



Graph Algorithms

adapted from David Luebke

Graph Algorithms

- Graph algorithms
 - Should be largely review, easier for exam

Graphs

- A graph $G = (V, E)$
 - V = set of vertices
 - E = set of edges = subset of $V \times V$
 - Thus $|E| = O(|V|^2)$

Graph Variations

- Variations:
 - A *connected graph* has a path from every vertex to every other
 - In an *undirected graph*:
 - Edge (u,v) = edge (v,u)
 - No self-loops
 - In a *directed graph*:
 - Edge (u,v) goes from vertex u to vertex v , notated $u \rightarrow v$

Graph Variations

- More variations:
 - A *weighted graph* associates weights with either the edges or the vertices
 - E.g., a road map: edges might be weighted w/ distance
 - A *multigraph* allows multiple edges between the same vertices
 - E.g., the call graph in a program (a function can get called from multiple points in another function)

Graphs

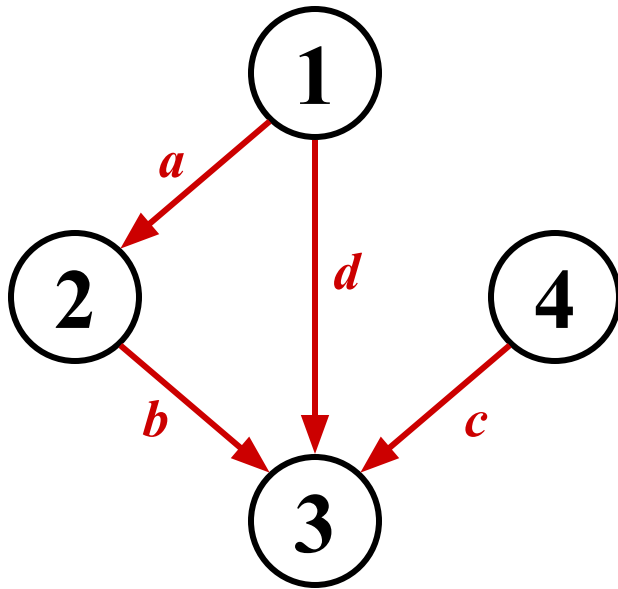
- We will typically express running times in terms of $|E|$ and $|V|$ (often dropping the $|$'s)
 - If $|E| \approx |V|^2$ the graph is *dense*
 - If $|E| \approx |V|$ the graph is *sparse*
- If you know you are dealing with dense or sparse graphs, different data structures may make sense

Representing Graphs

- Assume $V = \{1, 2, \dots, n\}$
- An *adjacency matrix* represents the graph as a $n \times n$ matrix A :
 - $A[i, j] = 1$ if edge $(i, j) \in E$ (or weight of edge)
 $= 0$ if edge $(i, j) \notin E$

Graphs: Adjacency Matrix

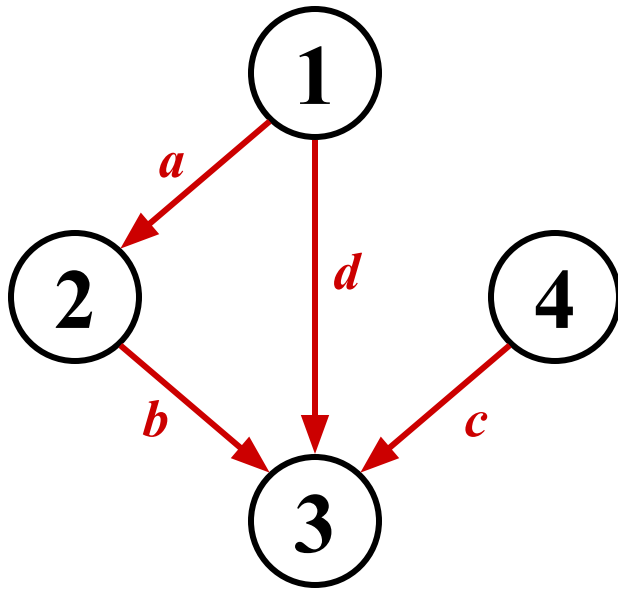
- Example:



A	1	2	3	4
1				
2				
3			??	
4				

Graphs: Adjacency Matrix

- Example:



A	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

Graphs: Adjacency Matrix

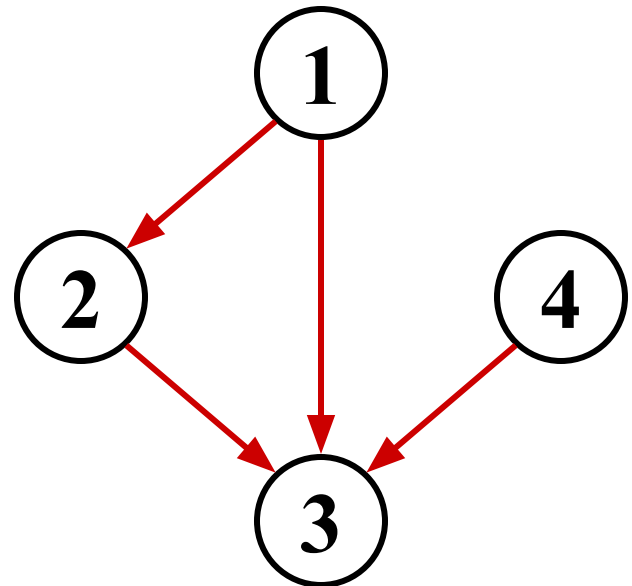
- *How much storage does the adjacency matrix require?*
 - A: $O(V^2)$
- *What is the minimum amount of storage needed by an adjacency matrix representation of an undirected graph with 4 vertices?*
 - A: 6 bits
 - Undirected graph $\rightarrow$ matrix is symmetric
 - No self-loops $\rightarrow$ don't need diagonal

Graphs: Adjacency Matrix

- The adjacency matrix is a dense representation
 - Usually too much storage for large graphs
 - But can be very efficient for small graphs
- Most large interesting graphs are sparse
 - E.g., planar graphs, in which no edges cross, have $|E| = O(|V|)$ by Euler's formula
 - For this reason the *adjacency list* is often a more appropriate representation

Graphs: Adjacency List

- Adjacency list: for each vertex $v \in V$, store a list of vertices adjacent to v
- Example:
 - $\text{Adj}[1] = \{2,3\}$
 - $\text{Adj}[2] = \{3\}$
 - $\text{Adj}[3] = \{\}$
 - $\text{Adj}[4] = \{3\}$
- Variation: can also keep a list of edges coming *into* vertex



Graphs: Adjacency List

- How much storage is required?
 - The *degree* of a vertex $v = \#$ incident edges
 - Directed graphs have in-degree, out-degree
 - For directed graphs, # of items in adjacency lists is $\sum \text{out-degree}(v) = |E|$
takes $\Theta(V + E)$ storage (*Why?*)
 - For undirected graphs, # items in adj lists is $\sum \text{degree}(v) = 2 |E|$ (*handshaking lemma*)
also $\Theta(V + E)$ storage
- So: Adjacency lists take $O(V+E)$ storage

Graph Searching

- Given: a graph $G = (V, E)$, directed or undirected
- Goal: methodically explore every vertex and every edge
- Ultimately: build a tree on the graph
 - Pick a vertex as the root
 - Choose certain edges to produce a tree
 - Note: might also build a *forest* if graph is not connected

Breadth-First Search

- “Explore” a graph, turning it into a tree
 - One vertex at a time
 - Expand frontier of explored vertices across the *breadth* of the frontier
- Builds a tree over the graph
 - Pick a *source vertex* to be the root
 - Find (“discover”) its children, then their children, etc.

Breadth-First Search

- Again will associate vertex “colors” to guide the algorithm
 - White vertices have not been discovered
 - All vertices start out white
 - Grey vertices are discovered but not fully explored
 - They may be adjacent to white vertices
 - Black vertices are discovered and fully explored
 - They are adjacent only to black and gray vertices
- Explore vertices by scanning adjacency list of grey vertices

Breadth-First Search

```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};    // Q is a queue (duh); initialize to s  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

What does $v \rightarrow d$ represent?

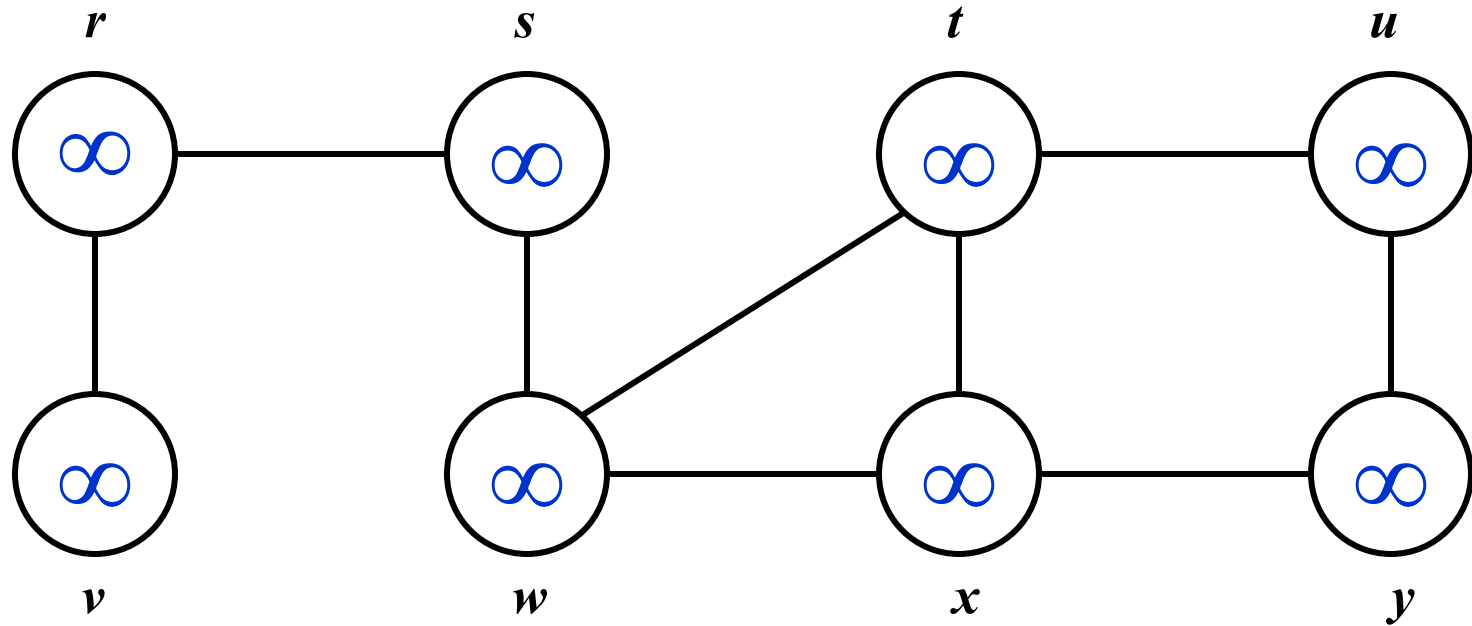
What does $v \rightarrow p$ represent?

Breadth-First Search

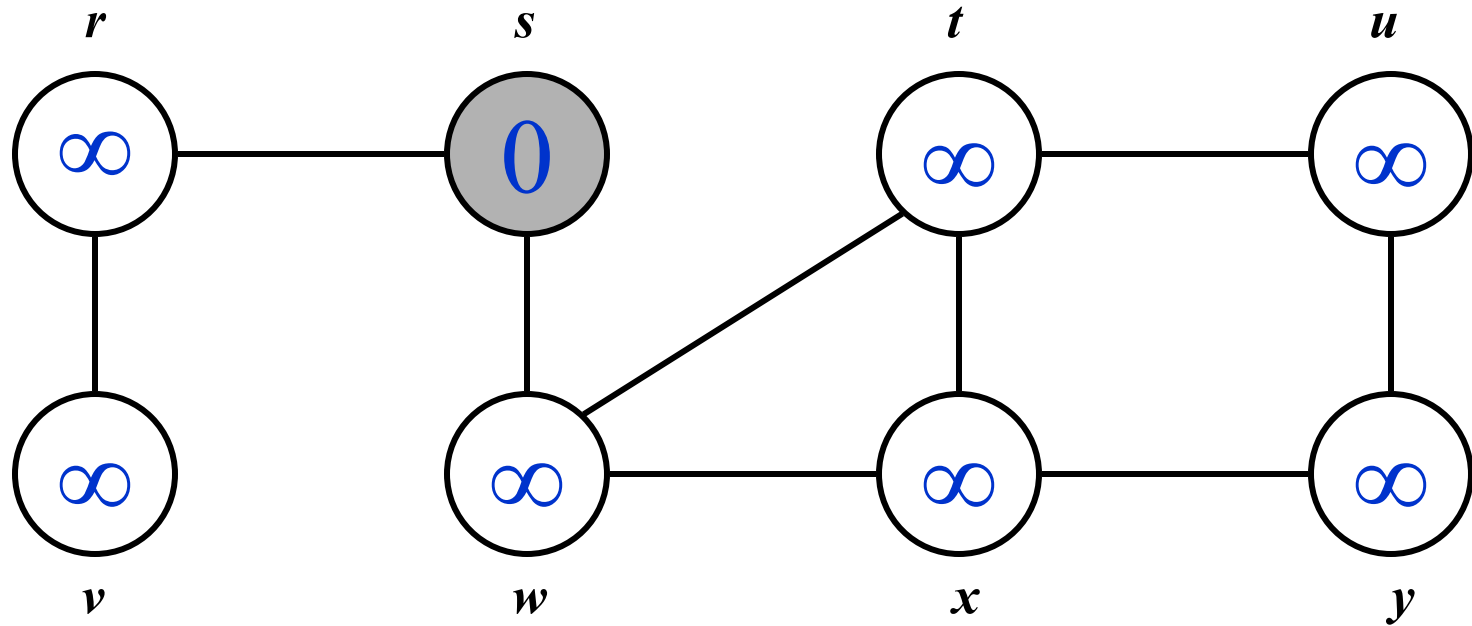
BFS(G, s)

```
1  for each vertex  $u \in V[G] - \{s\}$ 
2      do  $color[u] \leftarrow \text{WHITE}$ 
3           $d[u] \leftarrow \infty$ 
4           $\pi[u] \leftarrow \text{NIL}$ 
5   $color[s] \leftarrow \text{GRAY}$ 
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow \text{NIL}$ 
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11     do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12         for each  $v \in Adj[u]$ 
13             do if  $color[v] = \text{WHITE}$ 
14                 then  $color[v] \leftarrow \text{GRAY}$ 
15                      $d[v] \leftarrow d[u] + 1$ 
16                      $\pi[v] \leftarrow u$ 
17                     ENQUEUE( $Q, v$ )
18      $color[u] \leftarrow \text{BLACK}$ 
```

Breadth-First Search: Example

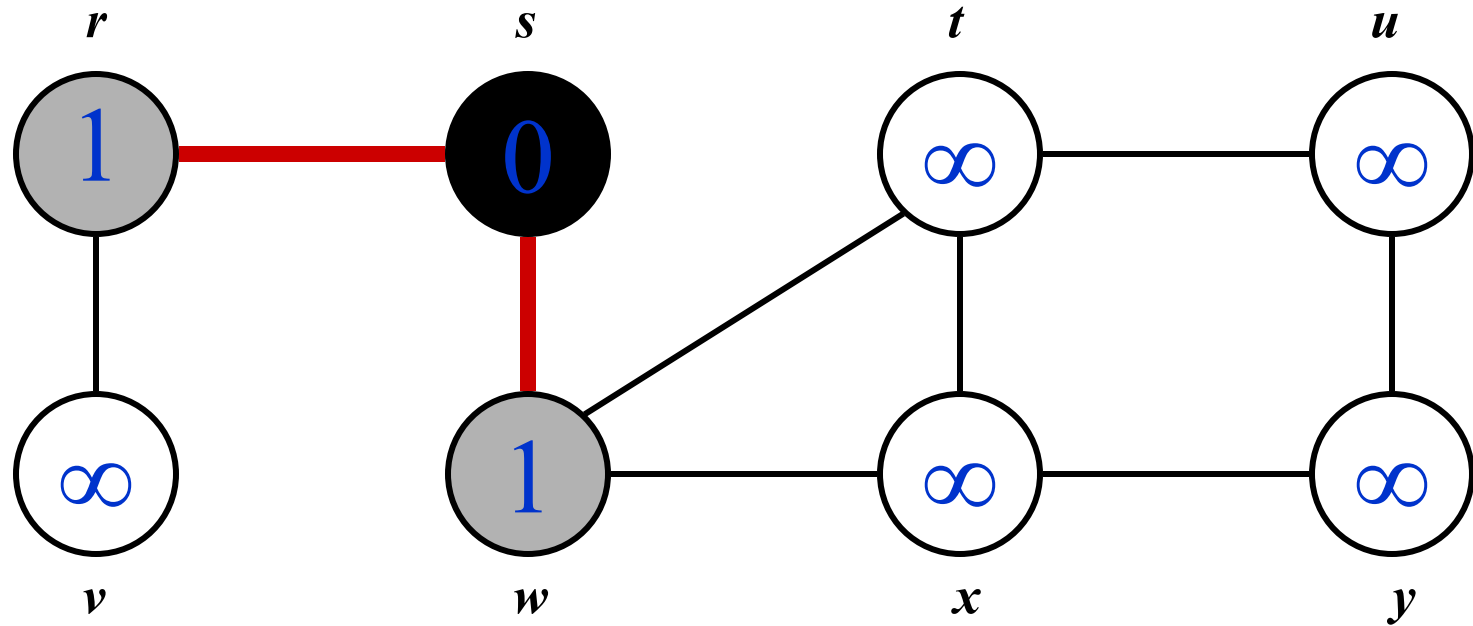


Breadth-First Search: Example



Q : s

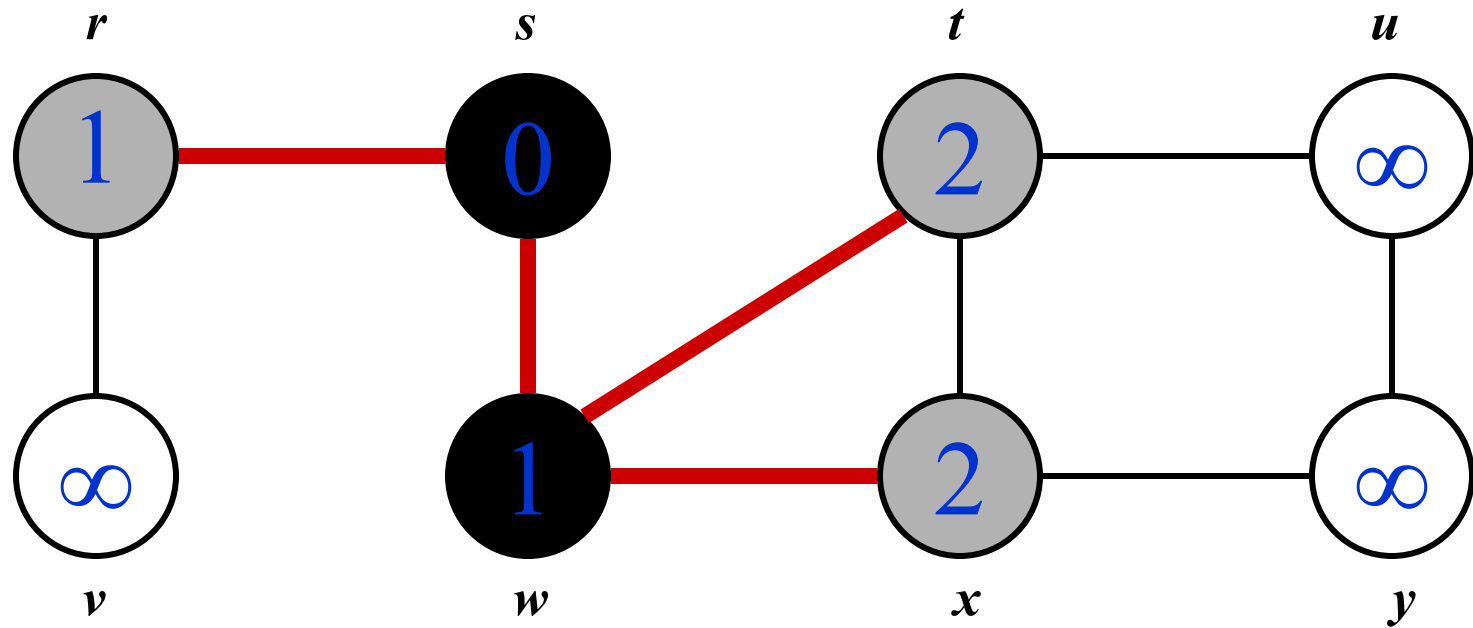
Breadth-First Search: Example



$Q:$

w	r
-----	-----

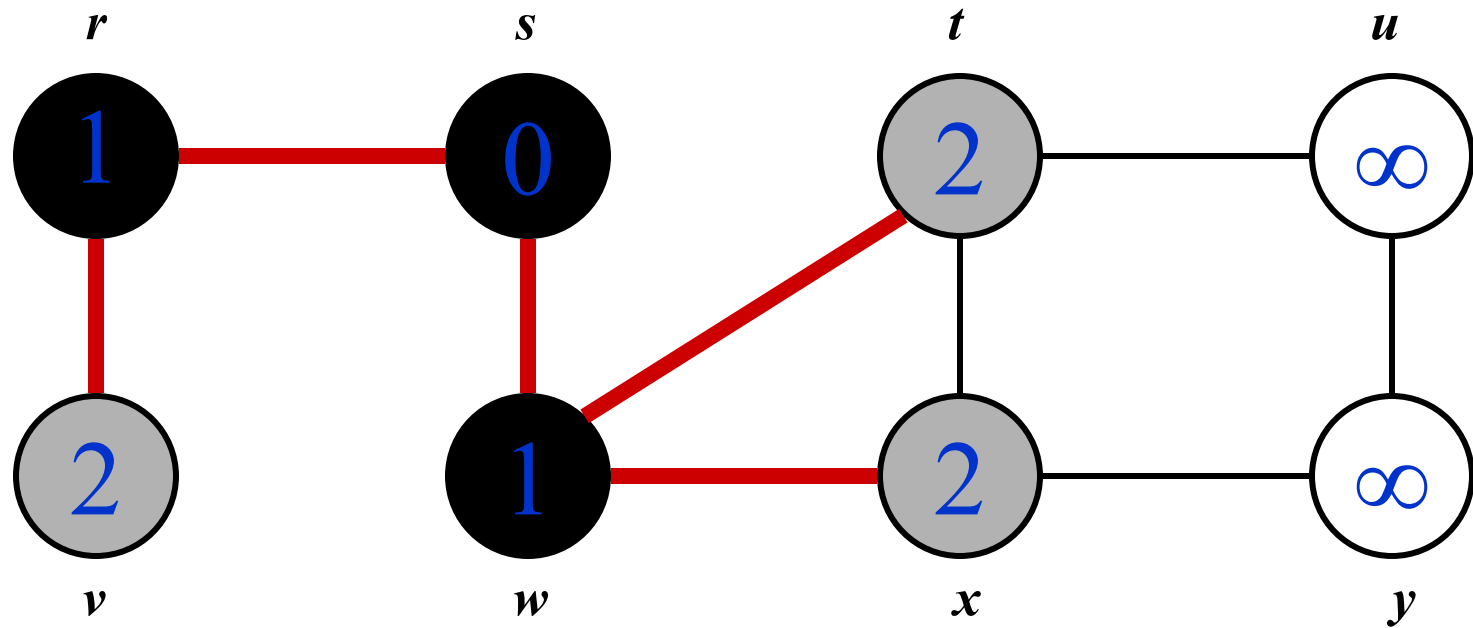
Breadth-First Search: Example



Q :

r	t	x
-----	-----	-----

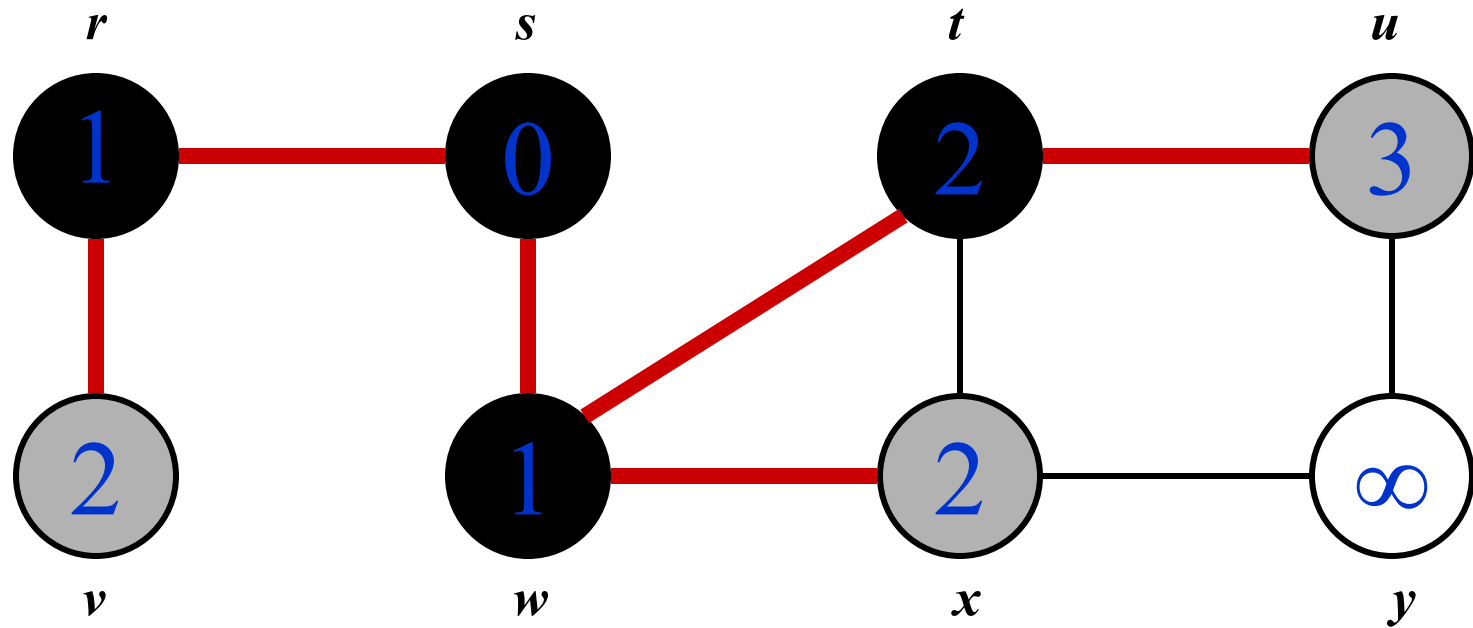
Breadth-First Search: Example



Q :

t	x	v
-----	-----	-----

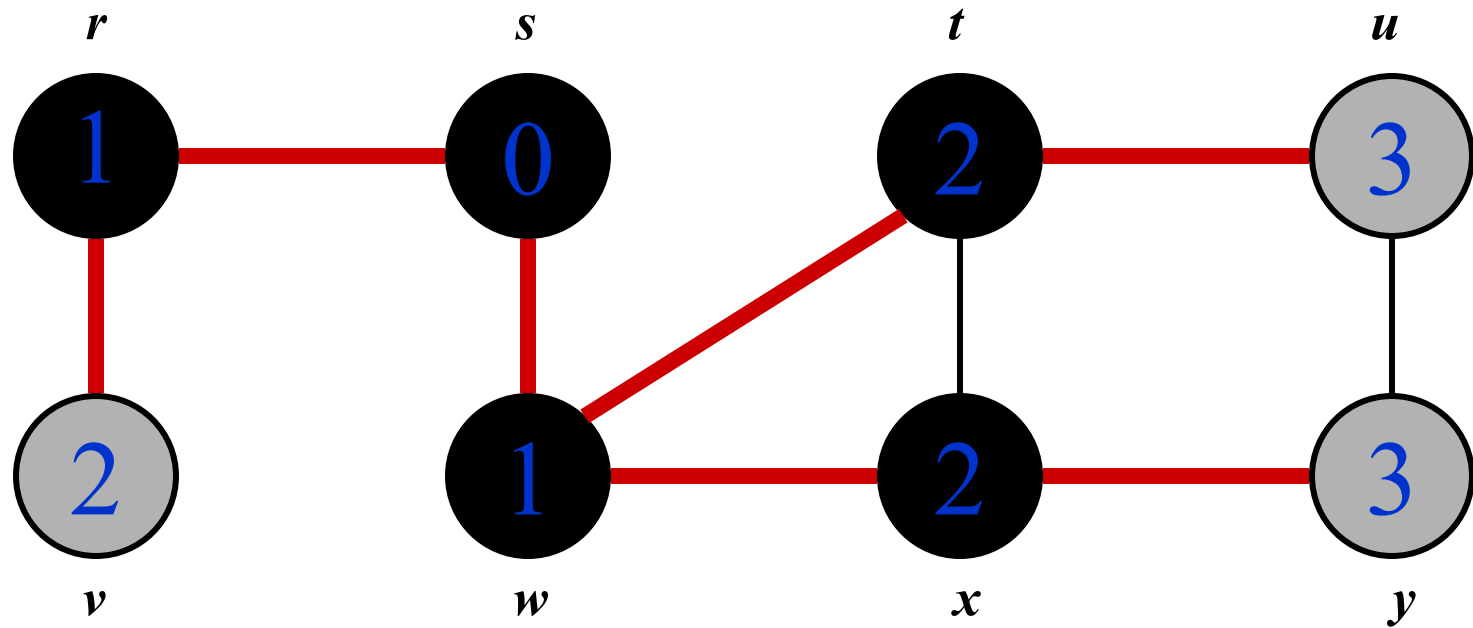
Breadth-First Search: Example



Q :

x	v	u
-----	-----	-----

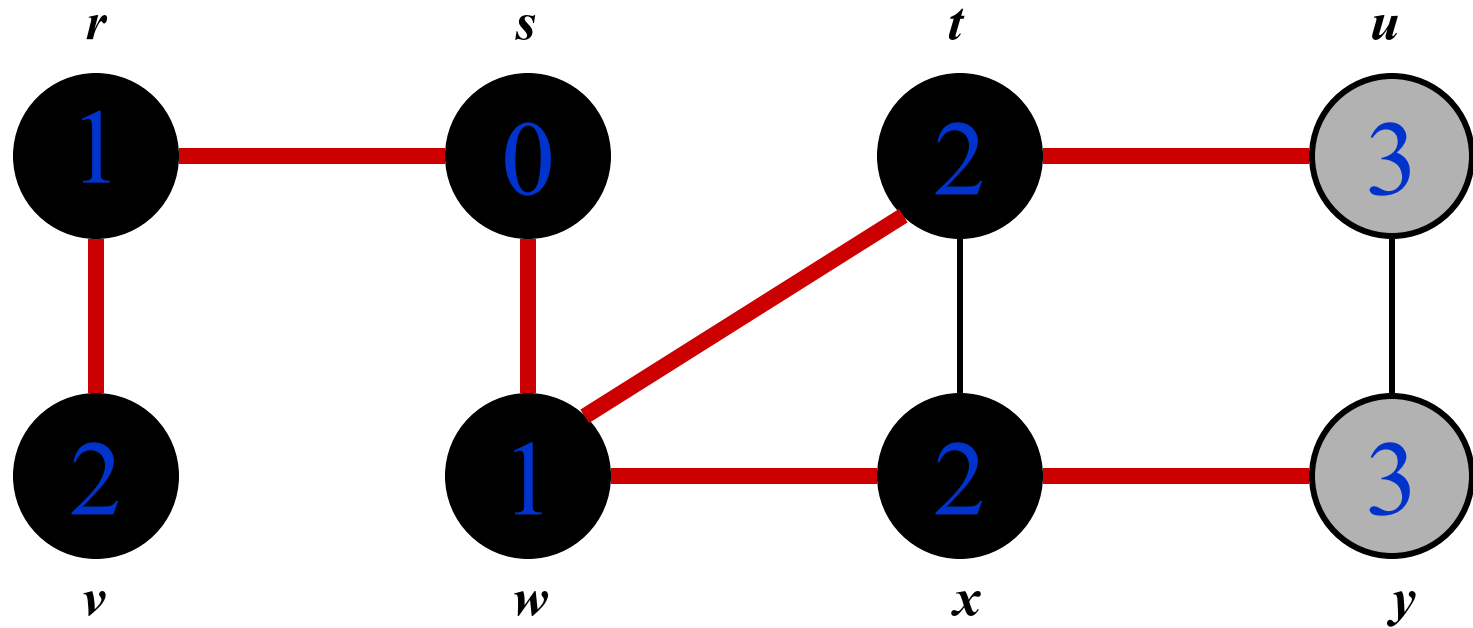
Breadth-First Search: Example



Q :

v	u	y
-----	-----	-----

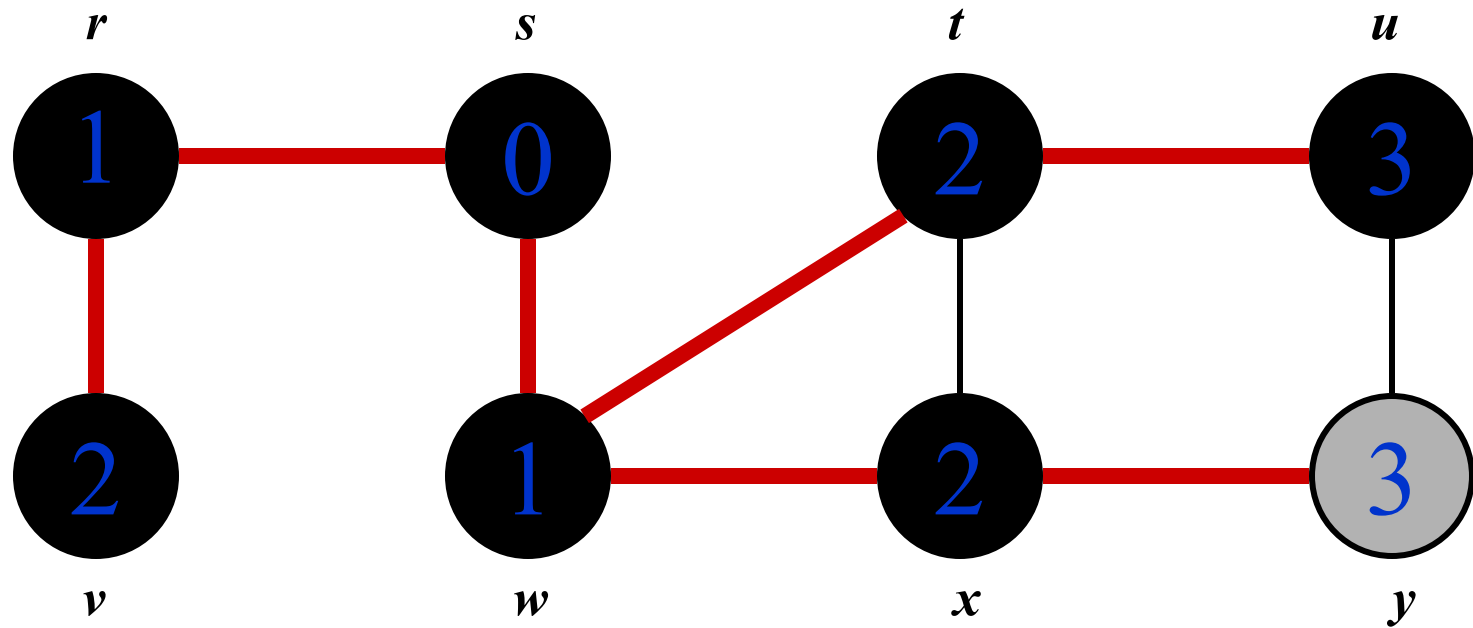
Breadth-First Search: Example



Q :

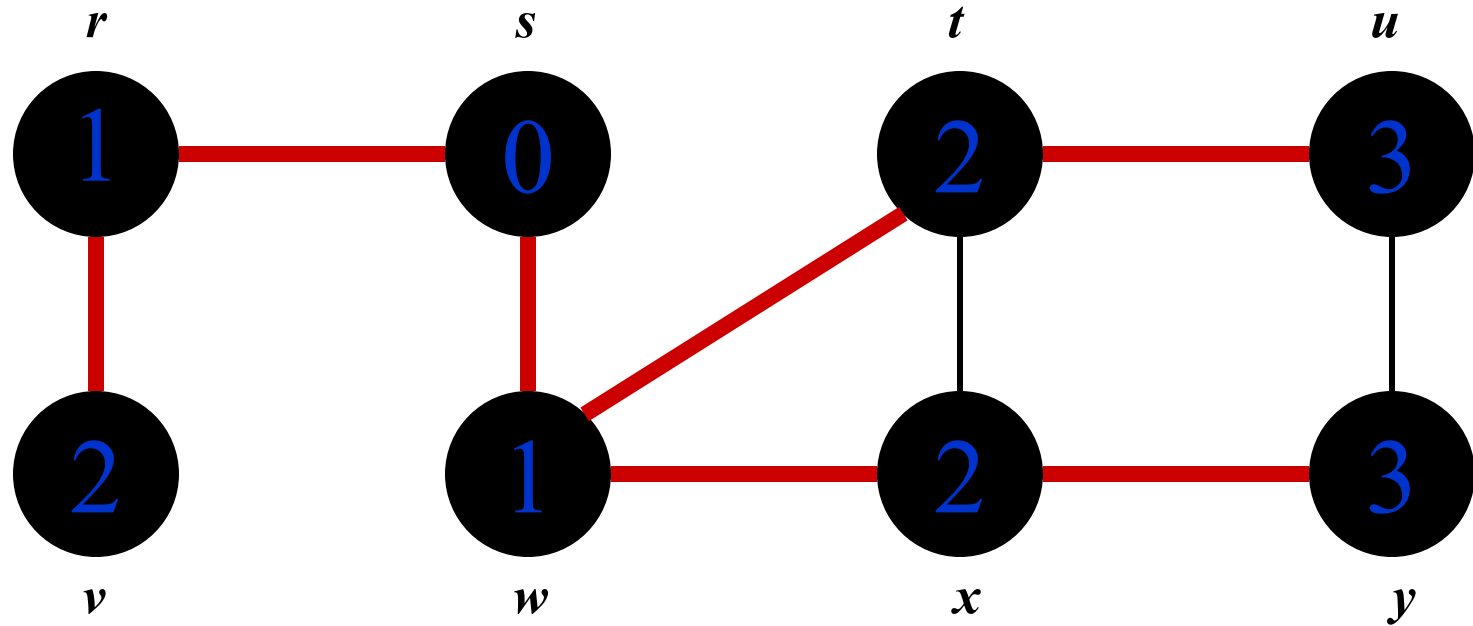
u	y
-----	-----

Breadth-First Search: Example



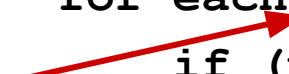
Q : y

Breadth-First Search: Example



$Q: \emptyset$

BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices;  Touch every vertex:  $O(V)$   
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q);  u = every vertex, but only once  
        for each v  $\in$  u->adj {  
             if (v->color == WHITE)  
                So v = every vertex v->color = GREY;  
                that appears in v->d = u->d + 1;  
                some other vert's v->p = u;  
                adjacency list Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

(Why?)

What will be the running time

Total running time: $O(V+E)$

BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

*What will be the storage cost
in addition to storing the tree?*

Total space used:

$O(\max(\text{degree}(v))) = O(E)$

Breadth-First Search: Properties

- BFS calculates the *shortest-path distance* to the source node
 - Shortest-path distance $\delta(s,v)$ = minimum number of edges from s to v , or ∞ if v not reachable from s
 - Proof given in the book (p. 472-5)
- BFS builds *breadth-first tree*, in which paths to root represent shortest paths in G
 - Thus can use BFS to calculate shortest path from one vertex to another in $O(V+E)$ time

Depth-First Search

- *Depth-first search* is another strategy for exploring a graph
 - Explore “deeper” in the graph whenever possible
 - Edges are explored out of the most recently discovered vertex v that still has unexplored edges
 - When all of v 's edges have been explored, backtrack to the vertex from which v was discovered

DFS Code

```
DFS (G)
```

```
{  
    for each vertex  $u \in G \rightarrow V$   
    {  
         $u \rightarrow \text{color} = \text{WHITE};$   
    }  
    time = 0;  
    for each vertex  $u \in G \rightarrow V$   
    {  
        if ( $u \rightarrow \text{color} == \text{WHITE}$ )  
            DFS_Visit(u);  
    }  
}
```

```
DFS_Visit(u)
```

```
{  
     $u \rightarrow \text{color} = \text{YELLOW};$   
    time = time+1;  
     $u \rightarrow d = \text{time};$   
    for each  $v \in u \rightarrow \text{Adj}[]$   
    {  
        if ( $v \rightarrow \text{color} == \text{WHITE}$ )  
            DFS_Visit(v);  
    }  
     $u \rightarrow \text{color} = \text{BLACK};$   
    time = time+1;  
     $u \rightarrow f = \text{time};$   
}
```

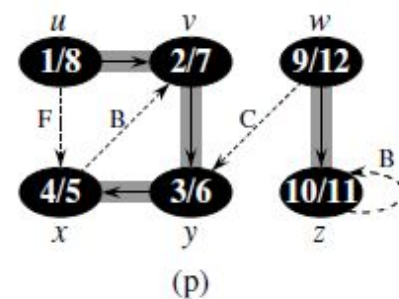
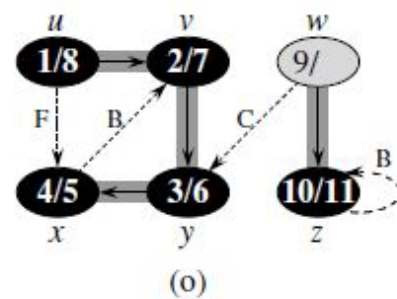
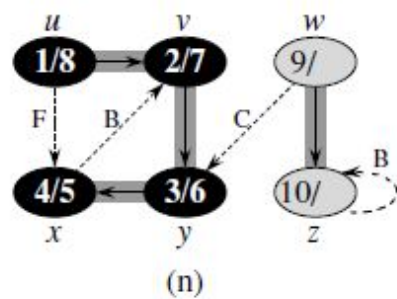
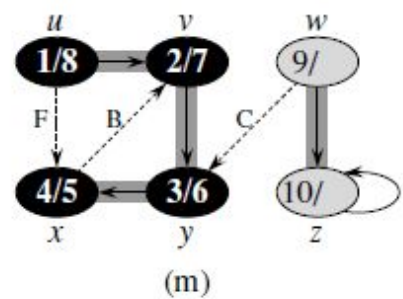
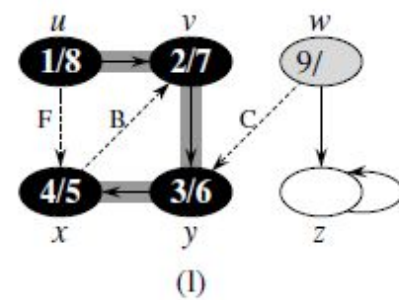
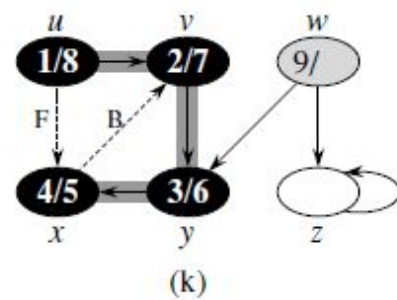
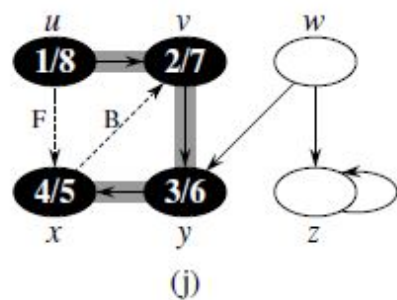
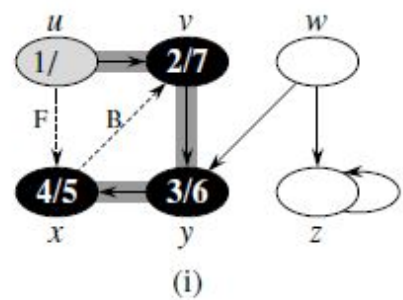
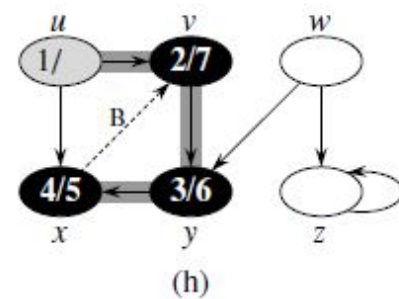
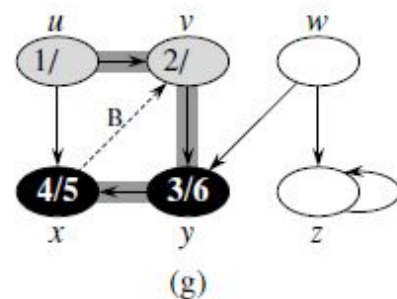
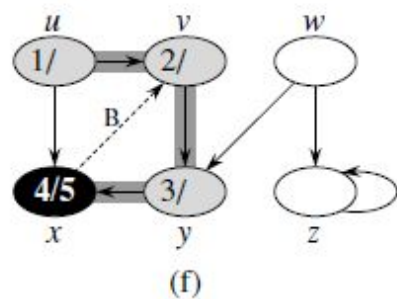
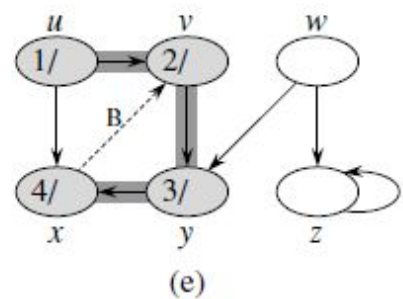
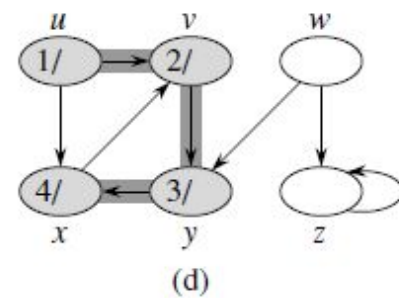
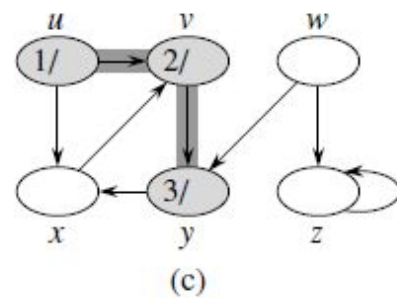
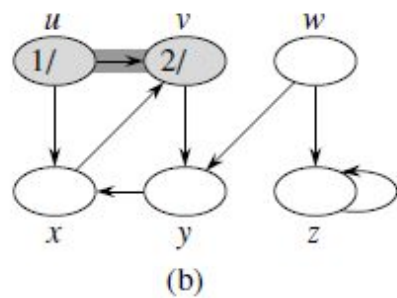
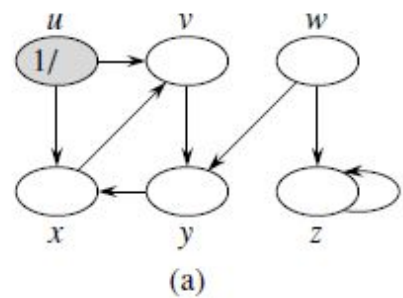
DFS Code

DFS(G)

```
1  for each vertex  $u \in V[G]$ 
2      do  $color[u] \leftarrow \text{WHITE}$ 
3           $\pi[u] \leftarrow \text{NIL}$ 
4   $time \leftarrow 0$ 
5  for each vertex  $u \in V[G]$ 
6      do if  $color[u] = \text{WHITE}$ 
7          then DFS-VISIT( $u$ )
```

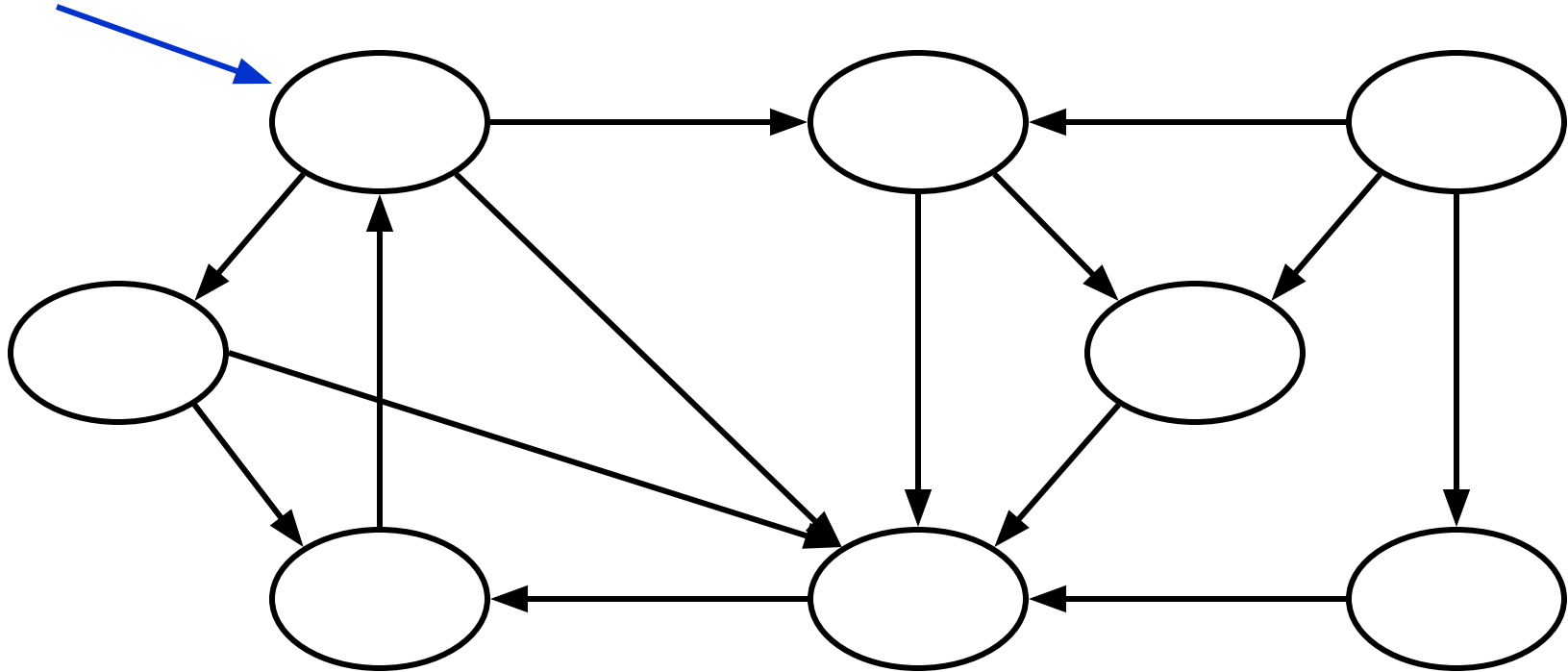
DFS-VISIT(u)

```
1   $color[u] \leftarrow \text{GRAY}$        $\triangleright$  White vertex  $u$  has just been discovered.
2   $time \leftarrow time + 1$ 
3   $d[u] \leftarrow time$ 
4  for each  $v \in Adj[u]$        $\triangleright$  Explore edge  $(u, v)$ .
5      do if  $color[v] = \text{WHITE}$ 
6          then  $\pi[v] \leftarrow u$ 
7              DFS-VISIT( $v$ )
8   $color[u] \leftarrow \text{BLACK}$        $\triangleright$  Blacken  $u$ ; it is finished.
9   $f[u] \leftarrow time \leftarrow time + 1$ 
```

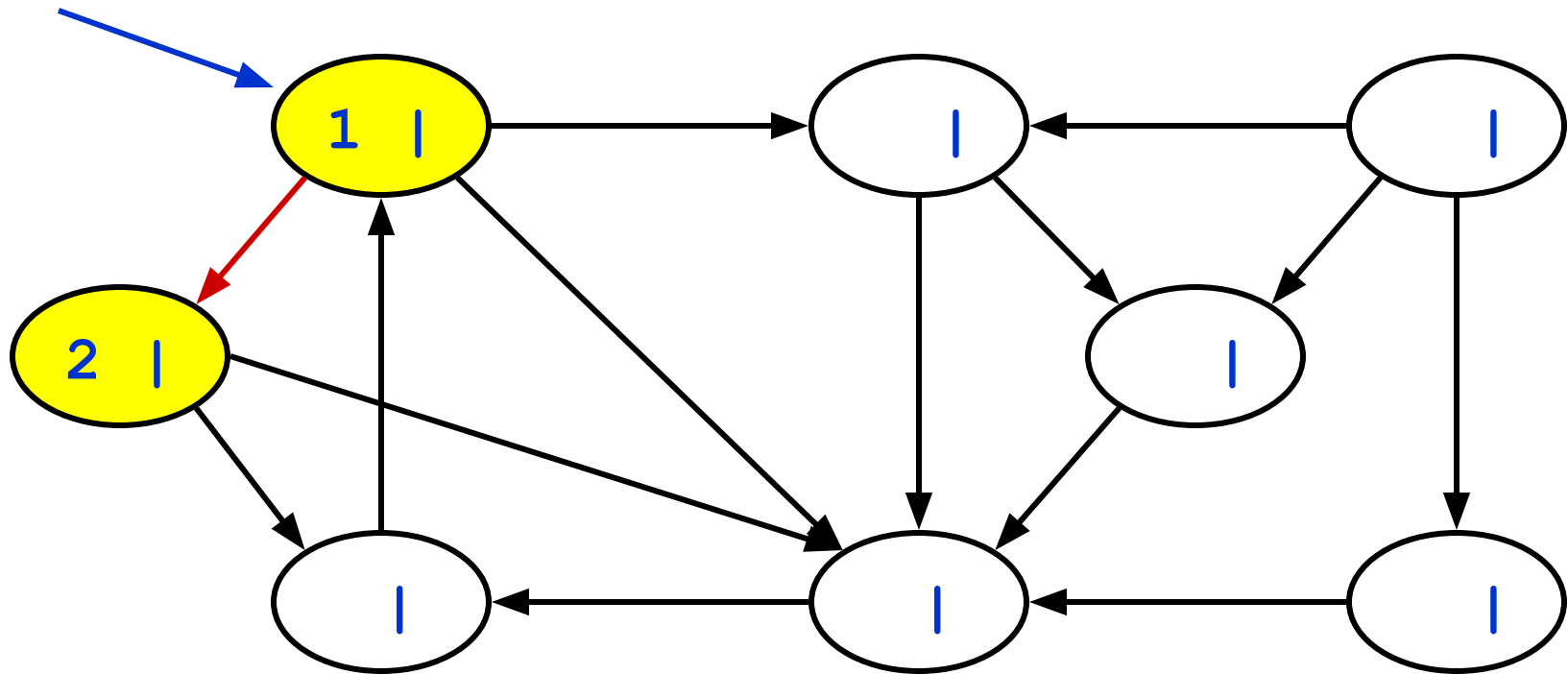


DFS Example

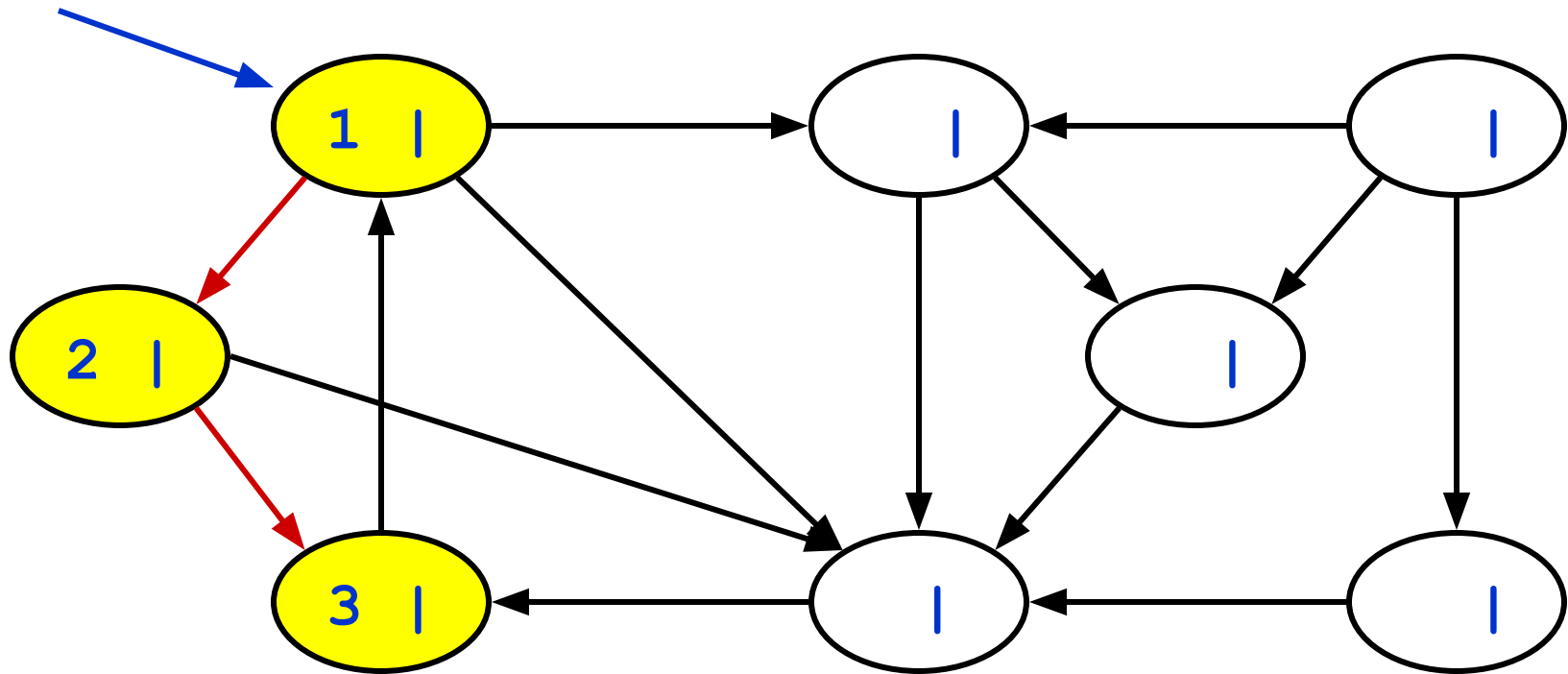
*source
vertex*



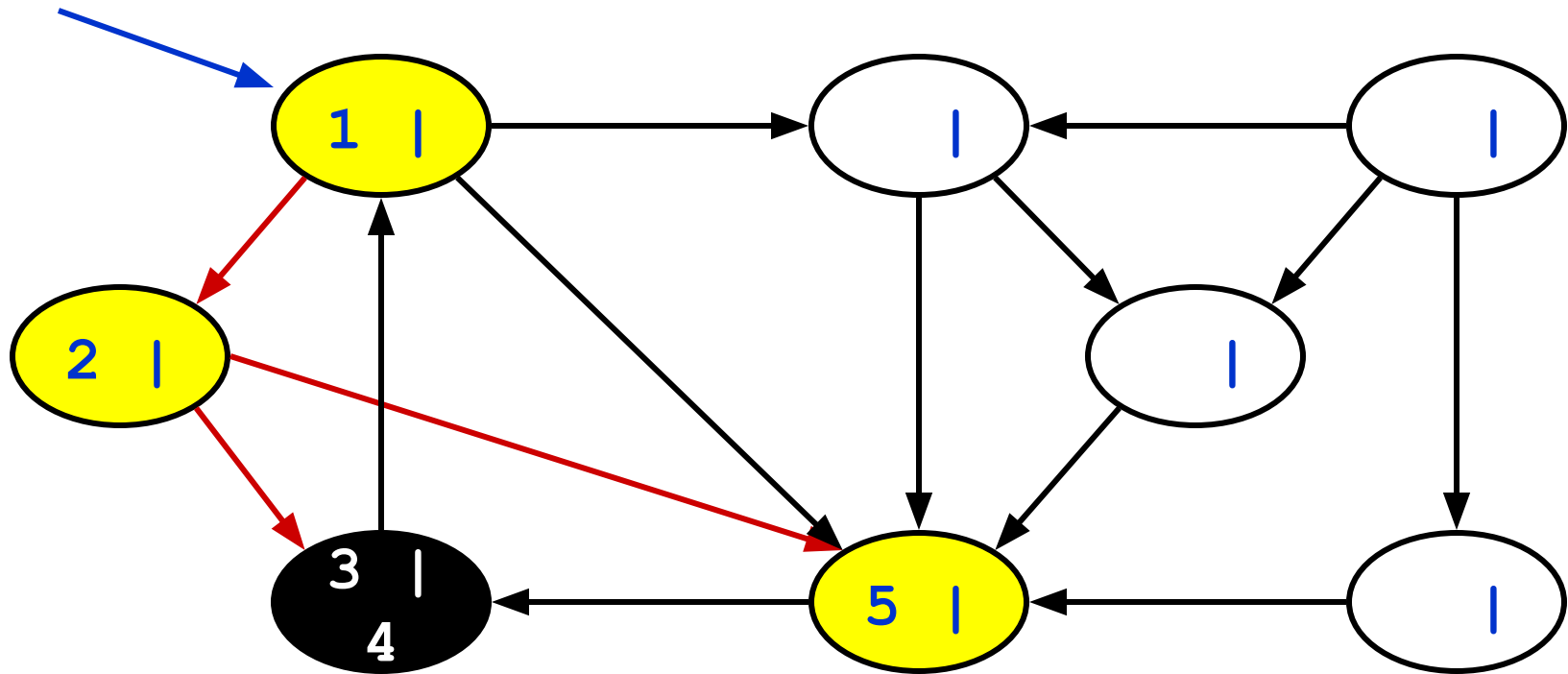
DFS Example



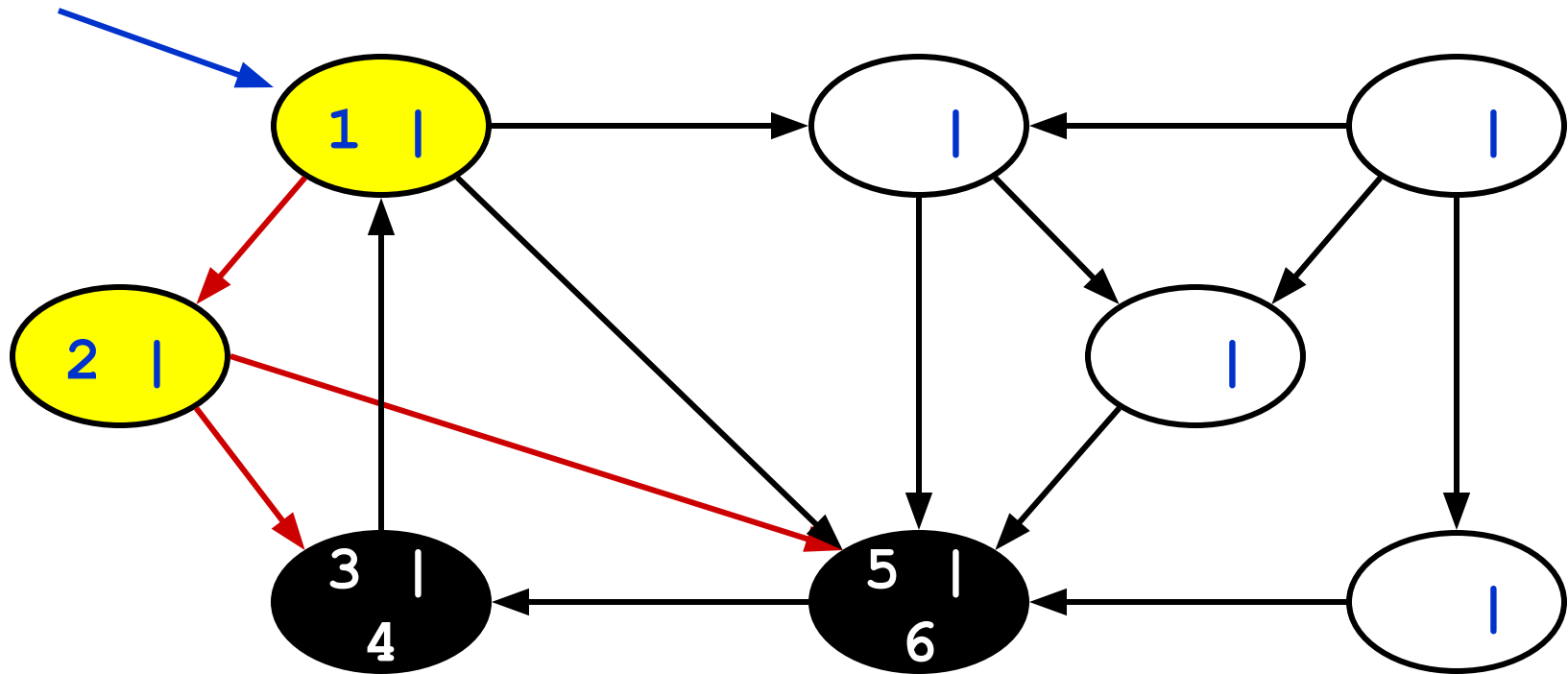
DFS Example



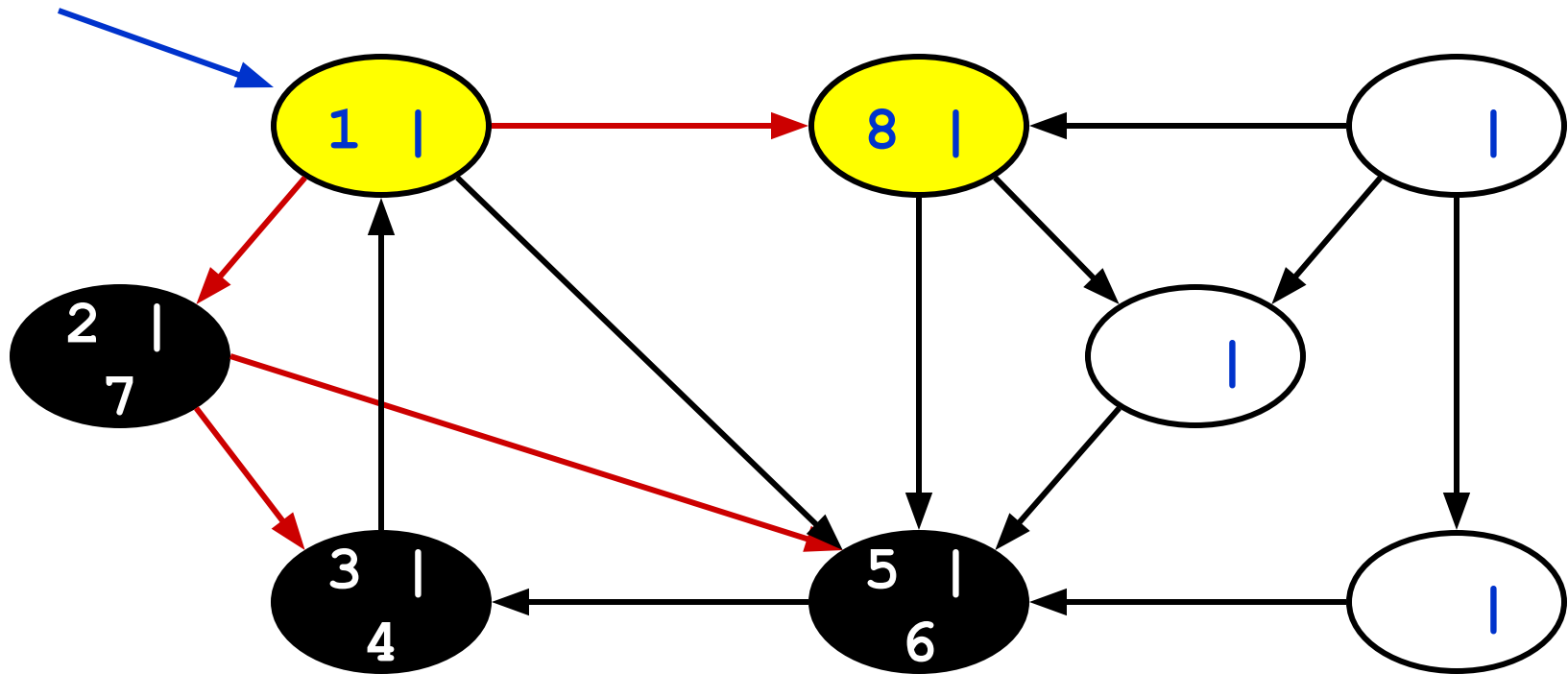
DFS Example



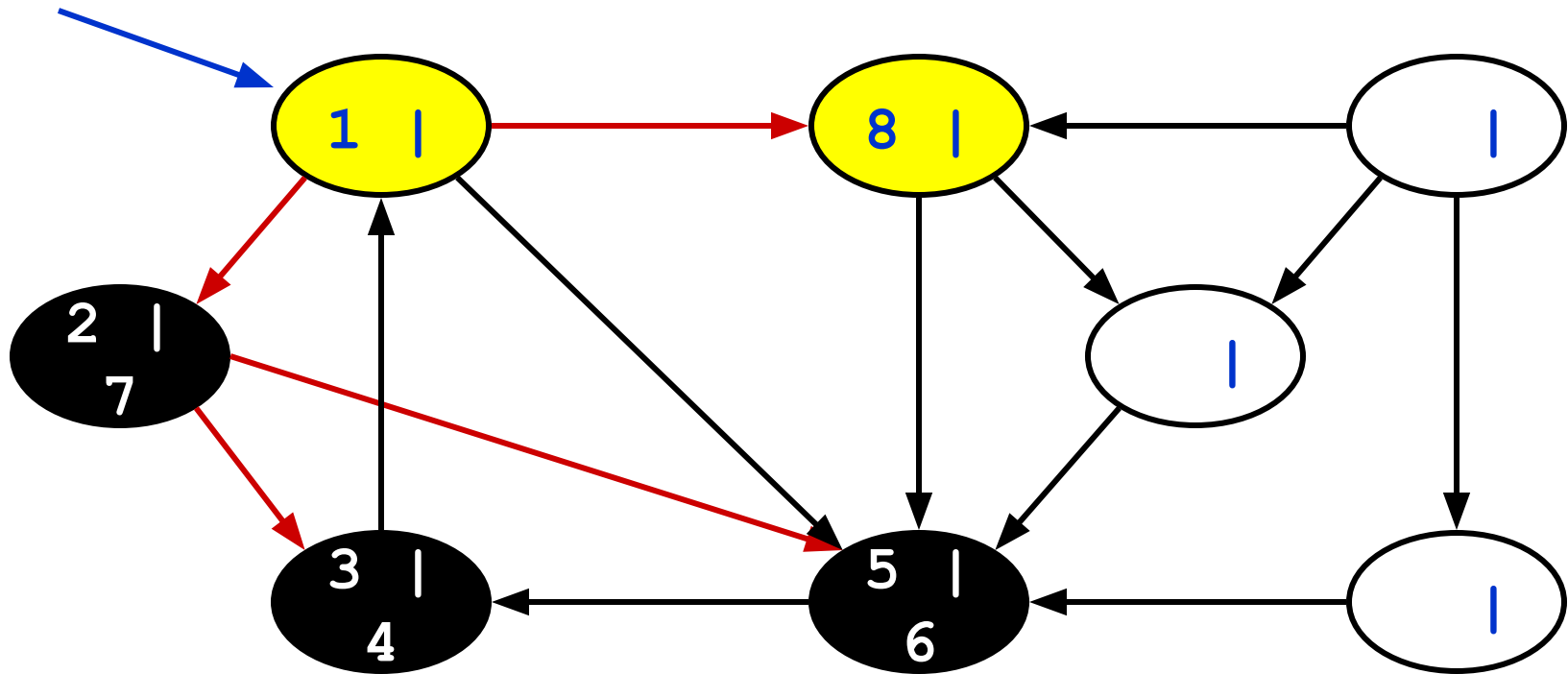
DFS Example



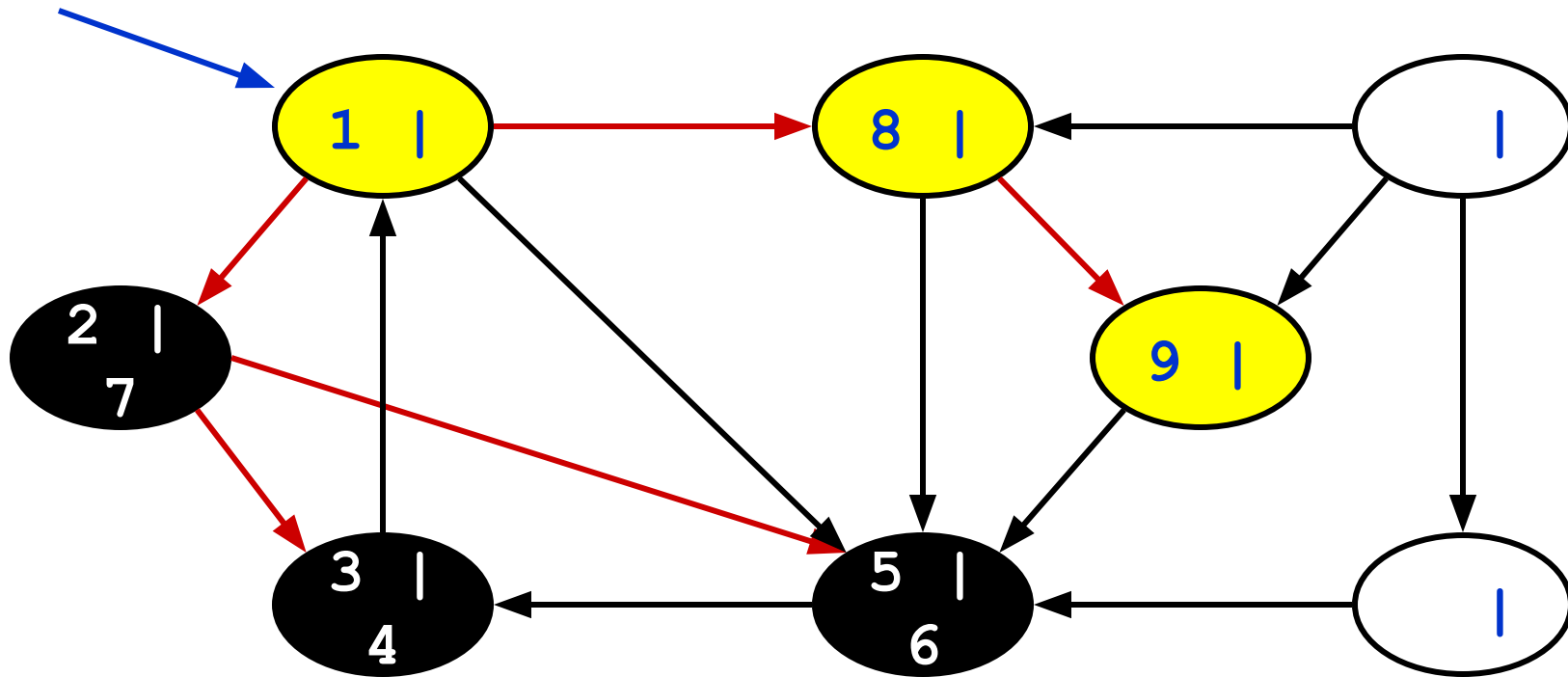
DFS Example



DFS Example

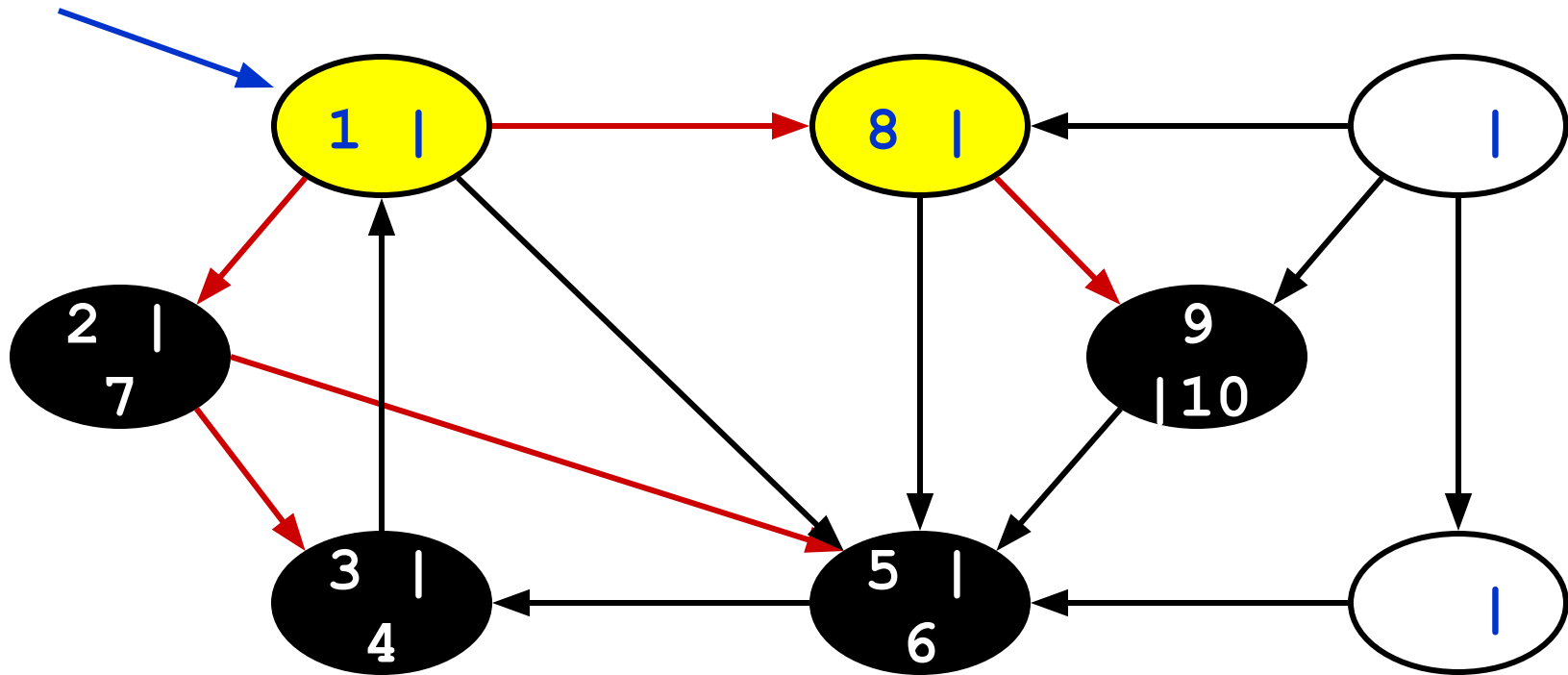


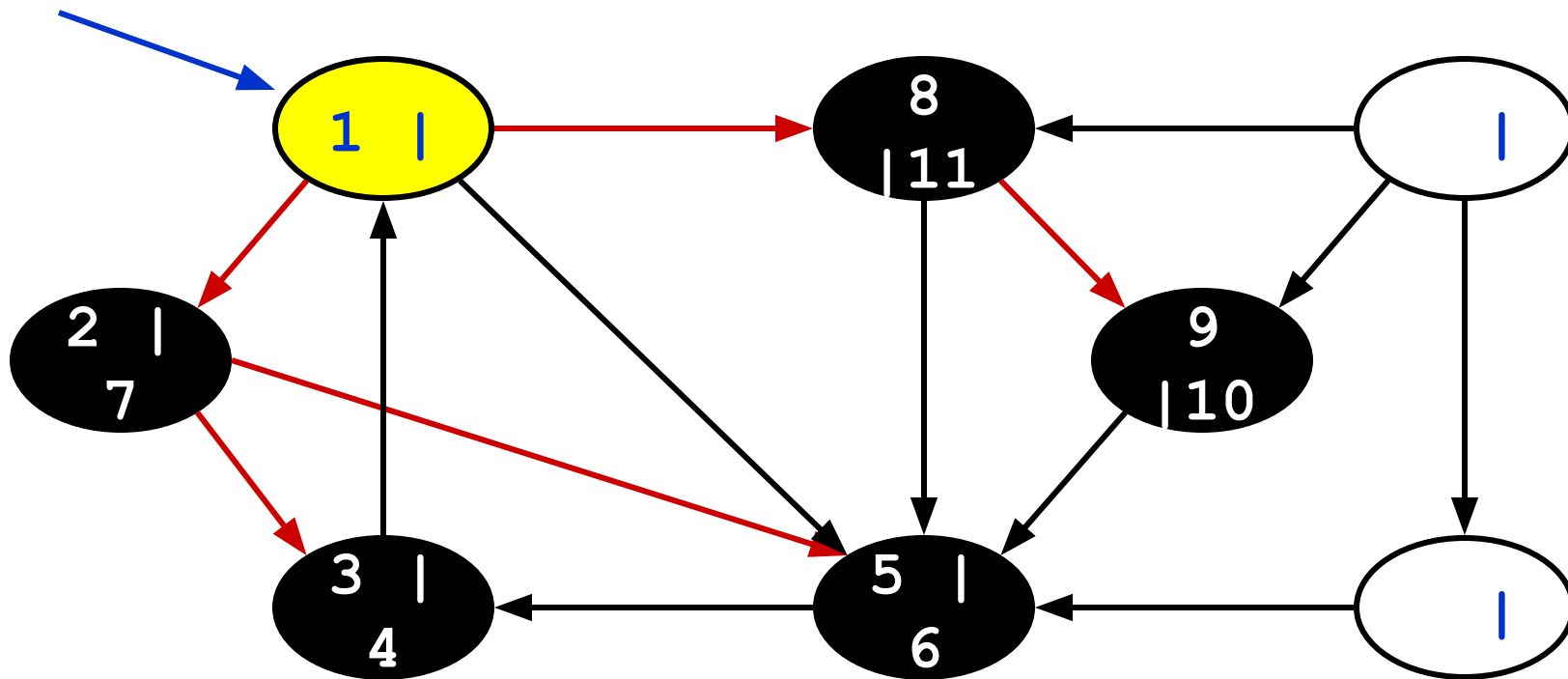
DFS Example



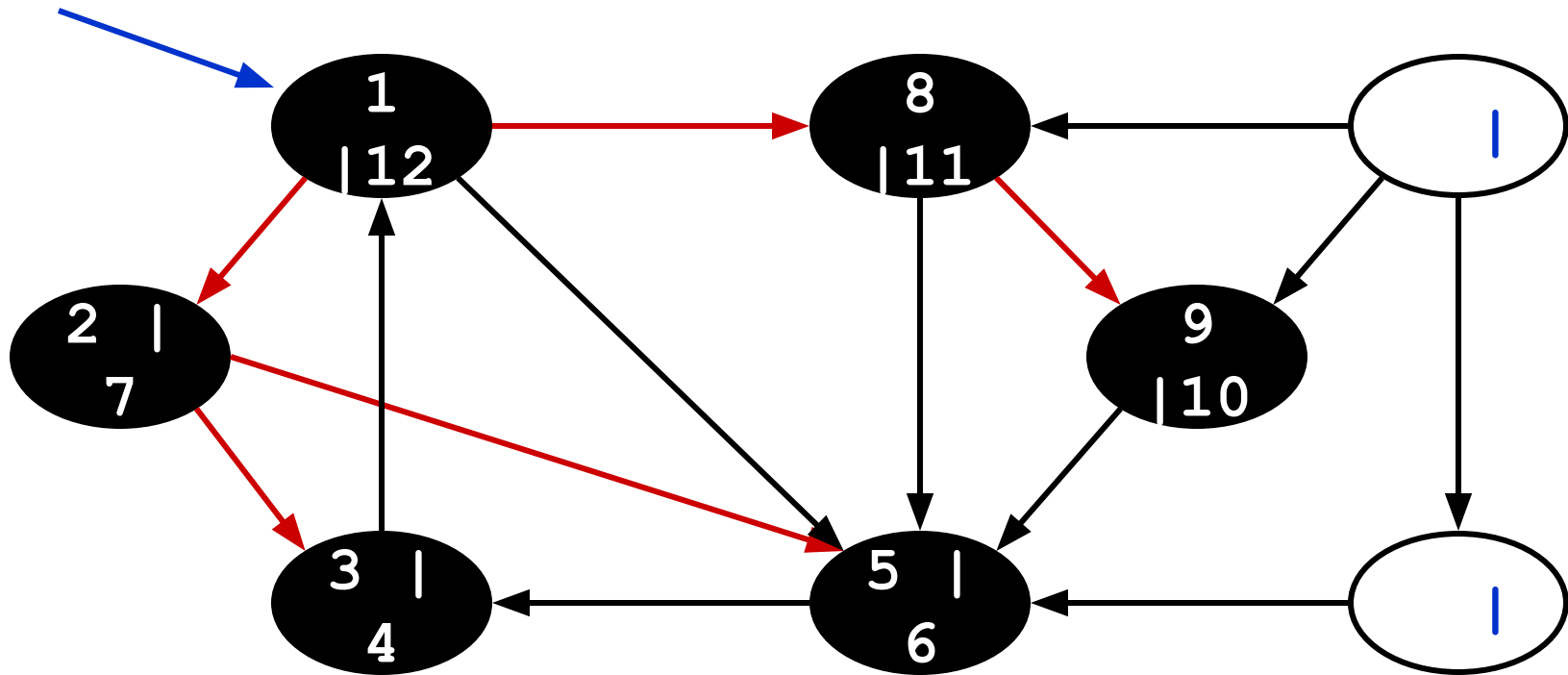
*What is the structure of the yellow vertices?
What do they represent?*

DFS Example

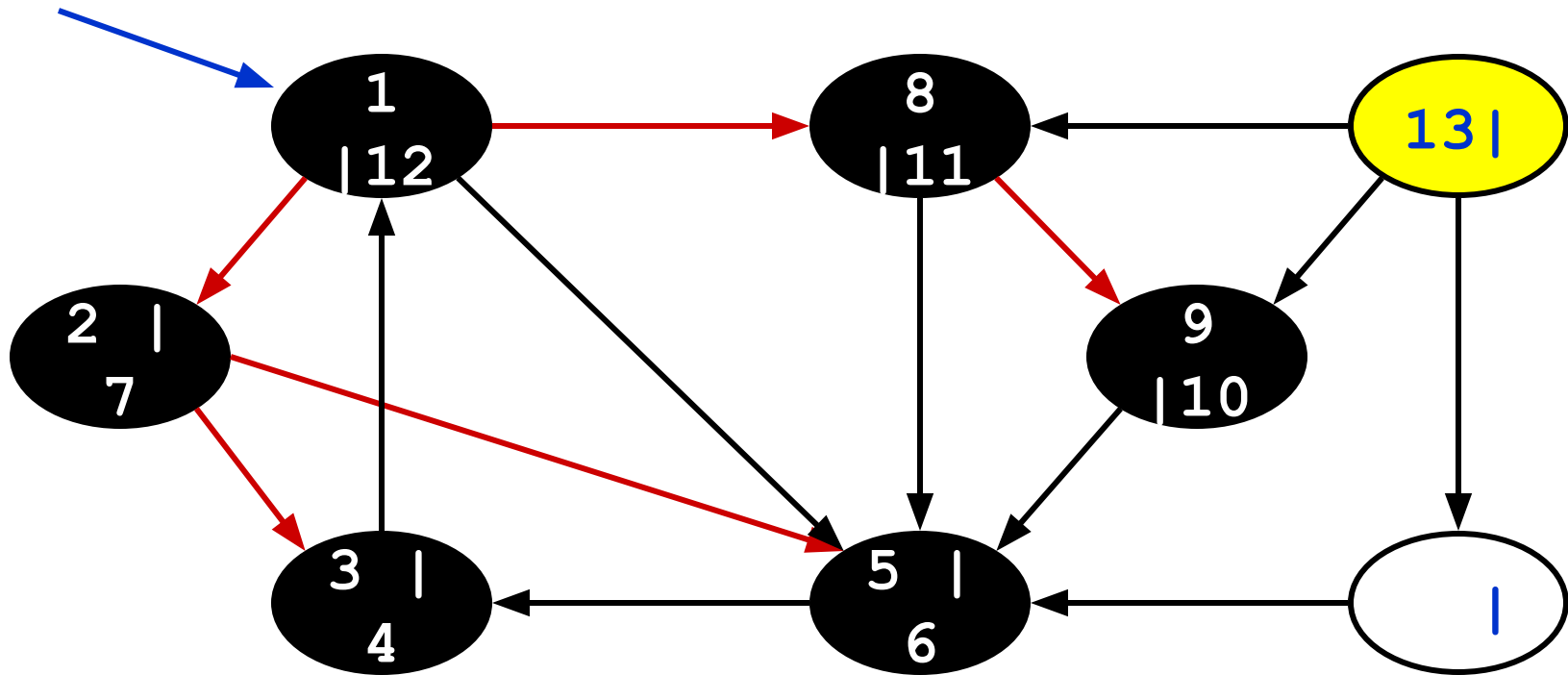




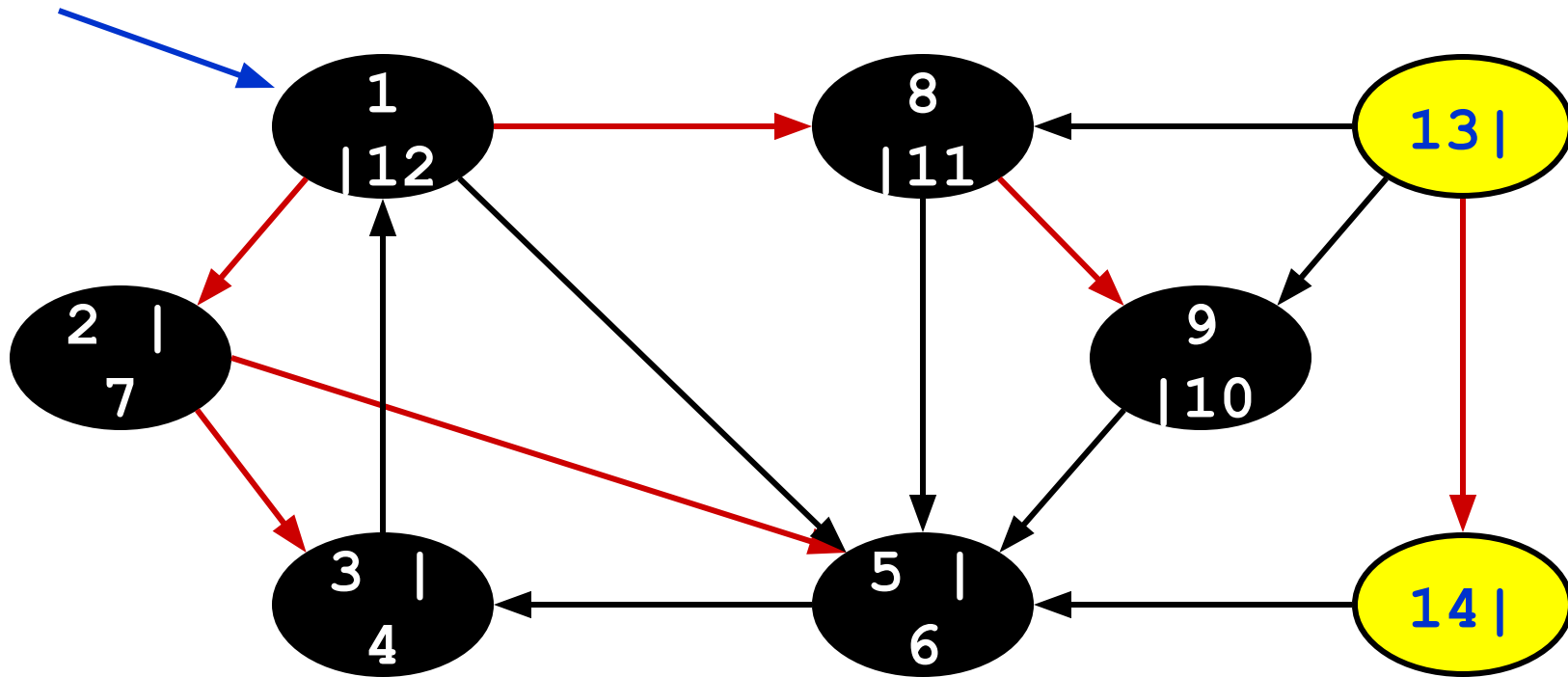
DFS Example



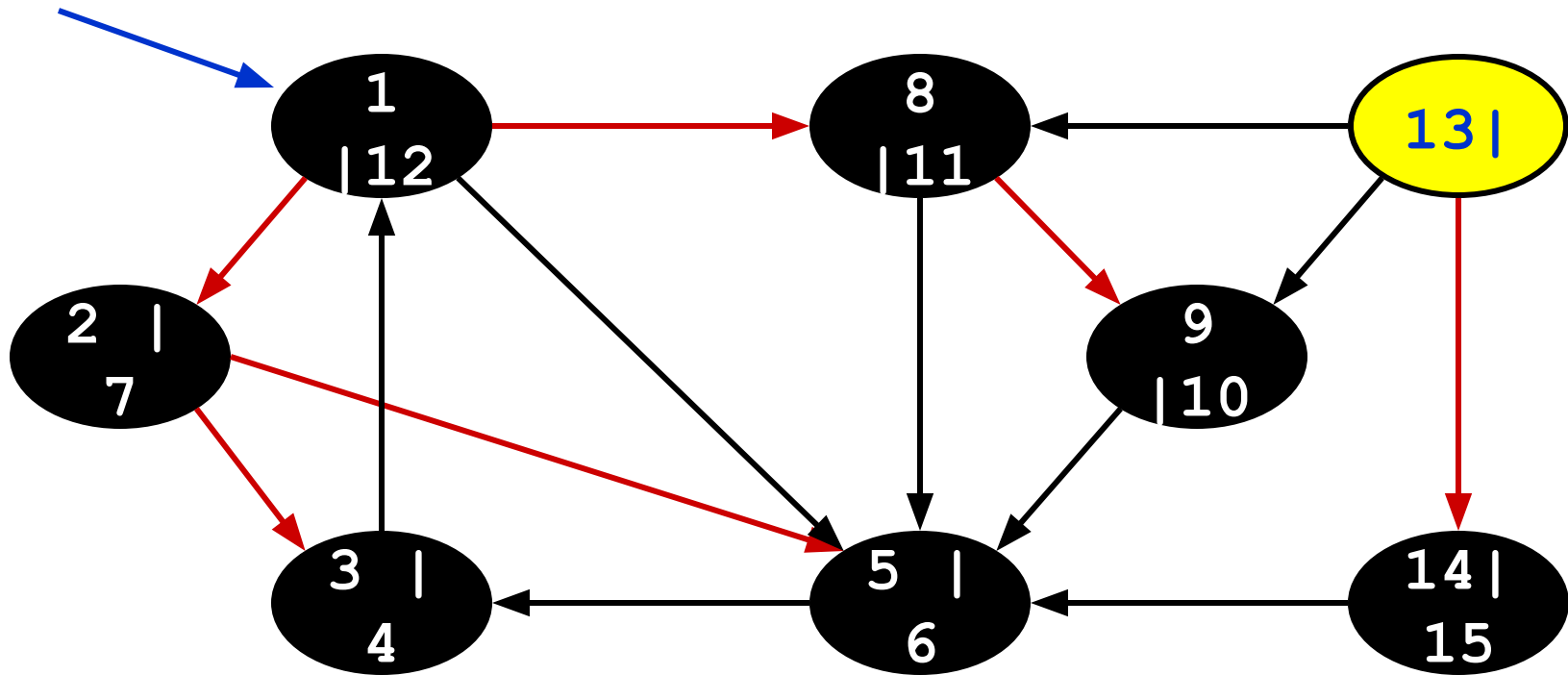
DFS Example



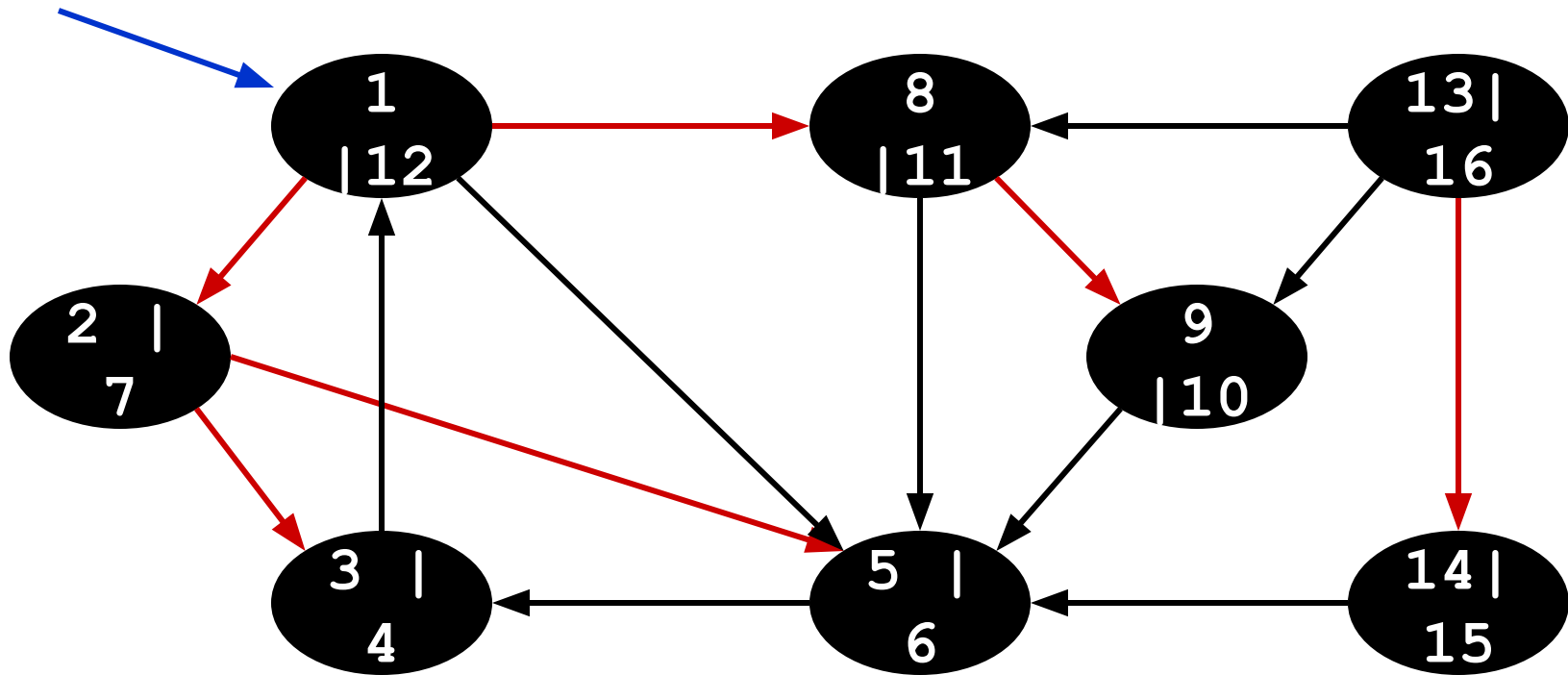
DFS Example



DFS Example



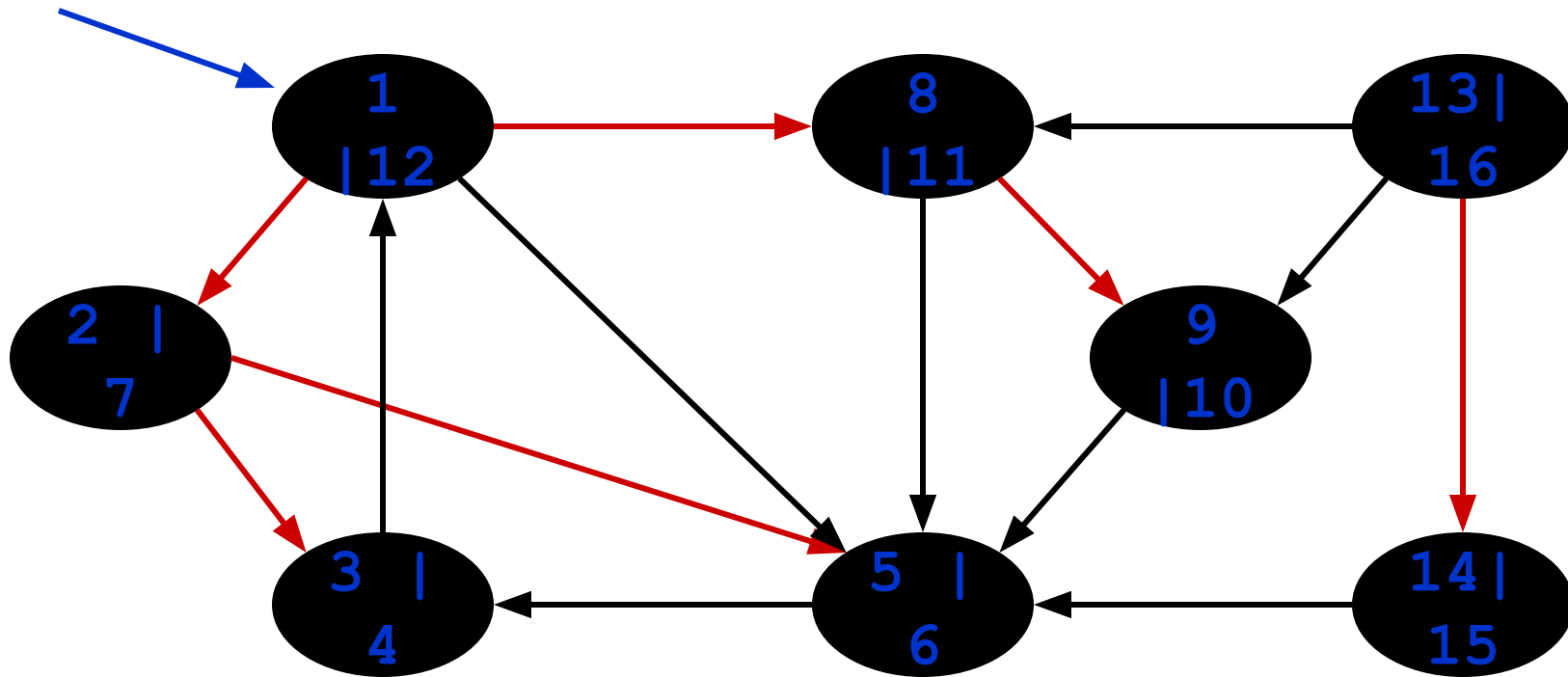
DFS Example



DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
 - *Tree edge*: encounter new (white) vertex
 - The tree edges form a spanning forest
 - *Can tree edges form cycles? Why or why not?*

DFS Example

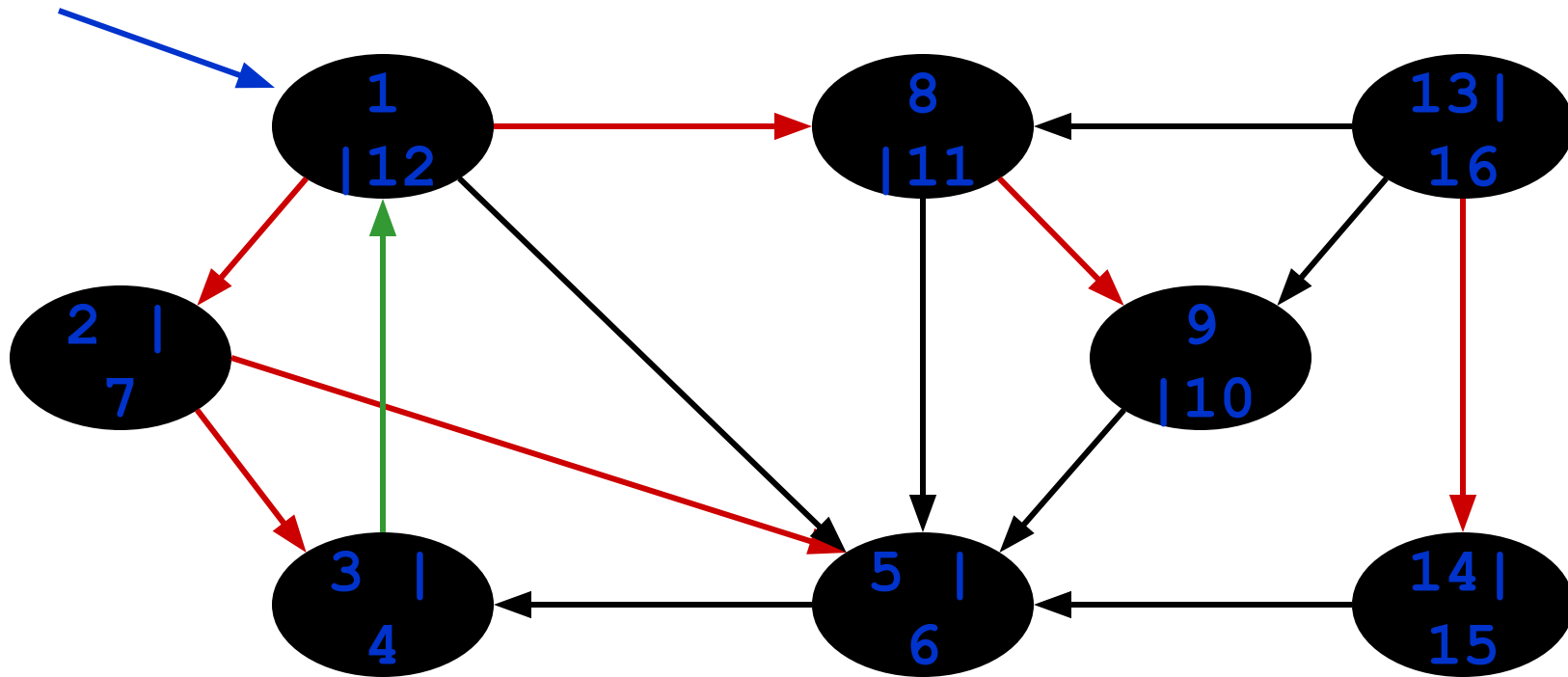


Tree edges

DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
 - *Tree edge*: encounter new (white) vertex
 - *Back edge*: from descendent to ancestor
 - Encounter a yellow vertex (yellow to yellow)

DFS Example

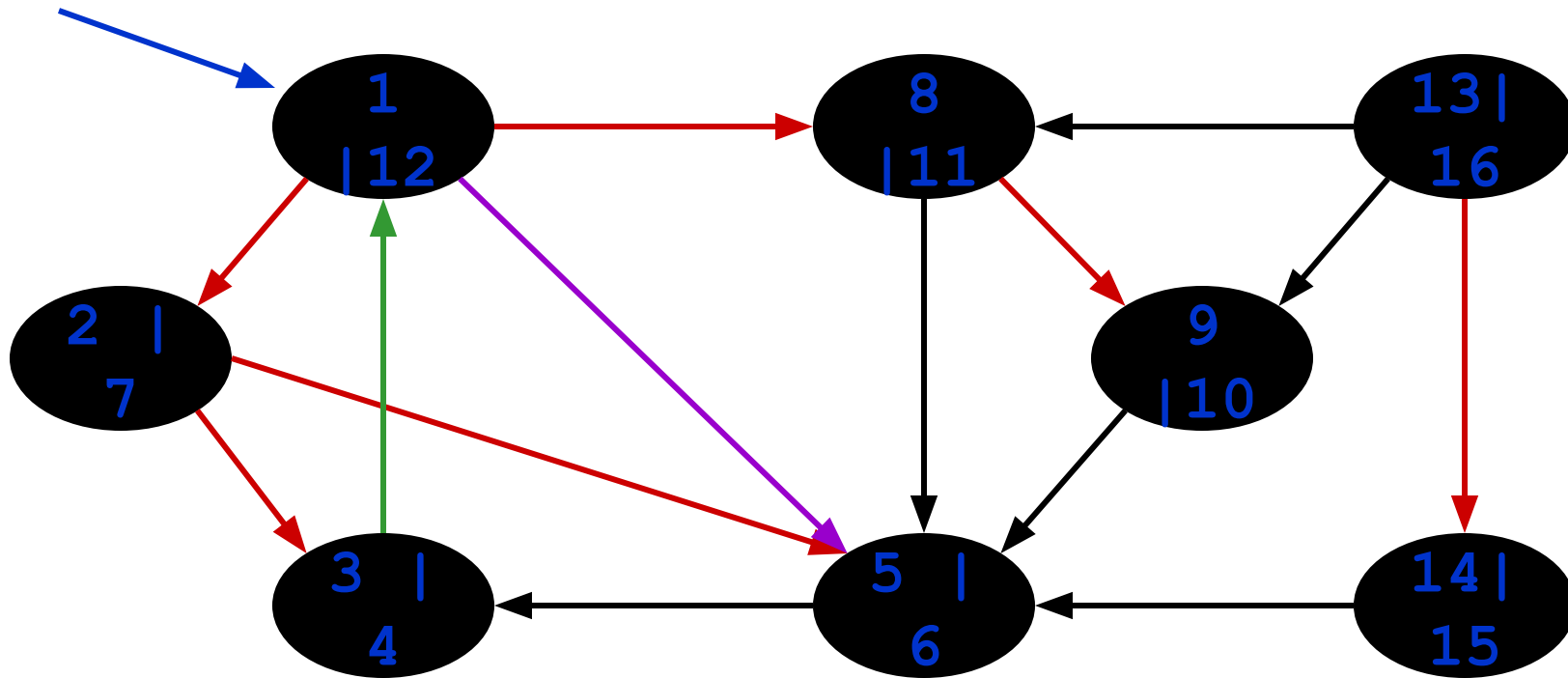


Tree edges *Back edges*

DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
 - *Tree edge*: encounter new (white) vertex
 - *Back edge*: from descendent to ancestor
 - *Forward edge*: from ancestor to descendent
 - Not a tree edge, though
 - From yellow node to black node

DFS Example

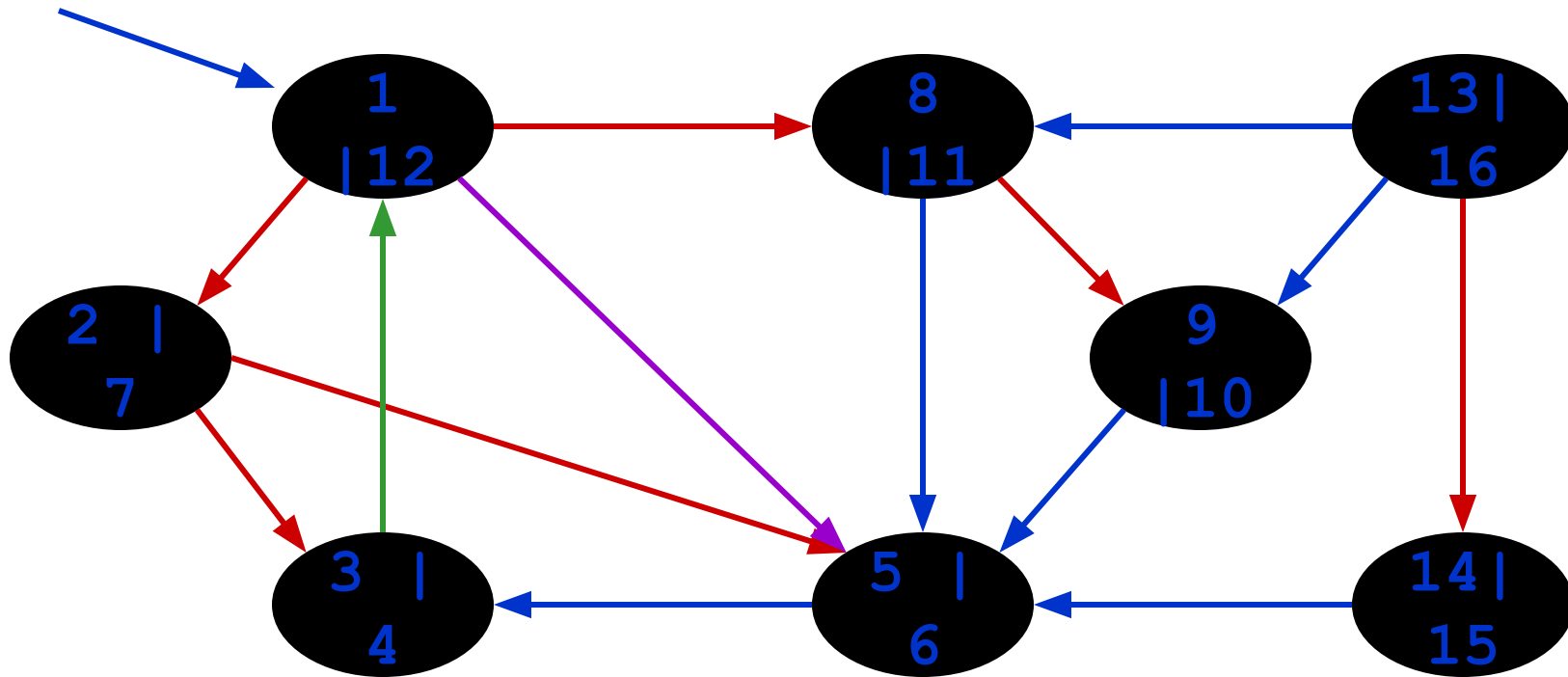


Tree edges *Back edges* *Forward edges*

DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
 - *Tree edge*: encounter new (white) vertex
 - *Back edge*: from descendent to ancestor
 - *Forward edge*: from ancestor to descendent
 - *Cross edge*: between a tree or subtrees
 - From a yellow node to a black node

DFS Example



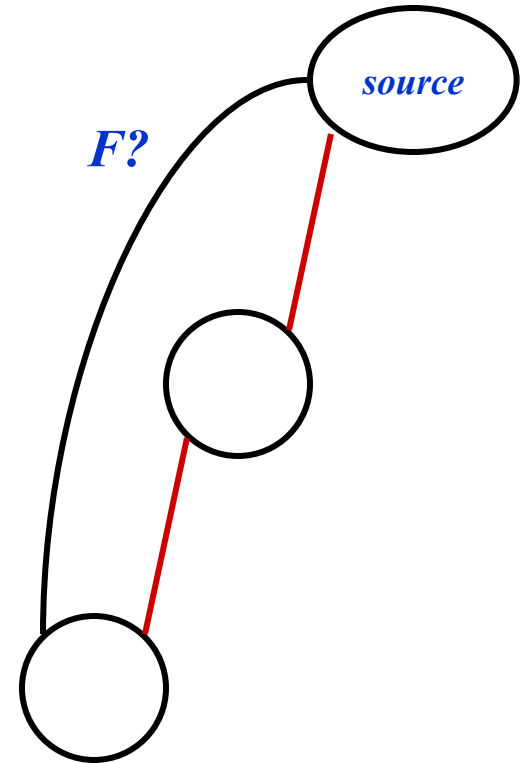
Tree edges *Back edges* *Forward edges* *Cross edges*

DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
 - *Tree edge*: encounter new (white) vertex
 - *Back edge*: from descendent to ancestor
 - *Forward edge*: from ancestor to descendent
 - *Cross edge*: between a tree or subtrees
- Note: tree & back edges are important; most algorithms don't distinguish forward & cross

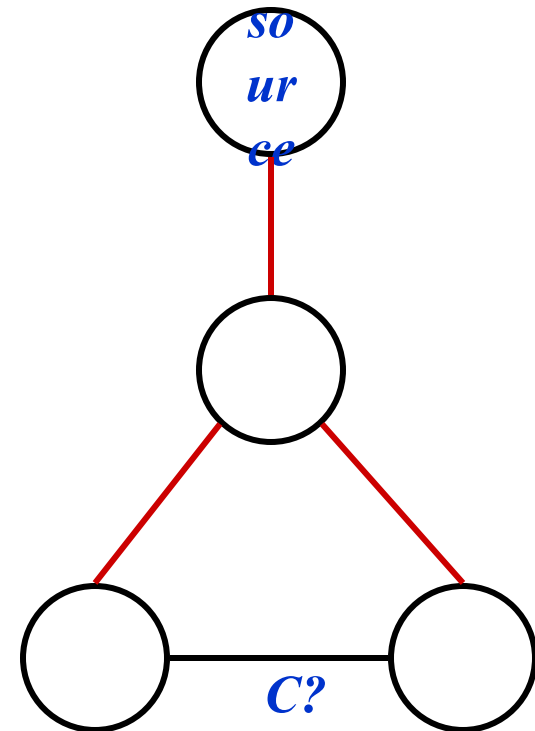
DFS: Kinds Of Edges

- Thm 23.9: If G is undirected, a DFS produces only tree and back edges
- Proof by contradiction:
 - Assume there's a forward edge
 - But F? edge must actually be a back edge (*why?*)



DFS: Kinds Of Edges

- Thm 23.9: If G is undirected, a DFS produces only tree and back edges
- Proof by contradiction:
 - Assume there's a cross edge
 - But C? edge cannot be cross:
 - must be explored from one of the vertices it connects, becoming a tree vertex, before other vertex is explored
 - So in fact the picture is wrong...both lower tree edges cannot in fact be tree edges



DFS And Graph Cycles

- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
 - If acyclic, no back edges (because a back edge implies a cycle)
 - If no back edges, acyclic
 - No back edges implies only tree edges (*Why?*)
 - Only tree edges implies we have a tree or a forest
 - Which by definition is acyclic
- Thus, can run DFS to find whether a graph has a cycle

DFS And Cycles

- *How would you modify the code to detect cycles?*

DFS (G)

```
{
    for each vertex u  $\in$  G  $\rightarrow$  V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G  $\rightarrow$  V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

DFS_Visit(u)

```
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

DFS And Cycles

- *What will be the running time?*

DFS (G)

```
{
    for each vertex  $u \in G \rightarrow V$ 
    {
         $u \rightarrow \text{color} = \text{WHITE};$ 
    }
    time = 0;
    for each vertex  $u \in G \rightarrow V$ 
    {
        if ( $u \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(u);
    }
}
```

DFS_Visit(u)

```
{
     $u \rightarrow \text{color} = \text{GREY};$ 
    time = time+1;
     $u \rightarrow d = \text{time};$ 
    for each  $v \in u \rightarrow \text{Adj}[]$ 
    {
        if ( $v \rightarrow \text{color} == \text{WHITE}$ )
            DFS_Visit(v);
    }
     $u \rightarrow \text{color} = \text{BLACK};$ 
    time = time+1;
     $u \rightarrow f = \text{time};$ 
}
```

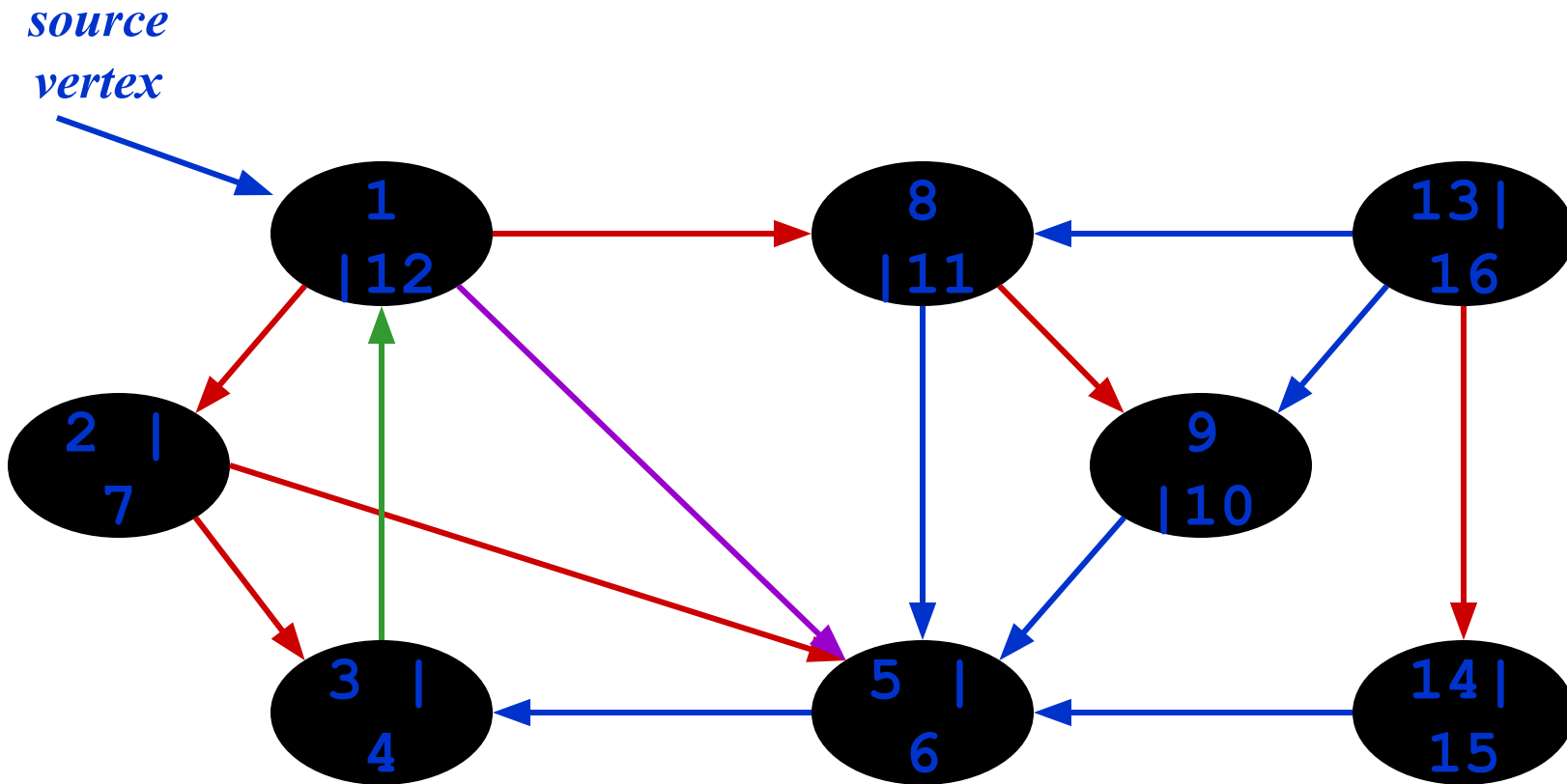
DFS And Cycles

- *What will be the running time?*
- A: $O(V+E)$
- We can actually determine if cycles exist in $O(V)$ time:
 - In an undirected acyclic forest, $|E| \leq |V| - 1$
 - So count the edges: if ever see $|V|$ distinct edges, must have seen a back edge along the way



Topological Sort Minimum Spanning Trees

Review: Kinds of Edges



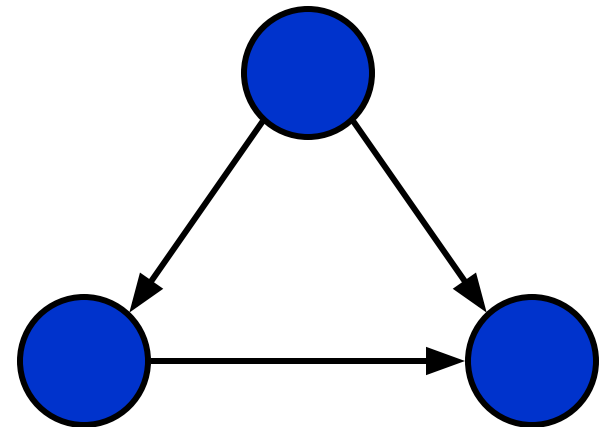
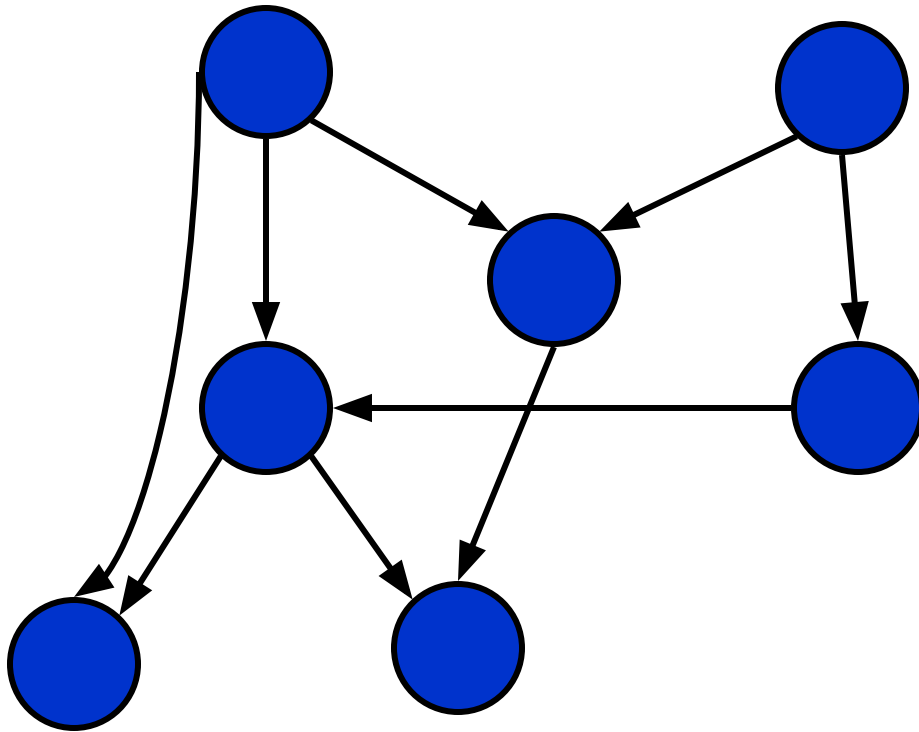
Tree edges *Back edges* *Forward edges* *Cross edges*

DFS And Cycles

- Running time: $O(V+E)$
- We can actually determine if cycles exist in $O(V)$ time:
 - In an undirected acyclic forest, $|E| \leq |V| - 1$
 - So count the edges: if ever see $|V|$ distinct edges, must have seen a back edge along the way
 - *Why not just test if $|E| < |V|$ and answer the question in constant time?*

Directed Acyclic Graphs

- A *directed acyclic graph* or *DAG* is a directed graph with no directed cycles:



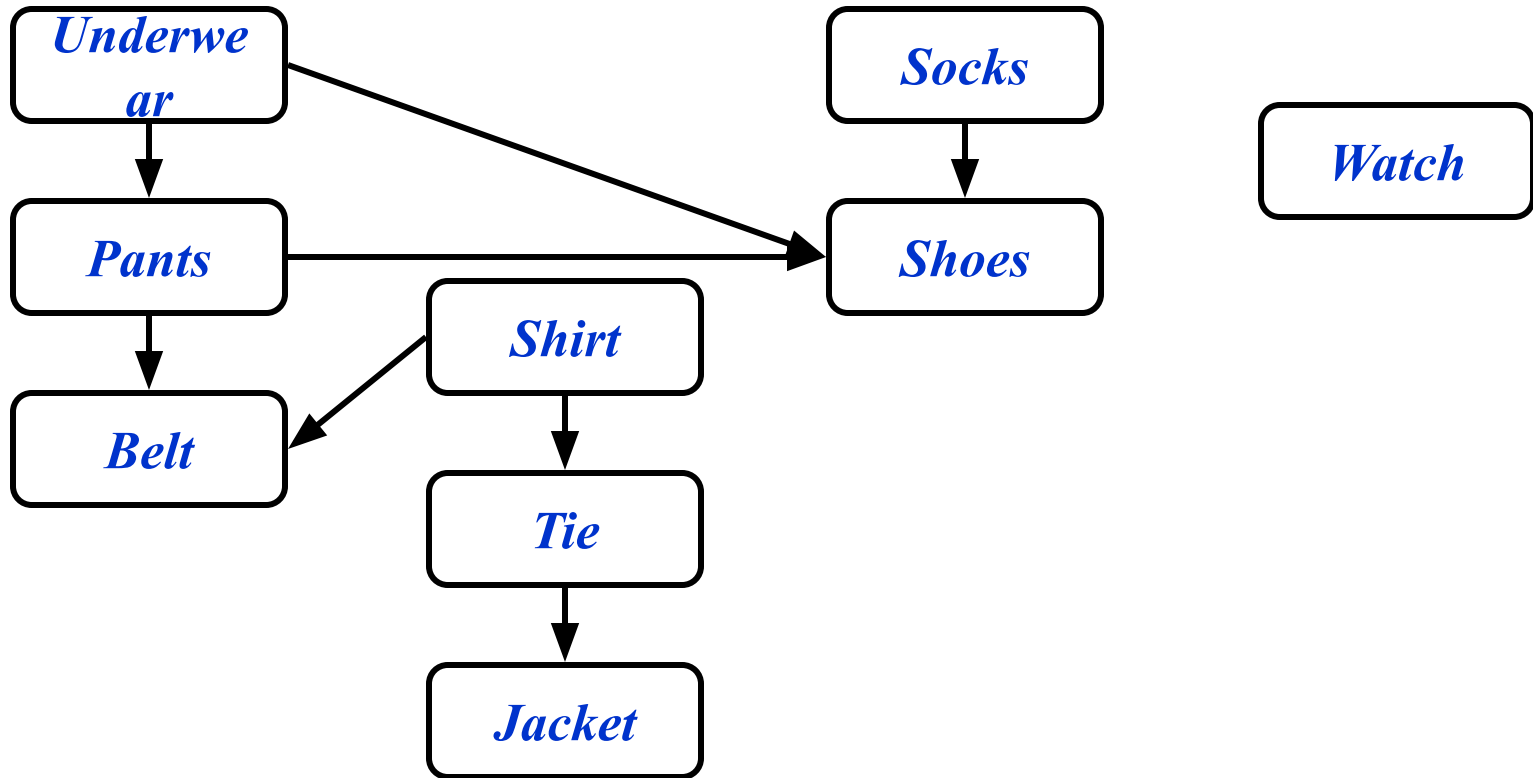
DFS and DAGs

- Argue that a directed graph G is acyclic iff a DFS of G yields no back edges:
 - Forward: if G is acyclic, will be no back edges
 - Trivial: a back edge implies a cycle
 - Backward: if no back edges, G is acyclic
 - Argue contrapositive: G has a cycle $\Rightarrow \exists$ a back edge
 - ◆ Let v be the vertex on the cycle first discovered, and u be the predecessor of v on the cycle
 - ◆ When v discovered, whole cycle is white
 - ◆ Must visit everything reachable from v before returning from DFS-Visit()
 - ◆ So path from $u \rightarrow v$ is yellow $\rightarrow$ yellow, thus (u, v) is a back edge

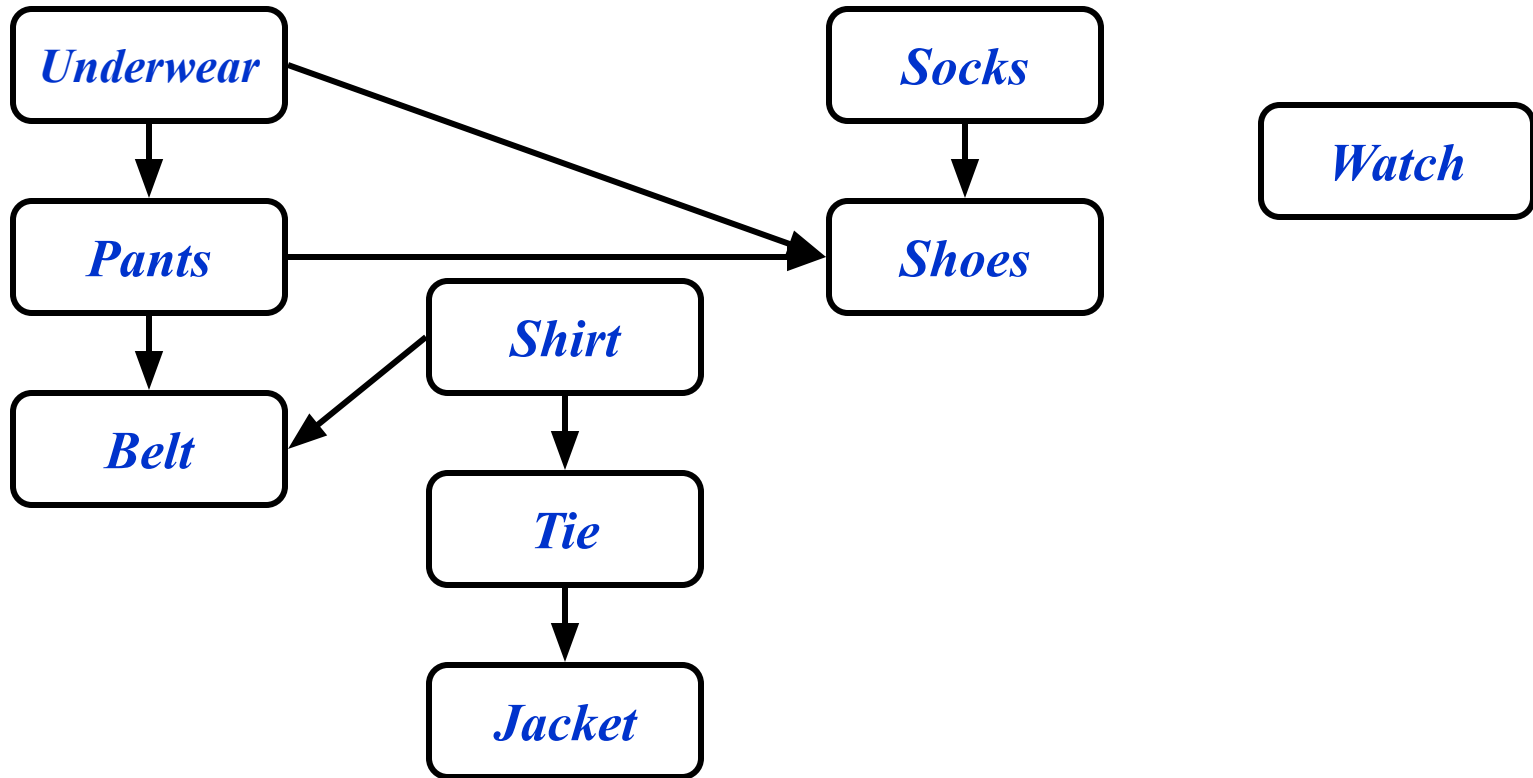
Topological Sort

- *Topological sort* of a DAG:
 - Linear ordering of all vertices in graph G such that vertex u comes before vertex v if edge $(u, v) \in G$
- Real-world example: getting dressed

Getting Dressed



Getting Dressed



Topological Sort Algorithm

```
Topological-Sort()
```

```
{
```

```
    Run DFS
```

```
    When a vertex is finished, output it
```

```
    Vertices are output in reverse  
    topological order
```

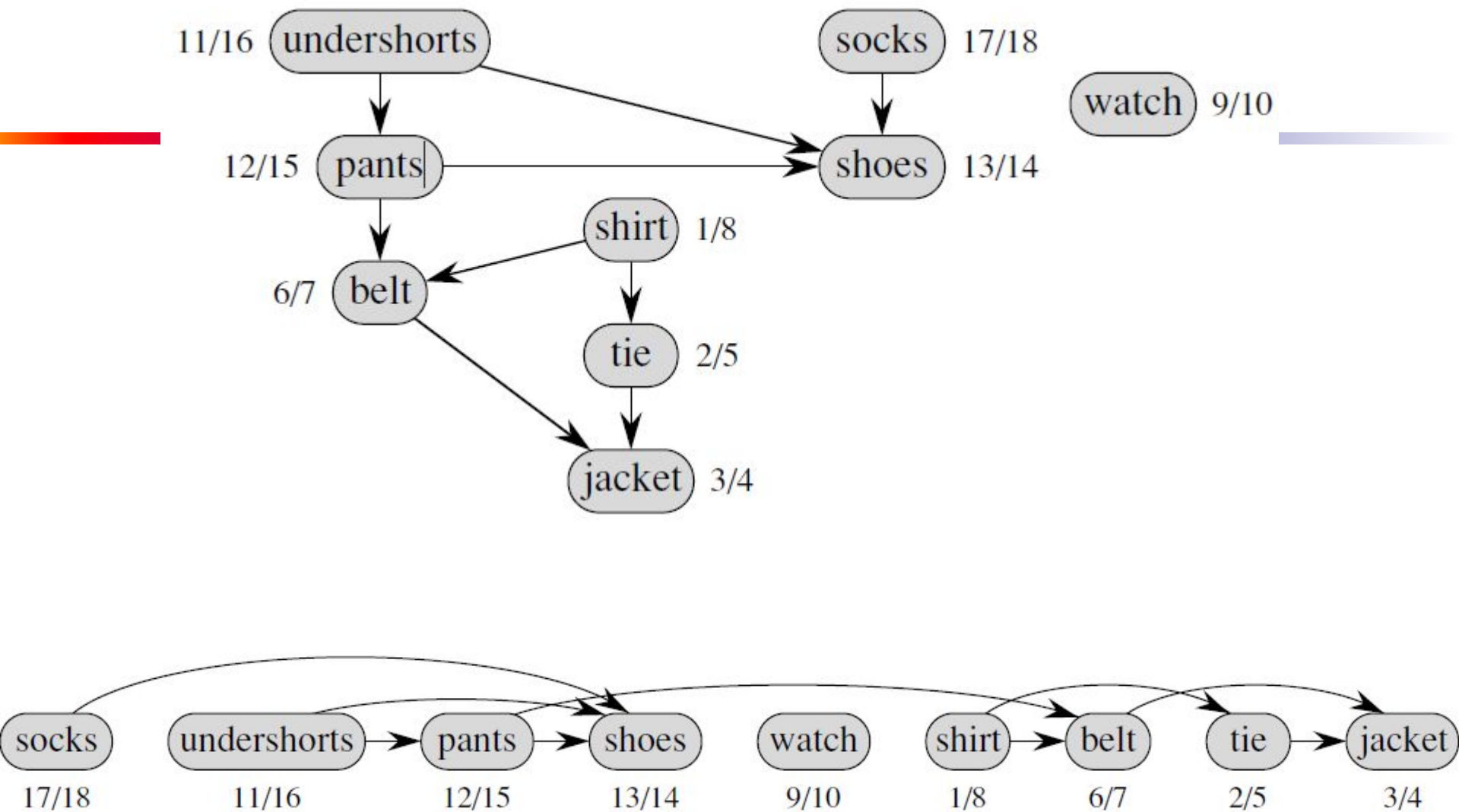
```
}
```

- Time: $O(V+E)$
- Correctness: Want to prove that
$$(u,v) \in G \Rightarrow u \rightarrow f > v \rightarrow f$$

Topological Sort Algorithm

TOPOLOGICAL-SORT(G)

- 1 call DFS(G) to compute finishing times $f[v]$ for each vertex v
- 2 as each vertex is finished, insert it onto the front of a linked list
- 3 **return** the linked list of vertices

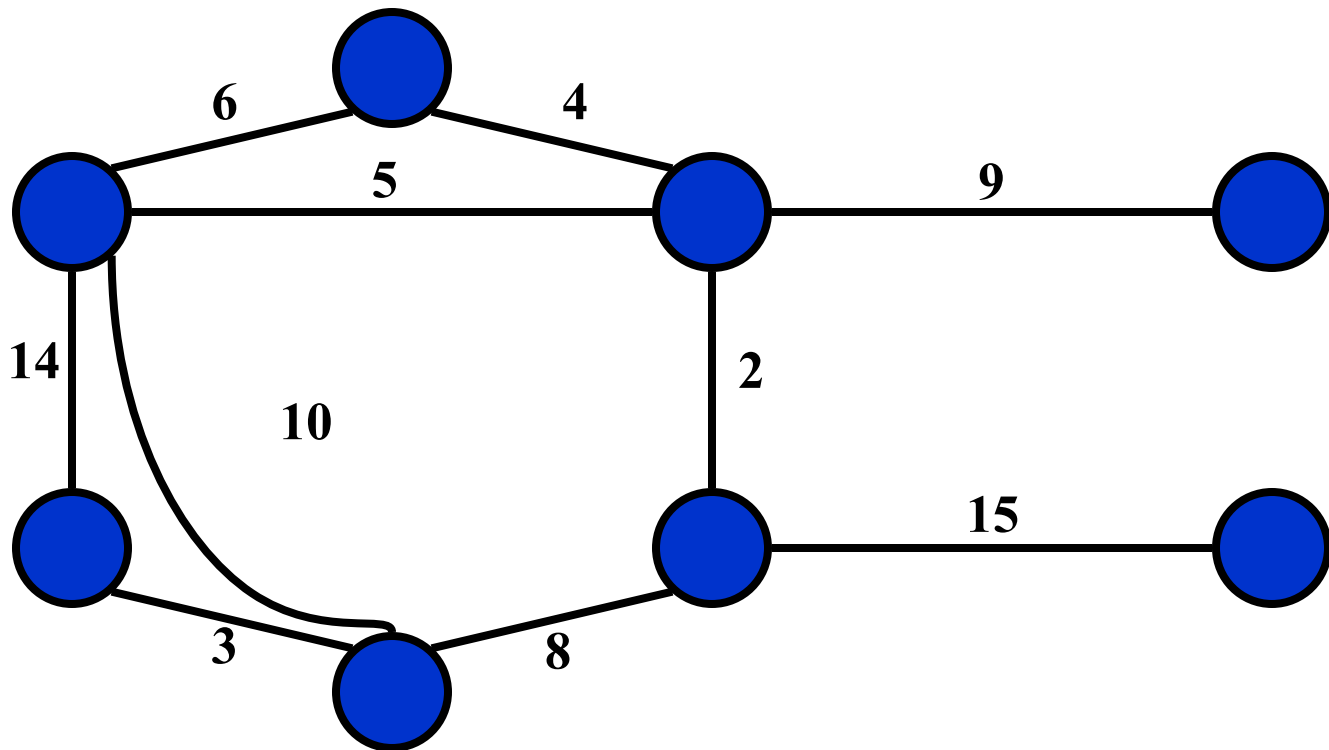


Correctness of Topological Sort

- Claim: $(u, v) \in G \Rightarrow u \rightarrow f > v \rightarrow f$
 - When (u, v) is explored, u is yellow
 - $v = \text{yellow} \Rightarrow (u, v)$ is back edge. Contradiction (*Why?*)
 - $v = \text{white} \Rightarrow v$ becomes descendent of $u \Rightarrow v \rightarrow f < u \rightarrow f$
(since must finish v before backtracking and finishing u)
 - $v = \text{black} \Rightarrow v$ already finished $\Rightarrow v \rightarrow f < u \rightarrow f$

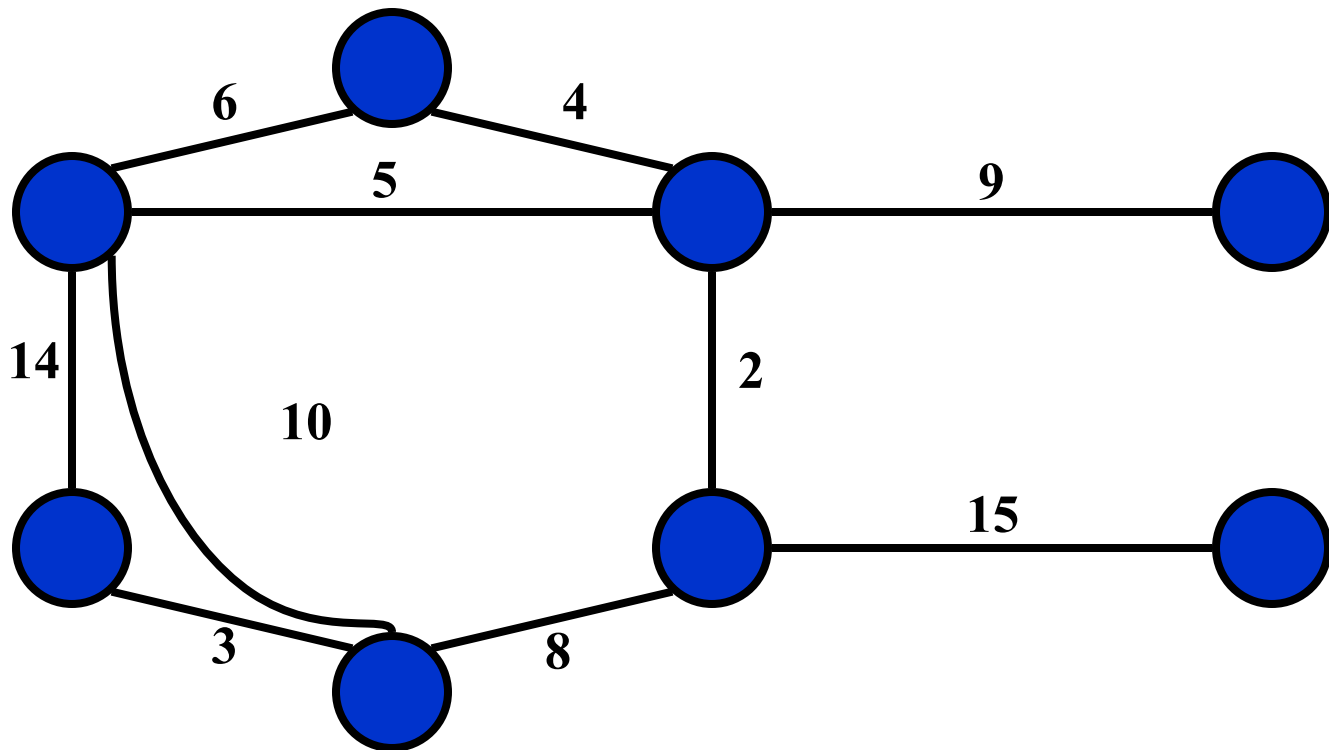
Minimum Spanning Tree

- Problem: given a connected, undirected, weighted graph:



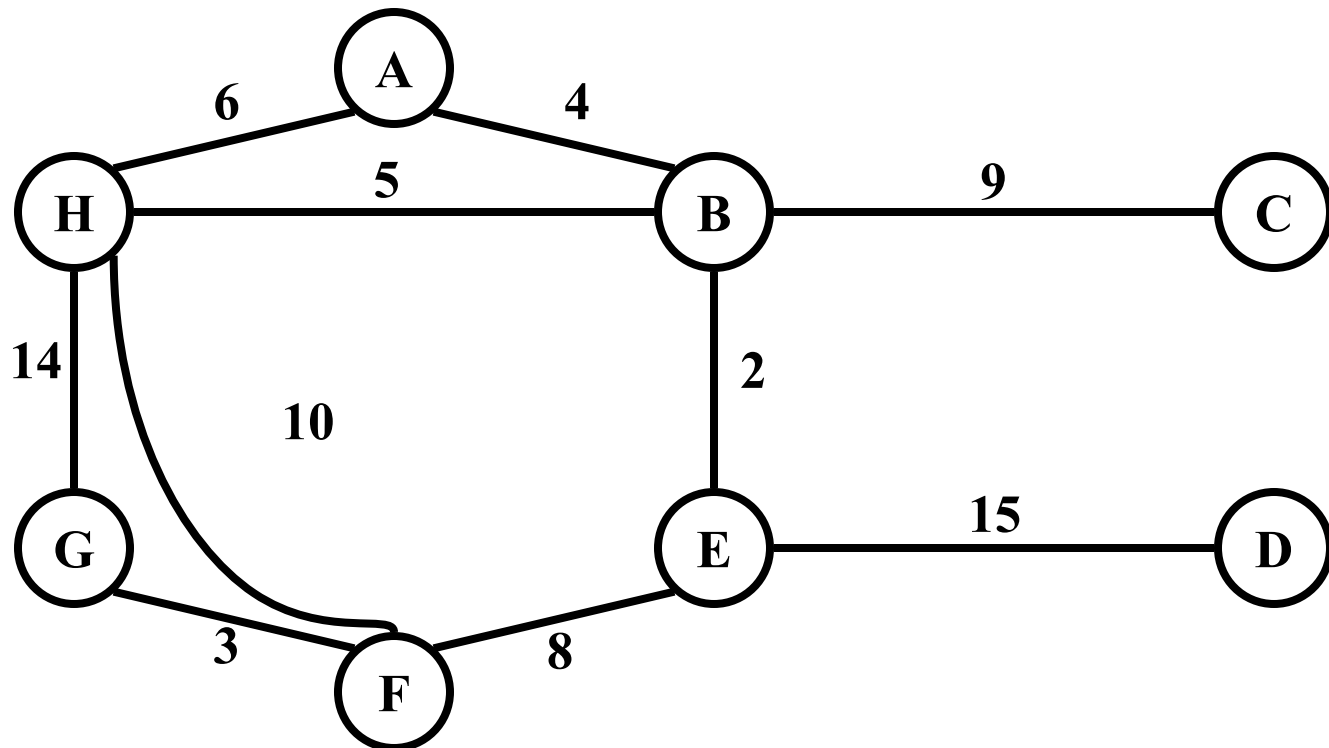
Minimum Spanning Tree

- Problem: given a connected, undirected, weighted graph, find a *spanning tree* using edges that minimize the total weight



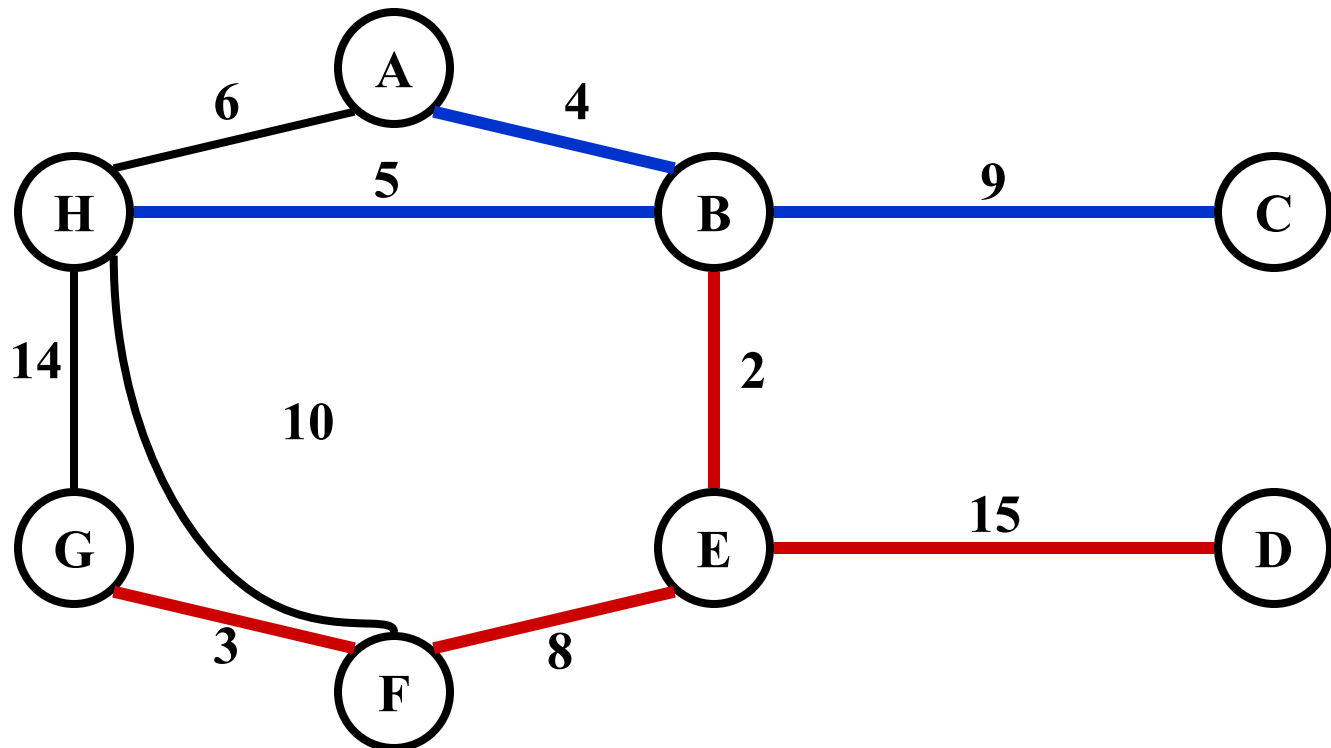
Minimum Spanning Tree

- Which edges form the minimum spanning tree (MST) of the below graph?*

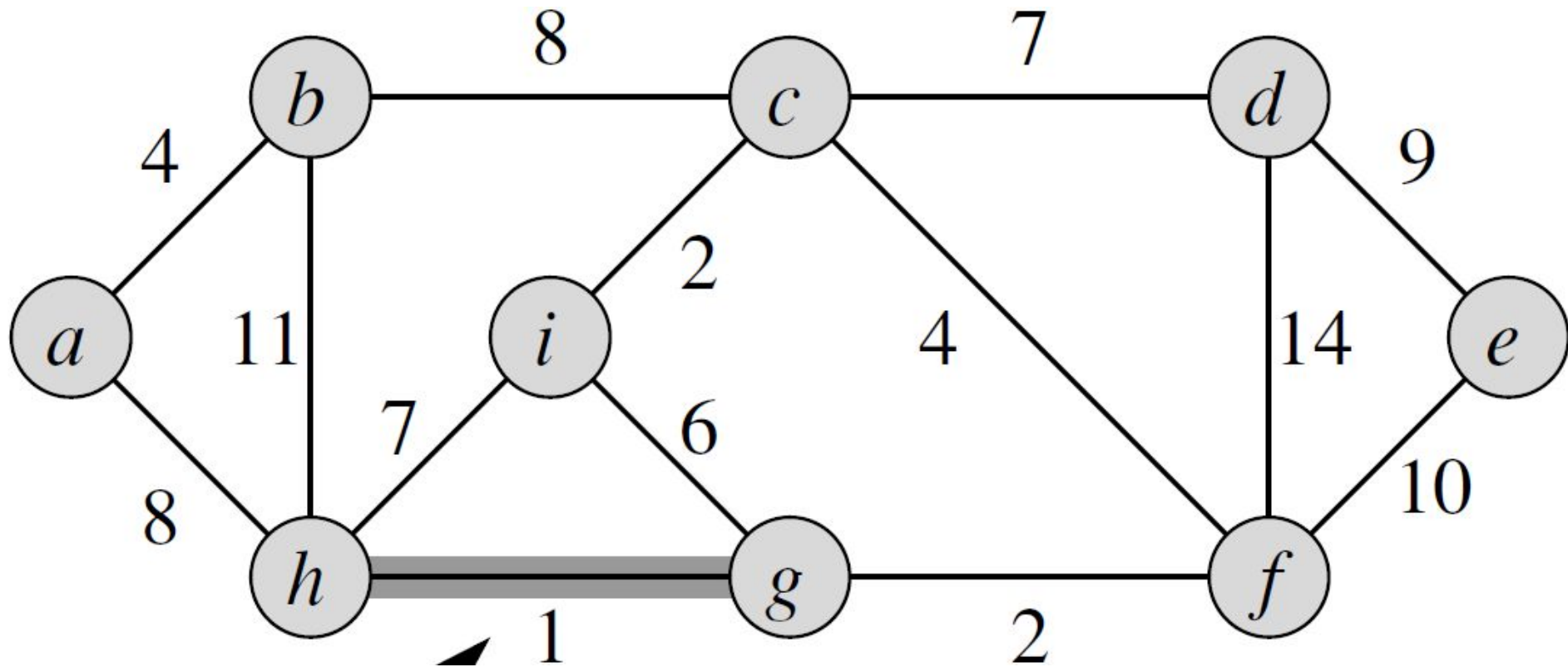


Minimum Spanning Tree

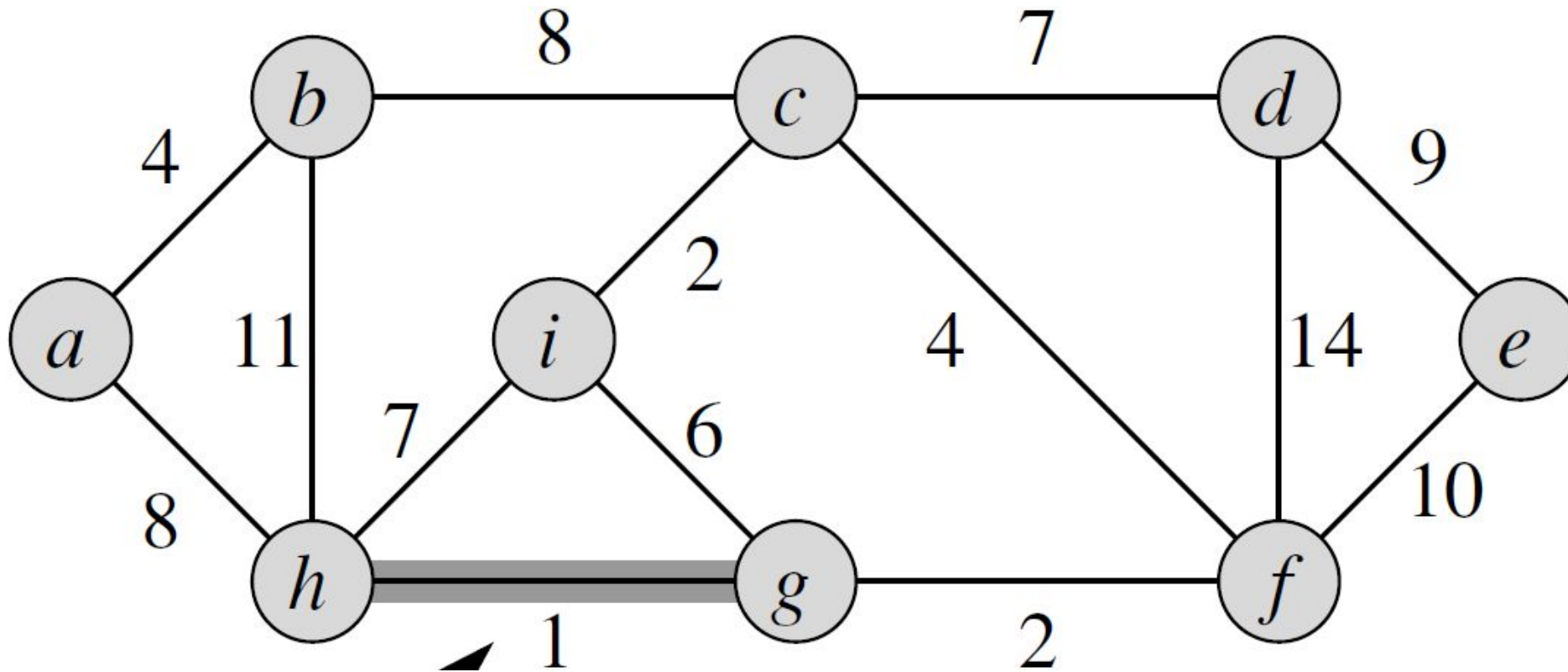
- Answer:



Another MST



Another MST



Minimum Spanning Tree

- MSTs satisfy the *optimal substructure* property: an optimal tree is composed of optimal subtrees
 - Let T be an MST of G with an edge (u,v) in the middle
 - Removing (u,v) partitions T into two trees T_1 and T_2
 - Claim: T_1 is an MST of $G_1 = (V_1, E_1)$, and T_2 is an MST of $G_2 = (V_2, E_2)$ (*Do V_1 and V_2 share vertices? Why?*)
 - Proof: $w(T) = w(u,v) + w(T_1) + w(T_2)$
(There can't be a better tree than T_1 or T_2 , or T would be suboptimal)

Minimum Spanning Tree

- Thm:
 - Let T be MST of G , and let $A \subseteq T$ be subtree of T
 - Let (u,v) be min-weight edge connecting A to $V-A$
 - Then $(u,v) \in T$

Minimum Spanning Tree

- Thm:
 - Let T be MST of G , and let $A \subseteq T$ be subtree of T
 - Let (u,v) be min-weight edge connecting A to $V-A$
 - Then $(u,v) \in T$
- Proof: in book (see Thm 24.1)

Prim's Algorithm

```
MST-Prim( $G, w, r$ )
   $Q = V[G];$ 
  for each  $u \in Q$ 
     $key[u] = \infty;$ 
   $key[r] = 0;$ 
   $p[r] = \text{NULL};$ 
  while ( $Q$  not empty)
     $u = \text{ExtractMin}(Q);$ 
    for each  $v \in \text{Adj}[u]$ 
      if ( $v \in Q$  and  $w(u, v) < key[v]$ )
         $p[v] = u;$ 
         $key[v] = w(u, v);$ 
```


Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

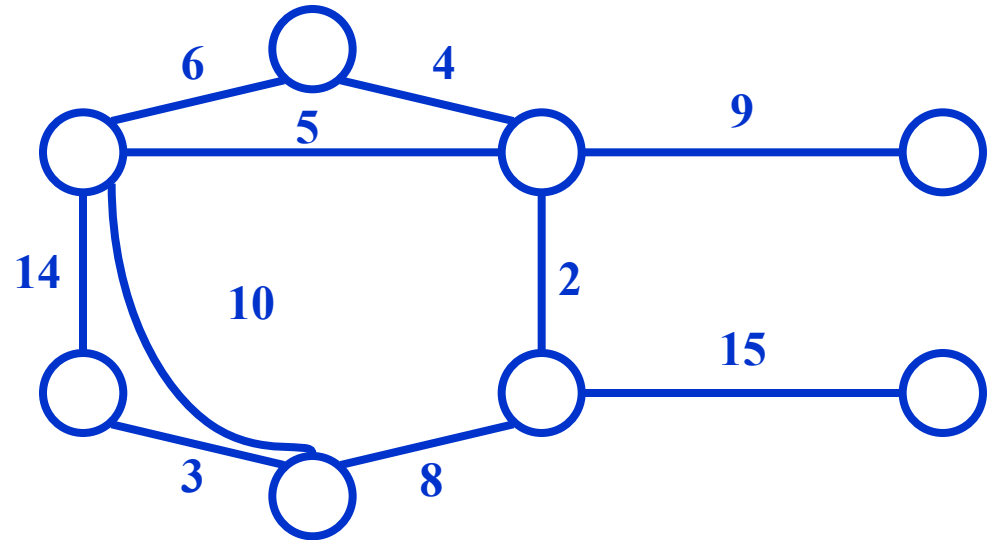
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Run on example graph

Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

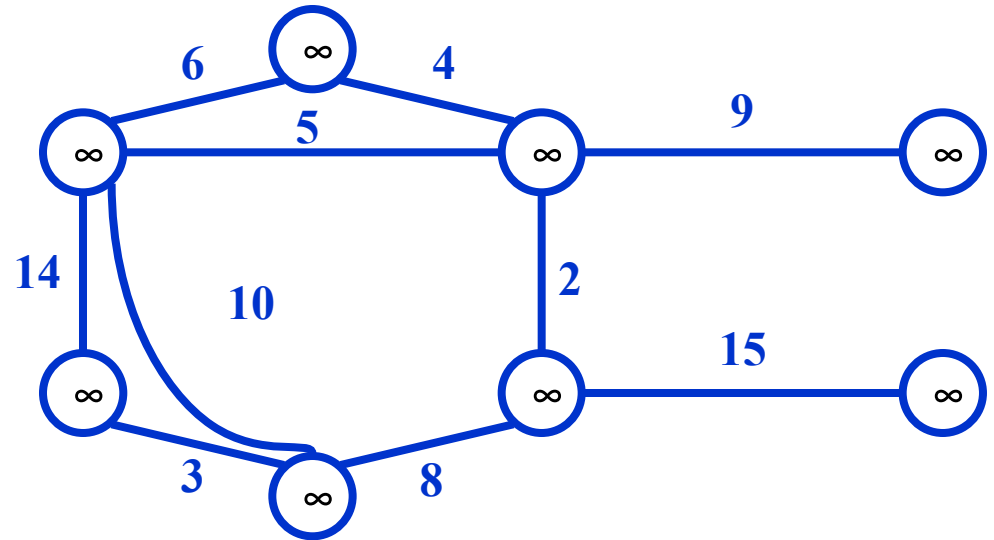
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Run on example graph

Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

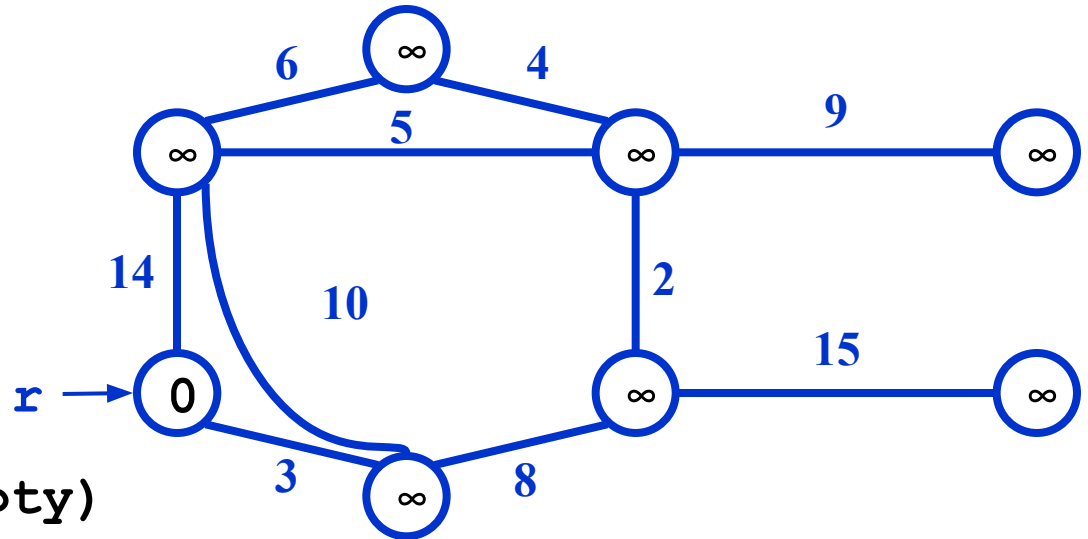
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

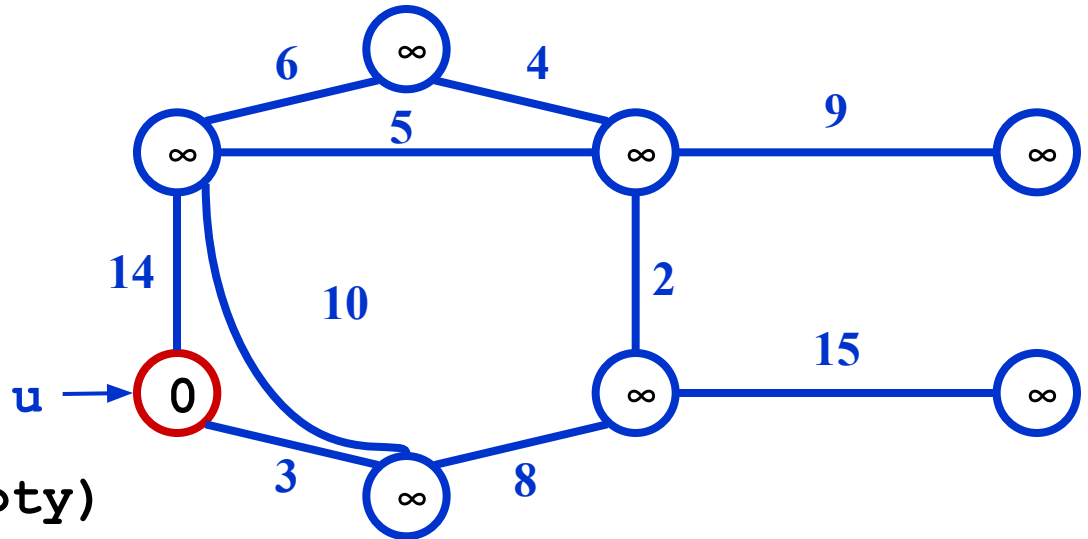
```
     $u = \text{ExtractMin}(Q);$  Red vertices have been removed from  $Q$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

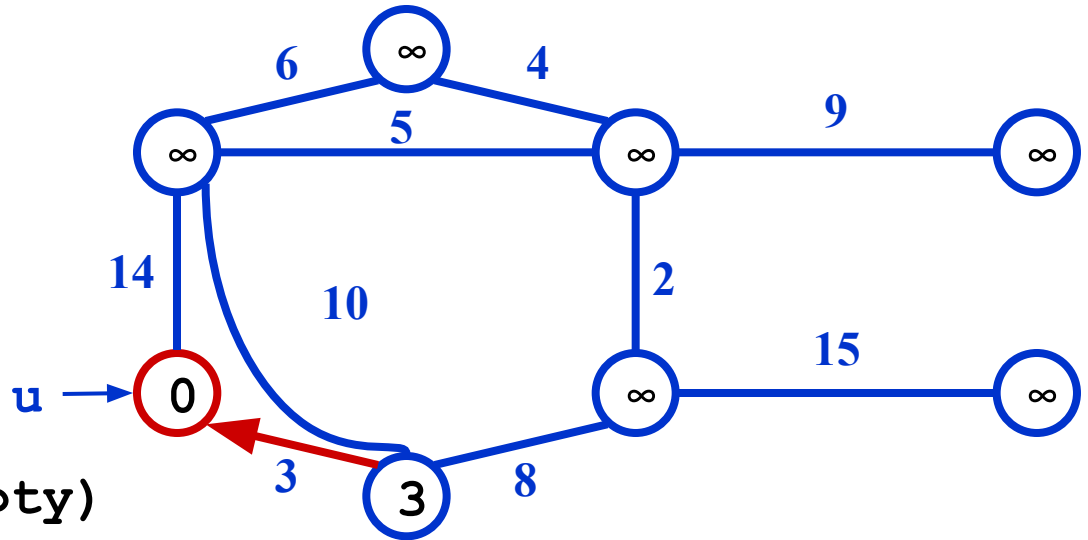
$u = \text{ExtractMin}(Q);$ *Red arrows indicate parent pointers*

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

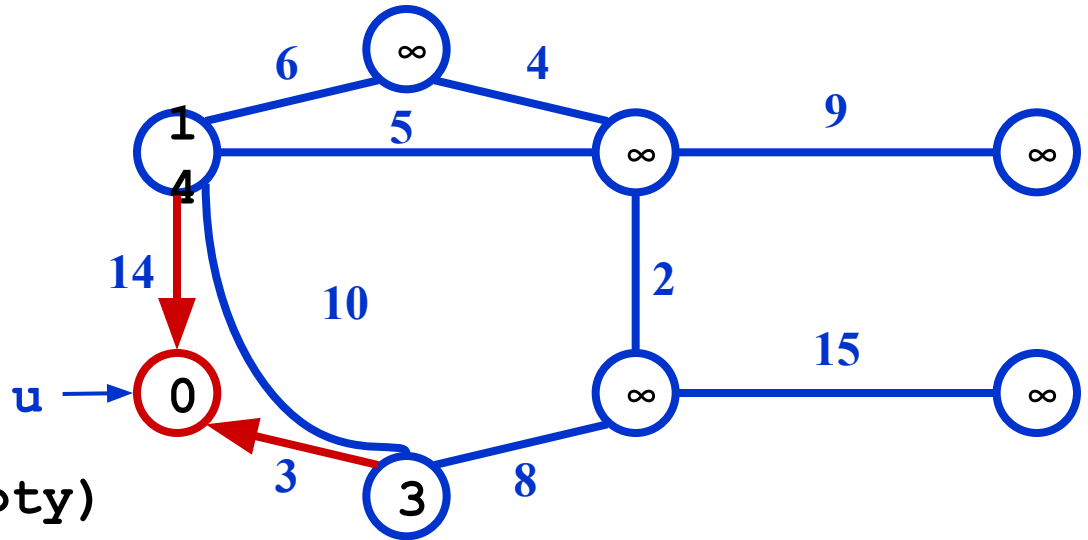
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

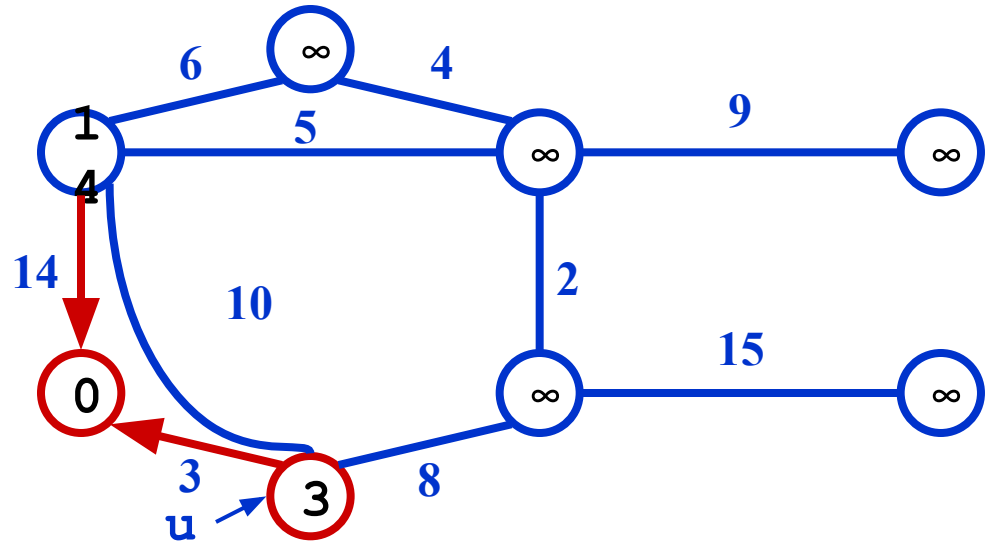
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

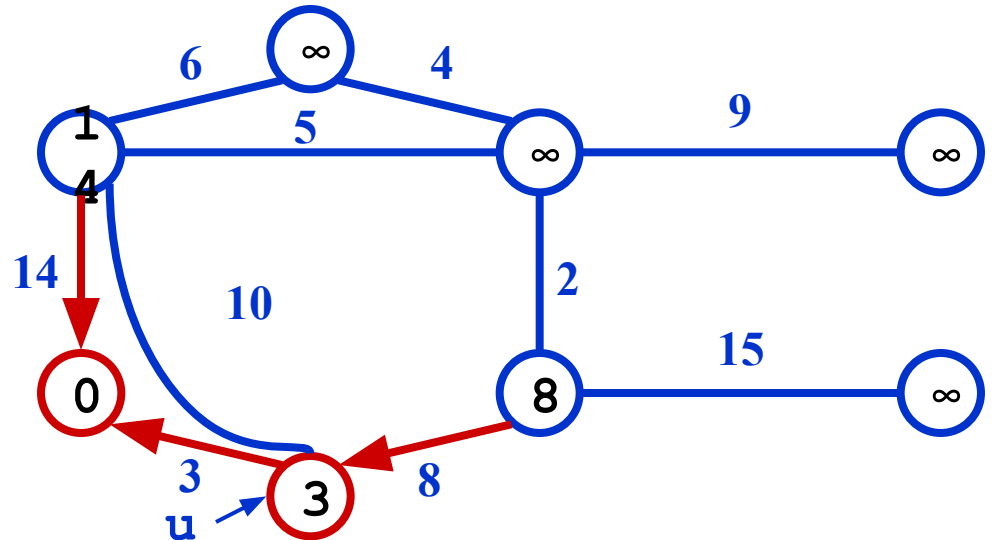
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

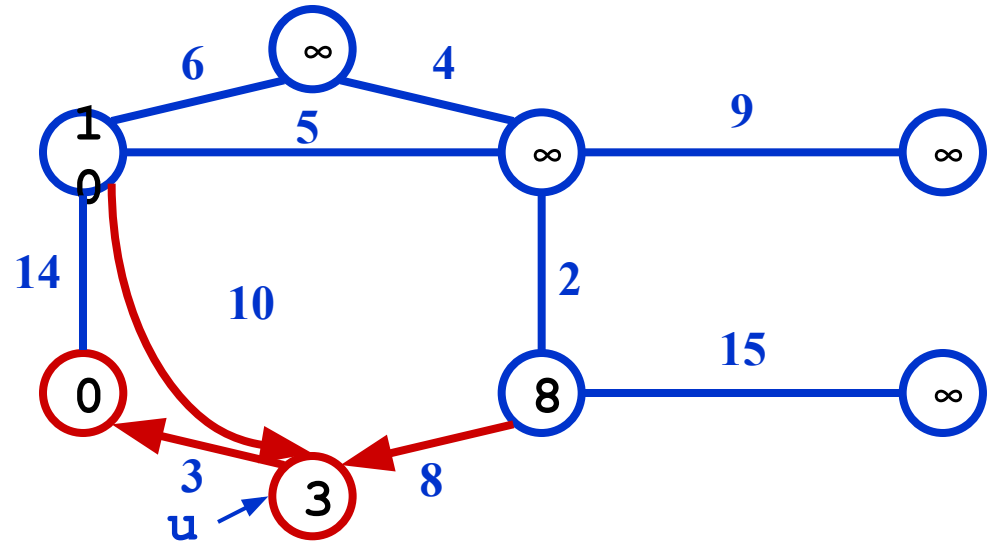
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

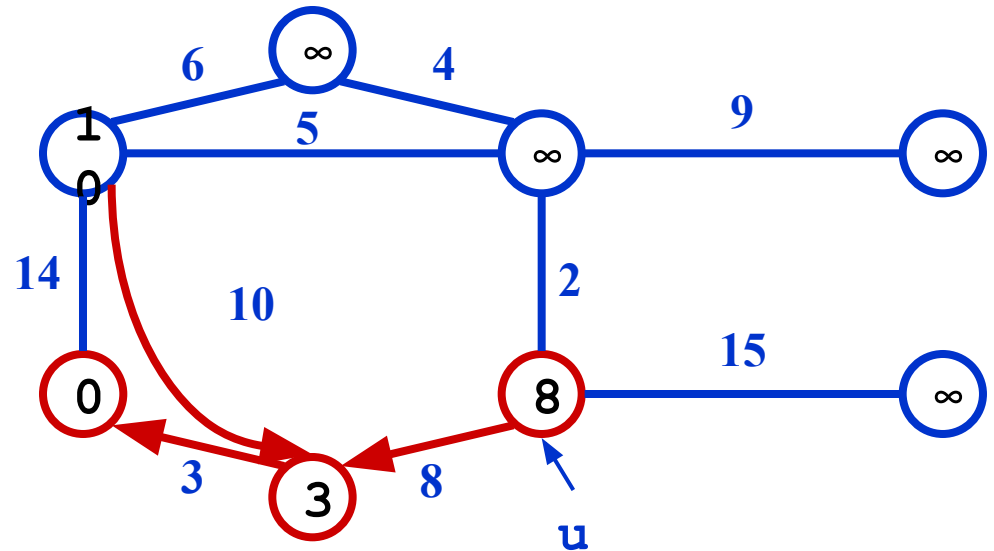
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

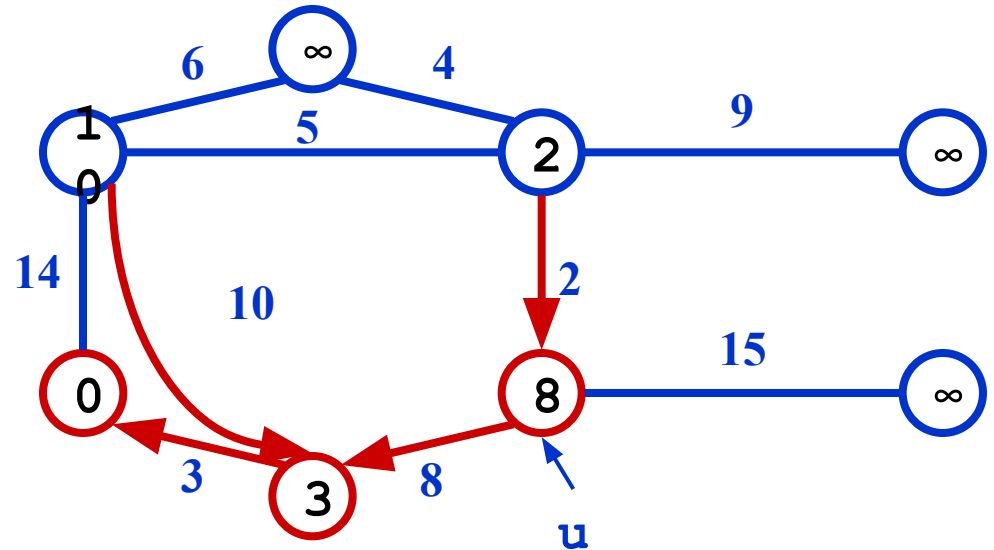
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

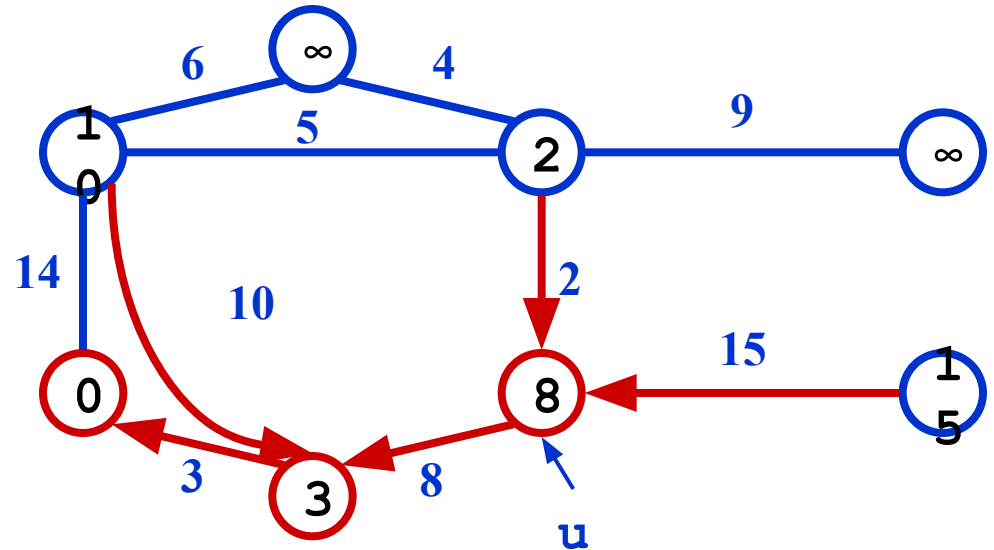
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

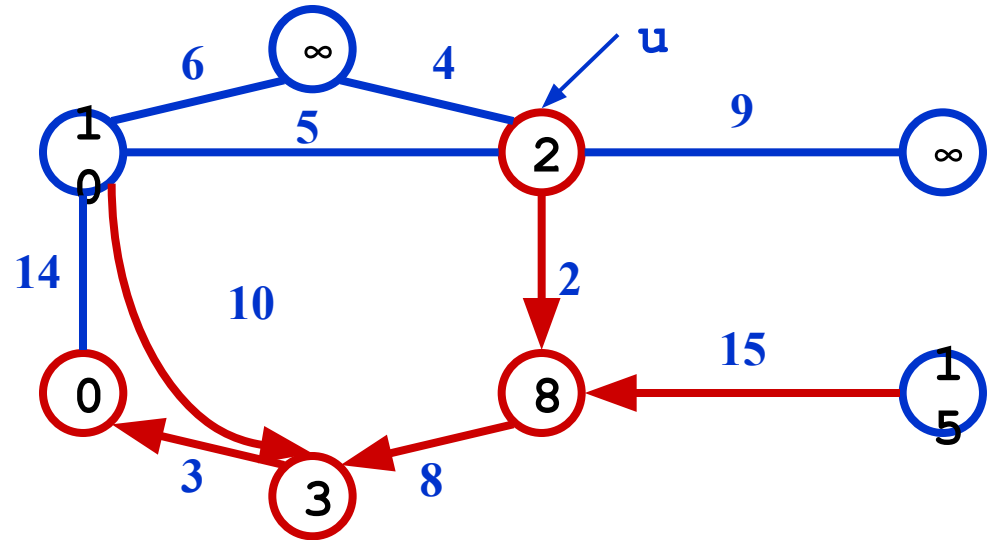
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

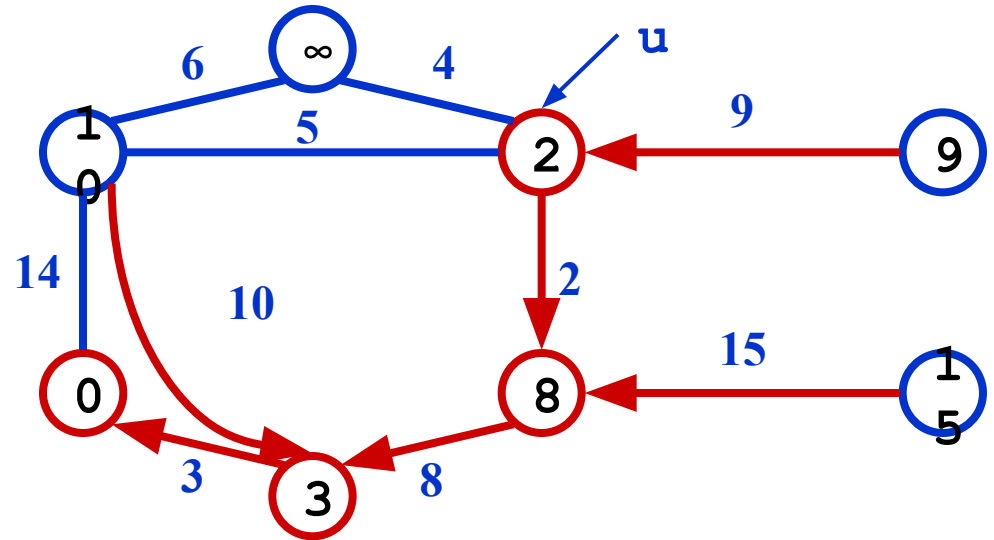
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

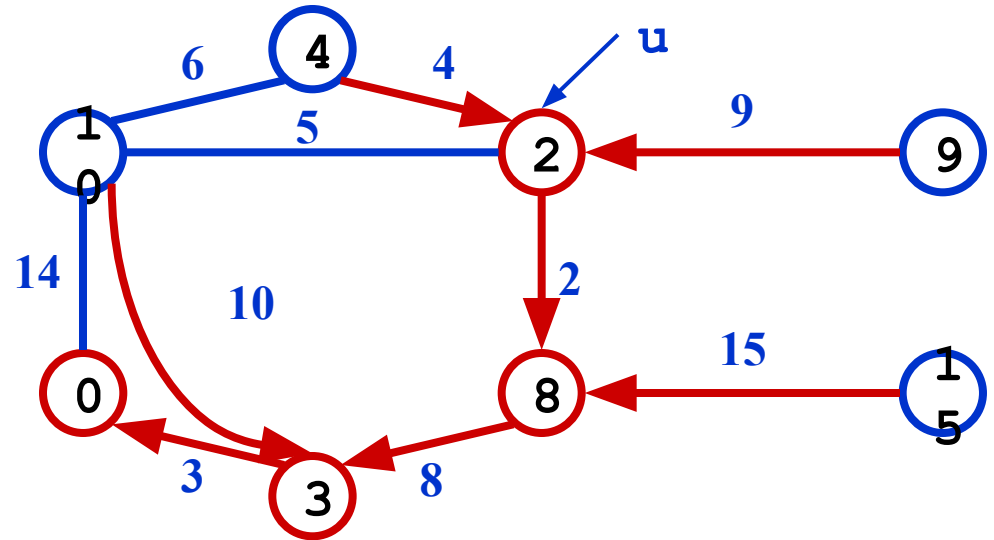
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

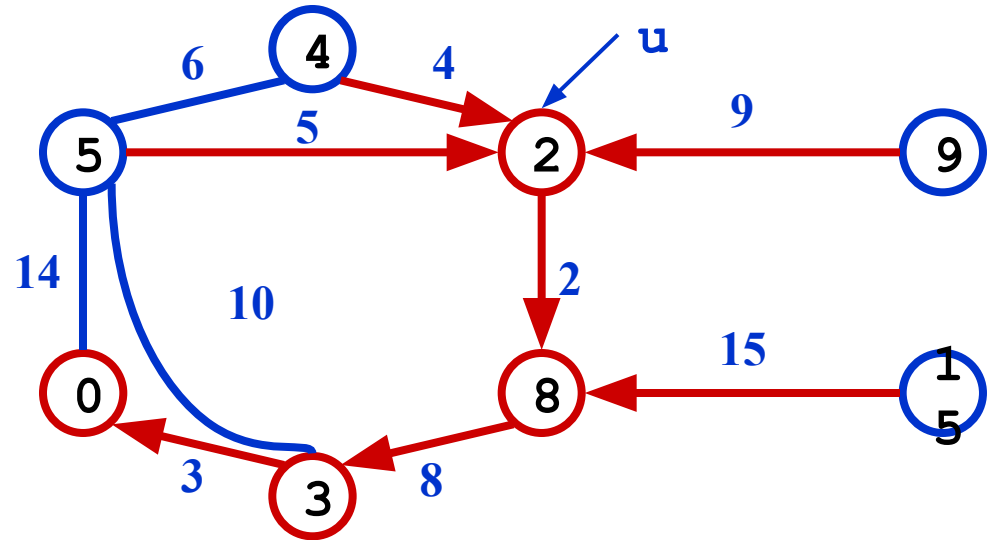
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

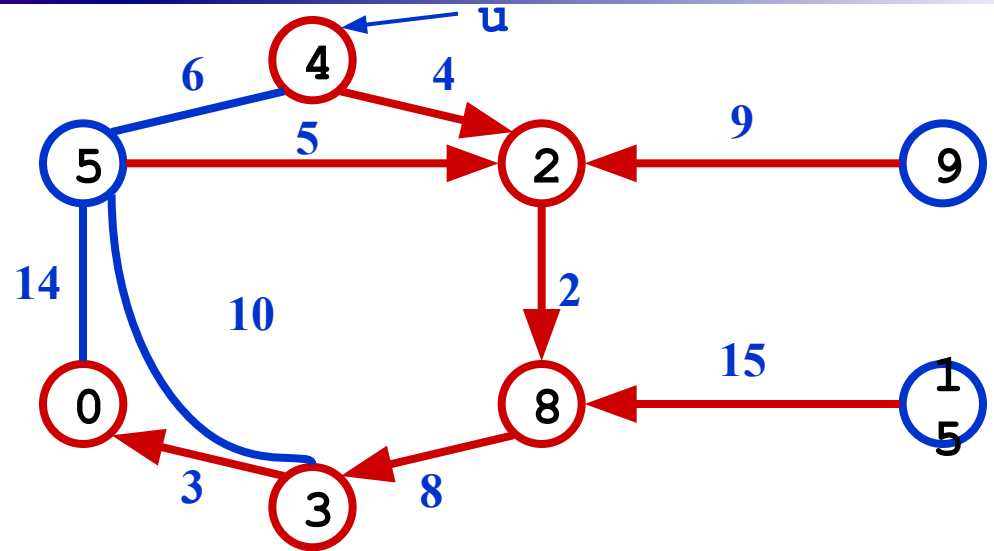
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

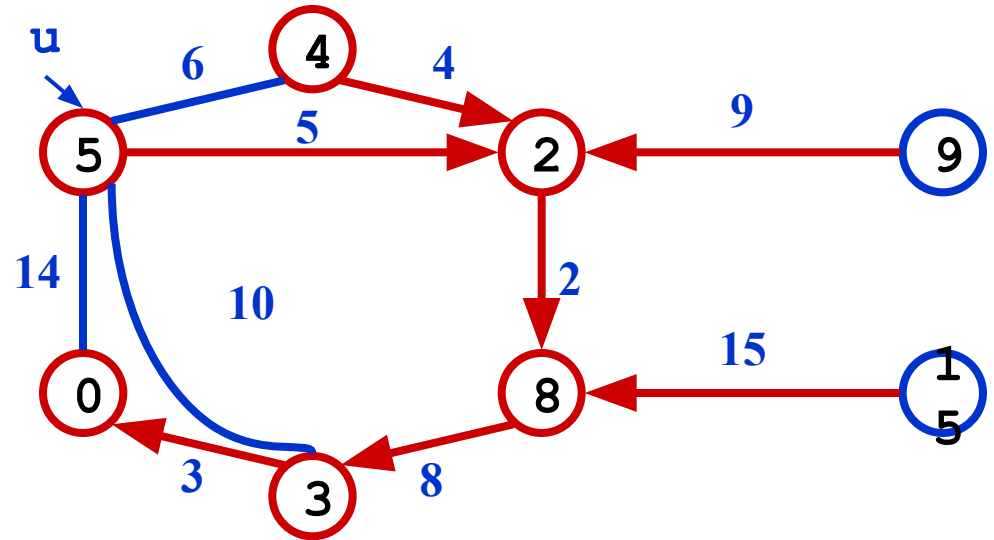
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $\text{key}[u] = \infty;$ 
```

```
   $\text{key}[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

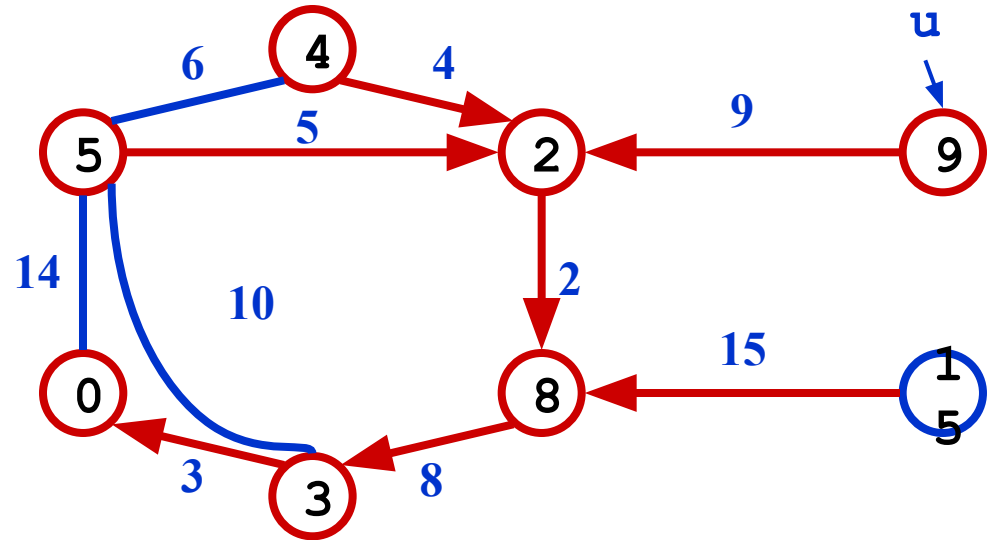
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{key}[v] = w(u, v);$ 
```



Prim's Algorithm

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

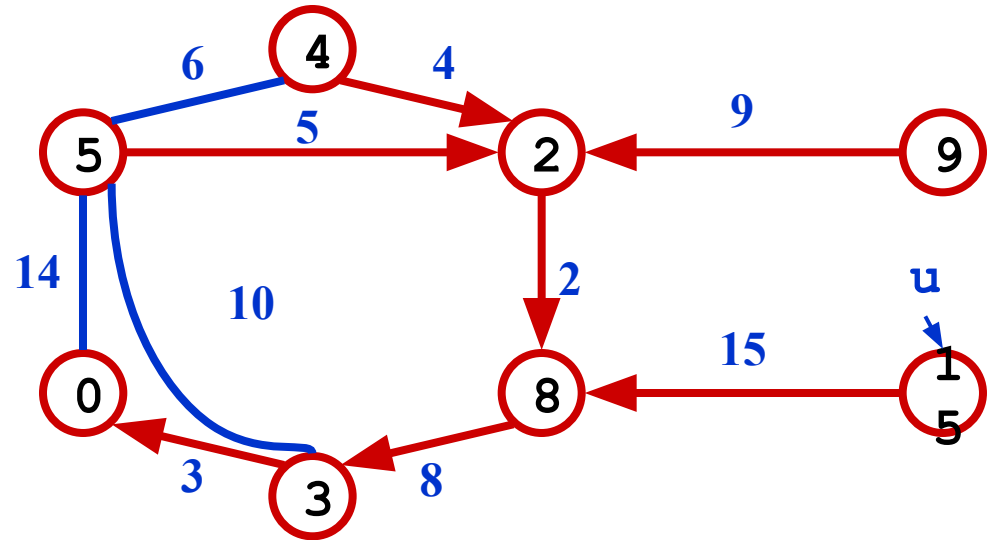
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$





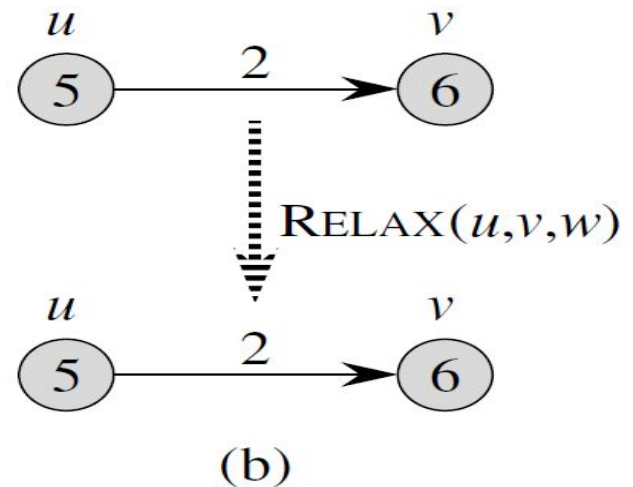
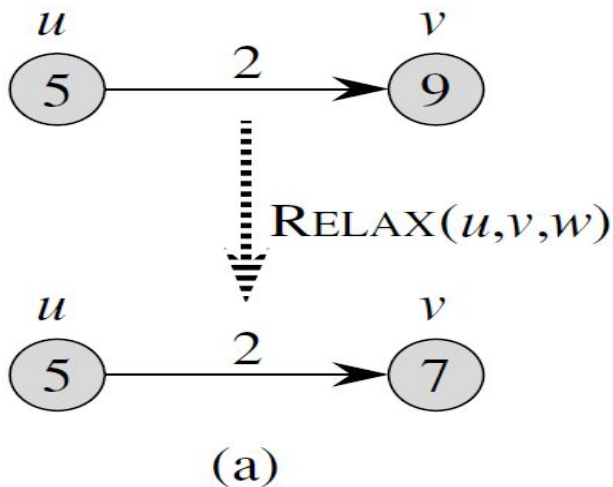
Single-Source Shortest Path

adapted from David Luebke

Relaxation

- A key technique in shortest path algorithms is *relaxation*
 - Idea: for all v , maintain upper bound $d[v]$ on $\delta(s,v)$

```
Relax( $u, v, w$ ) {  
    if ( $d[v] > d[u] + w$ ) then  $d[v] = d[u] + w$ ;  
}
```



Relaxation

INITIALIZE-SINGLE-SOURCE(G, s)

```
1  for each vertex  $v \in V[G]$ 
2      do  $d[v] \leftarrow \infty$ 
3           $\pi[v] \leftarrow \text{NIL}$ 
4   $d[s] \leftarrow 0$ 
```

RELAX(u, v, w)

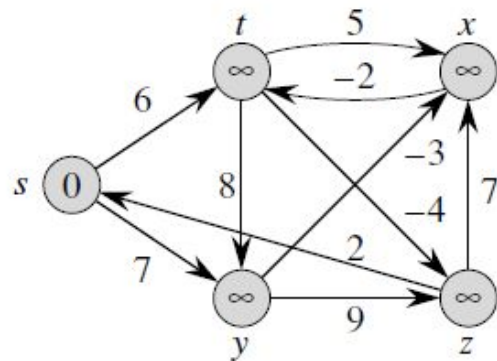
```
1  if  $d[v] > d[u] + w(u, v)$ 
2      then  $d[v] \leftarrow d[u] + w(u, v)$ 
3           $\pi[v] \leftarrow u$ 
```

Bellman-Ford Algorithm

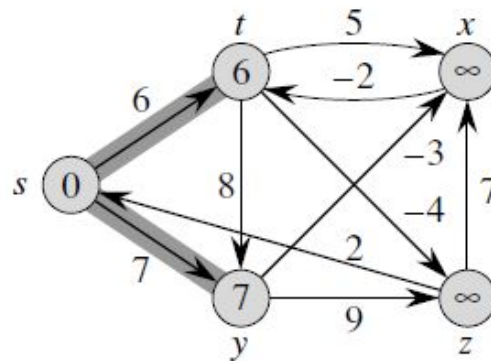
BELLMAN-FORD(G, w, s)

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  for  $i \leftarrow 1$  to  $|V[G]| - 1$ 
3      do for each edge  $(u, v) \in E[G]$ 
4          do RELAX( $u, v, w$ )
5  for each edge  $(u, v) \in E[G]$ 
6      do if  $d[v] > d[u] + w(u, v)$ 
7          then return FALSE
8  return TRUE
```

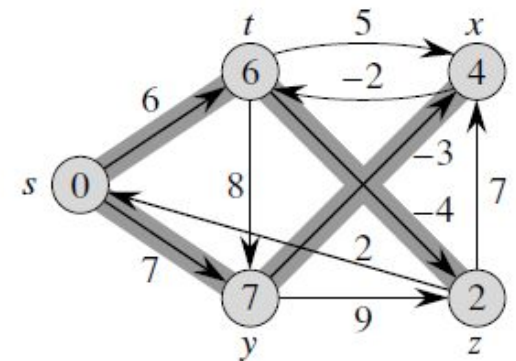
Bellman-Ford Example



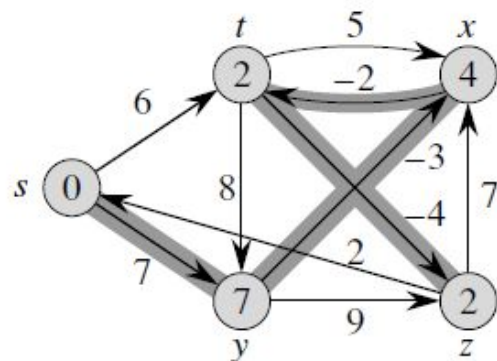
(a)



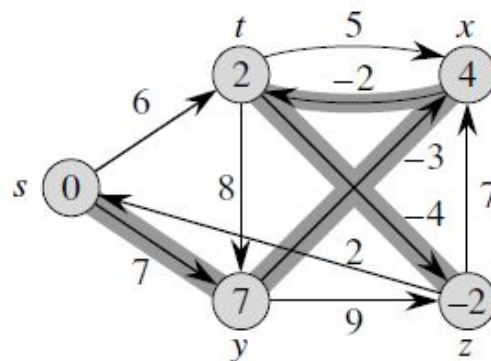
(b)



(c)



(d)



(e)

Bellman-Ford

- Note that order in which edges are processed affects how quickly it converges
- Correctness: show $d[v] = \delta(s,v)$ after $|V|-1$ passes
 - Lemma: $d[v] \geq \delta(s,v)$ always
 - Initially true
 - Let v be first vertex for which $d[v] < \delta(s,v)$
 - Let u be the vertex that caused $d[v]$ to change:
 $d[v] = d[u] + w(u,v)$
 - Then $d[v] < \delta(s,v)$
 $\delta(s,v) \leq \delta(s,u) + w(u,v)$ (*Why?*)
 $\delta(s,u) + w(u,v) \leq d[u] + w(u,v)$ (*Why?*)
 - So $d[v] < d[u] + w(u,v)$. Contradiction.

The End

