to the vehicles

# Example: The Sussman anomaly

"Sussman anomaly" problem



Start State



Goal State

$Clear(x) \ On(x,z) \ Clear(y)$

PutOn(x,y)

$\sim On(x,z) \ \sim Clear(y)$   
 $Clear(z) \ On(x,y)$

$Clear(x) \ On(x,z)$

PutOnTable(x)

$\sim On(x,z) \ Clear(z) \ On(x, Table)$

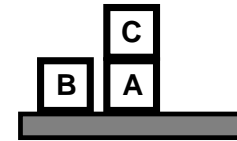
+ several inequality constraints



# Example contd.

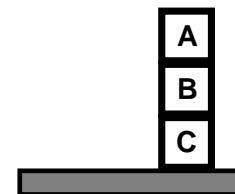
START

*On(C,A) On(A,Table) Cl(B) On(B,Table) Cl(C)*

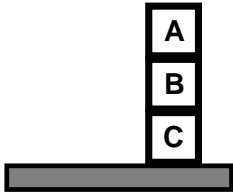
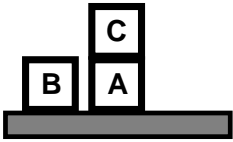
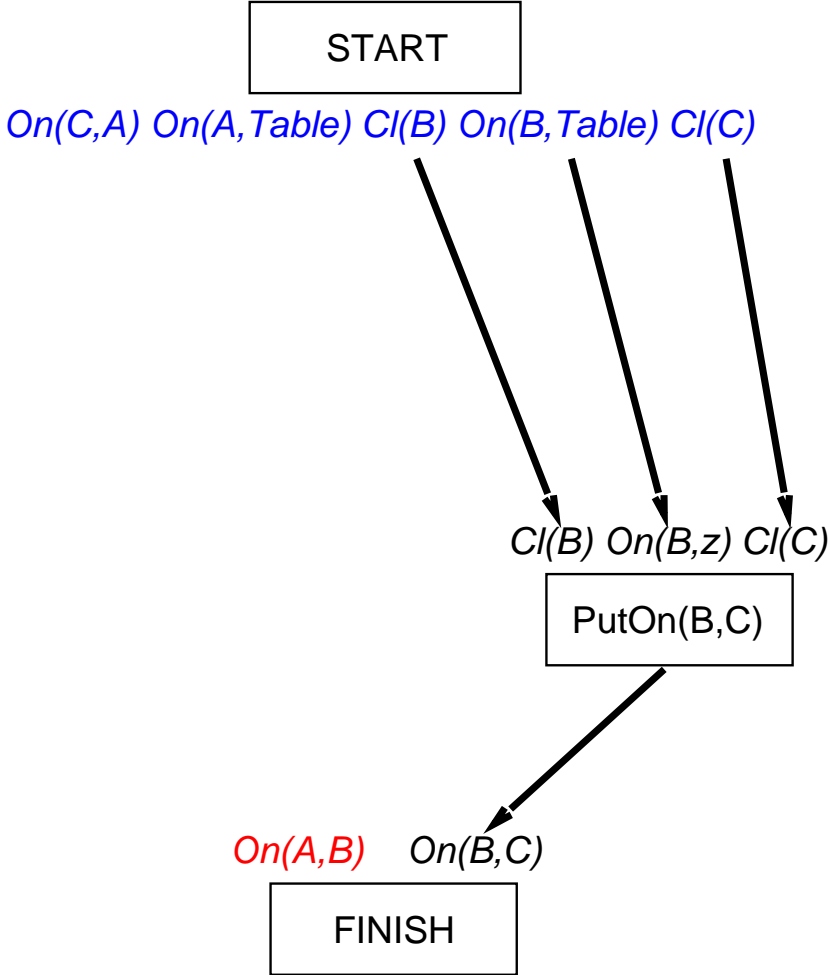


*On(A,B) On(B,C)*

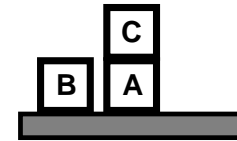
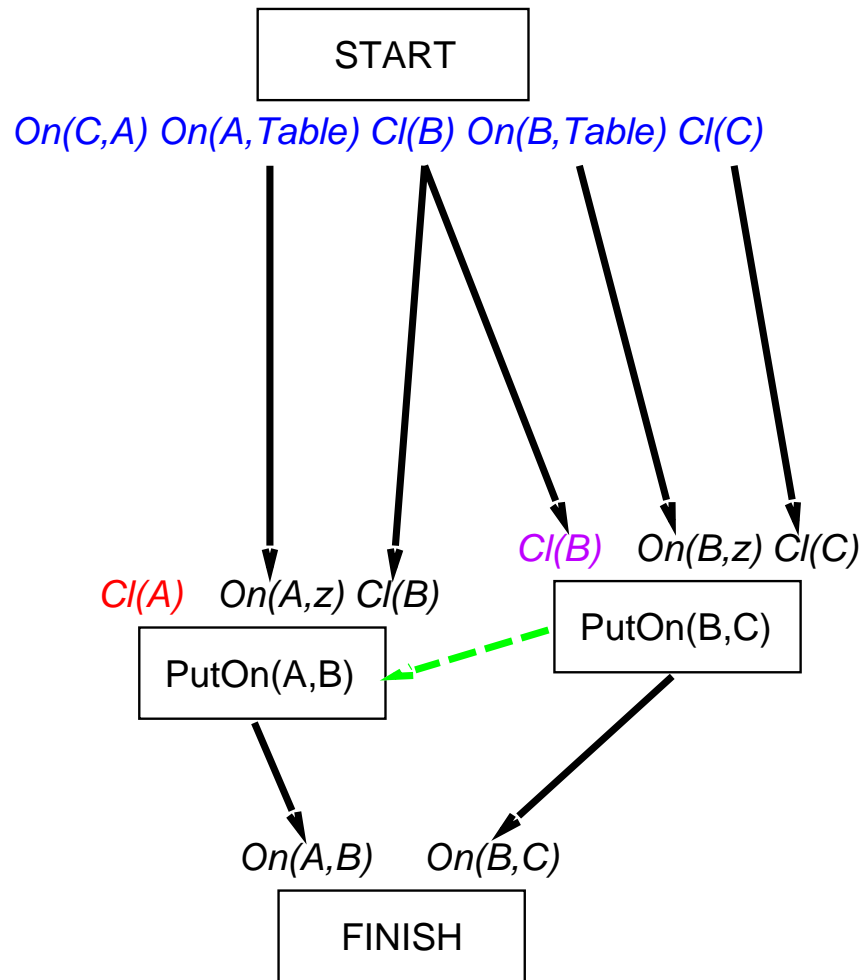
FINISH



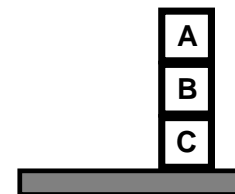
# Example contd.



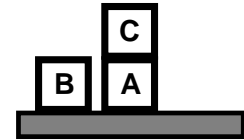
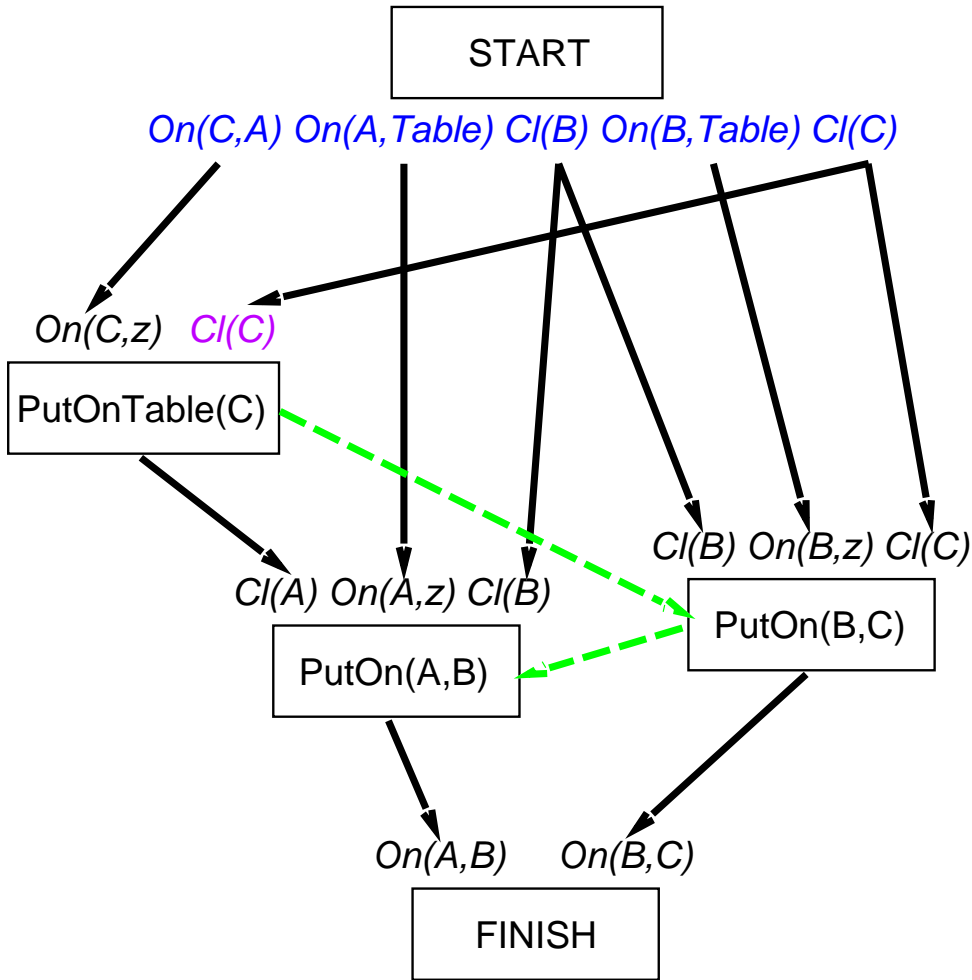
# Example contd.



PutOn(A,B)  
 clobbers Cl(B)  
 => order after  
 PutOn(B,C)



# Example contd.



PutOn(A,B)  
 clobbers Cl(B)  
 => order after  
 PutOn(B,C)

PutOn(B,C)  
 clobbers Cl(C)  
 => order after  
 PutOnTable(C)

